

Opportunity Name	Customer Name	Close Date	(%)	Product Name	Actual Assets	Structure	BPS/Flat Fee	Actual Revenue	Estimated Month # 2015	Est 2015 Rev	Est 2016 Rev
KCP	Black, Leon D.	12/31/2015	75	Brokerage	\$ 50,000,000.00	BPS	5	\$ 25,000.00	0	\$ -	\$ 25,000.00
Banking	Black, Leon D.	12/31/2015	90	Checking	\$ 50,000,000.00	BPS	40	\$ 200,000.00	.5	\$ 8,333.33	\$ 200,000.00
Margin Loan	DF Enterprises	12/31/2015	75	Lombard	\$ 250,000,000.00	BPS	65	\$ 1,625,000.00	.5	\$ 67,708.33	\$ 1,625,000.00
KKR	EverWatch Financial	12/31/2015	75	Private Equity Funds	\$ 5,000,000.00	Flat Fee	-	\$ 200,000.00	.5	\$ 200,000.00	\$ -
KCP	General Atlantic	12/31/2015	75	RIN	\$ 3,000,000.00	Flat Fee	-	\$ 90,000.00	0	\$ 90,000.00	\$ -
RIN	General Atlantic	12/31/2015	75	Structured Notes	\$ 3,000,000.00	Flat Fee	-	\$ 90,000.00	0	\$ 90,000.00	\$ -
Brokerage	Gratitude America	12/31/2015	75	Brokerage	\$ 10,000,000.00	BPS	20	\$ 20,000.00	.5	\$ 833.33	\$ 20,000.00
Deposits	Meister, Todd	12/31/2015	75	Money Market	\$ 10,000,000.00	BPS	35	\$ 35,000.00	.5	\$ 1,458.33	\$ 35,000.00
MBS Trades	New Apostolic Church	12/31/2015	90	Brokerage	\$ 25,000,000.00	Flat Fee	0	\$ 50,000.00	0	\$ 50,000.00	\$ -
Phoenix America	ORIX Corporation	12/31/2015	75	Private Equity Funds	\$ 10,000,000.00	Flat Fee	-	\$ 400,000.00	.5	\$ 400,000.00	\$ -
Deposits	Parami Capital Management	12/31/2015	75	Money Market	\$ 10,000,000.00	BPS	35	\$ 35,000.00	.5	\$ 1,458.33	\$ 35,000.00
Structured Notes	SK Family Office	12/31/2015	75	Structured Notes	\$ 3,000,000.00	Flat Fee	-	\$ 45,000.00	0	\$ 45,000.00	\$ -
Loan	SK Family Office	12/31/2015	75	Structured Lending	\$ 5,000,000.00	BPS	200	\$ 100,000.00	1	\$ 8,333.33	\$ 100,000.00
Additional Brokerage	Southern Financial LLC	12/31/2015	75	Brokerage	\$ 75,000,000.00	BPS	20	\$ 150,000.00	.5	\$ 6,250.00	\$ 150,000.00
Brokerage Account	Southern Financial LLC	12/31/2015	75	Brokerage	\$ 10,000,000.00	BPS	20	\$ 20,000.00	.5	\$ 833.33	\$ 20,000.00
SSW 2007 LLC-	SSW 2007 LLC	12/31/2015	75	Money Market	\$ 50,000,000.00	BPS	40	\$ 200,000.00	.5	\$ 8,333.33	\$ 200,000.00
Deposits	The George Lindemann Fam LP	12/31/2015	90	Money Market	\$ 1,000,000.00	BPS	35	\$ 3,500.00	.5	\$ 145.83	\$ 3,500.00
ETE Brokerage S2	The George Lindemann Fam LP	12/31/2015	90	Brokerage	\$ 30,000,000.00	BPS	20	\$ 60,000.00	.5	\$ 2,500.00	\$ 60,000.00
ETE loan S2	The George Lindemann Fam LP	12/31/2015	90	Lombard	\$ 20,000,000.00	BPS	135	\$ 270,000.00	.5	\$ 11,250.00	\$ 270,000.00
DPM	The Haze Trust	12/31/2015	90	IA - Equity	\$ 25,000,000.00	BPS	35	\$ 87,500.00	.5	\$ 3,645.83	\$ 87,500.00
Panda	Third Lake Capital	12/31/2015	75	Private Equity Funds	\$ 15,000,000.00	BPS	150	\$ 225,000.00	.5	\$ 9,375.00	\$ 225,000.00
Phoenix America	Third Lake Capital	12/31/2015	75	Private Equity Funds	\$ 15,000,000.00	BPS	150	\$ 225,000.00	.5	\$ 9,375.00	\$ 225,000.00
Fixed Income	Third Lake Capital	12/31/2015	75	IA - FI	\$ 100,000,000.00	BPS	35	\$ 350,000.00	.5	\$ 14,583.33	\$ 350,000.00
Preferred CD	Third Lake Capital	12/31/2015	90	Preferred CD	\$ 100,000,000.00	Flat Fee	50	\$ 500,000.00	.5	\$ 500,000.00	\$ -
Brokerage	Wanek Trust of 2000	12/31/2015	90	Brokerage	\$ 5,000,000.00	BPS	5	\$ 2,500.00	.5	\$ 104.17	\$ 2,500.00
JTWROS Brokerage	Wanek, Karen A.	12/31/2015	90	Brokerage	\$ 5,000,000.00	BPS	20	\$ 10,000.00	.5	\$ 416.67	\$ 10,000.00
Strand Hotel	54 Madison Partners	1/31/2016	75	Structured Lending	\$ 150,000,000.00	BPS	550	\$ 12,000,000.00	0	\$ -	\$ 12,000,000.00
Goldrush	Parami Capital Management	1/31/2016	75	Structured Lending	\$ 90,000,000.00	BPS	1235	\$ 13,365,000.00	.5	\$ 556,875.00	\$ 13,365,000.00
					\$ 1,125,000,000.00			\$ 30,383,500.00		\$ 2,086,812.50	\$ 29,008,500.00