

From: Melinda Roy
Sent: Monday, March 07, 2016 10:56 AM
To: Jj Litchford
Subject: FW: ACTION REQUIRED: Issue related to ACUs [I]

Classification: **For internal use only**

See below

From: Paul Morris
Sent: Monday, March 07, 2016 10:55 AM
To: Melisa Venegas
Cc: Armen Brash; Melinda Roy
Subject: RE: ACTION REQUIRED: Issue related to ACUs [I]

Thank you we will get it done. Thanks

-----Original Message-----

From: Melisa Venegas
Sent: Monday, March 07, 2016 10:49 AM Eastern Standard Time
To: Amanda Kirby; Mildred Hernandez
Cc: Paul Morris; Armen Brash
Subject: ACTION REQUIRED: Issue related to ACUs [I]

Classification: **For internal use only**

All,

As you may know, per the AML Procedures manual, certain clients are excluded from ACUs. An issue has been identified in dbforce where High Risk clients are given an ACU Auto Exemption for Retail Banking, however, per Policy, this exception does NOT apply to High Risk clients.

Unfortunately, the code in dbforce is exempting high risk clients with only deposit accounts under \$250K from the ACU when it should not be—the code will be fixed, but in the meanwhile, while the ACUs listed below don't appear as overdue, they must be completed.

GCIS Customer #	Customer Name	Relationship	PO
██████	JEJE, LLC	SOUTHERN FINANCIAL RELATIONSHIP	Paul Morris
██████	LSJE, LLC	SOUTHERN FINANCIAL RELATIONSHIP	Paul Morris

Please let me know if you have any questions and please let me know when this has been completed. Thank you.

Regards,



Melisa Venegas