

Client Activities:

Describe the client's activities in the past year. Individuals: You should include developments in the client's occupation, where the client spends most of his time, etc. Entities: You should include nature of business, income producing activities, etc.

[Empty box for client activities]

Describe the Client's income-producing activities; est. annual income/investable assets, any other changes in circumstances or topics discussed with client.

[Empty box for income-producing activities]

Have you verified this client's position as an officer or director of publically traded companies and reported any changes to your supervisor?  Yes  No

Any Other Material Changes Not Listed Above?  Yes  No

Description of "Yes" Responses and Any Other Material Changes (e.g., divorce, death):

[Empty box for description of "Yes" responses]

WEALTH MANAGEMENT POLICY STATEMENT

Deutsche Bank Wealth Management worldwide is committed to professionally serve the interests of its clients. To do so, Client Facing Professionals' (CFPs) should establish and maintain business relationships only with persons who satisfy our high standards of suitability, background and character. Due diligence obtained on the client must be appropriately documented by the CFP. It is the responsibility of CFPs to demonstrate that they know their client both at the inception of a relationship and on an ongoing basis.

<sup>1</sup>CFPs include: Relationship Managers, Wealth Advisors, Product Officers, Brokers, Client Managers, etc.

DECLARATION BY ALL SIGNERS OF THIS FORM

To the best of my knowledge and belief the above information is correct and up to date. I confirm that I have no suspicions relating to money laundering or other illegal activities on the part of the client(s) and that I have followed all the procedures relating to account opening as described in the Wealth Management Americas Policies and Procedures and the Wealth Management Global KYC Policy.

Approvals

\_\_\_\_\_  
CFP

\_\_\_\_\_  
CFP Print Name

\_\_\_\_\_  
Date

\_\_\_\_\_  
Account Manager/Product Officer

\_\_\_\_\_  
Account Manager/Product Officer Print Name

\_\_\_\_\_  
Date

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