

5. "Branch Supervisor" means the manager of the branch office at which Client's Account(s) is/are maintained.
6. "Cash Sweep Options" means the program through which certain uninvested cash balances in eligible Account(s) will be deposited automatically each day into interest-bearing, FDIC-insured depository accounts through DBSI's IDP or into an available money market mutual fund until Client invests these balances or balances are otherwise needed to satisfy obligations arising in connection with Client's Account(s). The Cash Sweep Options are described more fully in the Cash Sweep Options disclosure, ("Cash Sweep Options Disclosure"), which will be provided to Client under separate cover after the Account is opened. A copy of the Cash Sweep Options Disclosure is available at <http://www.pwm.db.com/americas/en/CashSweepOptions.html>.
7. "Client Advisor" means the DBSI professional assigned to Client's Account.
8. "DBSI Privacy Statement" means the statement of DBSI's policies pertaining to gathering, protecting and maintaining the confidentiality of Client information and, in certain limited situations, providing Client information outside of DBSI.
9. "ERISA" means the Employee Retirement Income Security Act of 1974.
10. "Immediate family member" means (a) a person's parent, stepparent, child, or stepchild; (b) a member of a person's household; (c) an individual to whom a person provides financial support of more than 50 percent of his or her annual income; or (d) a person who is claimed as a dependent for federal income tax purposes.
11. "Party" or "Parties" means Client(s) and DBSI, together with its affiliates, collectively.
12. "Restricted Securities" means securities of a corporation of which Client is a director, executive officer or 10% stockholder, or otherwise classified as a control person or insider, or securities that are subject to any restrictions on resale (whether by Applicable Law, contract or legend on the security), or are not traded on or through a national securities exchange, automated quotation system or other nationally recognized published interdealer quotation system.
13. "Securities and Other Property" means, but is not limited to, money, securities, financial instruments and commodities of every kind and nature and related contracts and options (whether for present or future delivery), distributions, proceeds, products and accessions of all property owned by the Client or in which the Client has an interest.

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