

Entity Self-Certification Form

Appendix 1: Controlling Persons

Appendix 1 must be completed for each Controlling Person of the entity, but only if the entity has a tax residence outside the United States. Please refer to the attached Guidance to the Entity Self-Certification Form which provides assistance for you to determine the Controlling Persons and how to complete this Appendix.

Please provide details of each Controlling Person below. Please continue on a separate sheet if necessary (and attach to this form).

Controlling Person	First Name	Last Name
	Date of Birth	Controlling Person Type/Role
	Country/Countries of Tax Residence	
	Is Controlling Person a non-US Beneficiary/non-US Beneficial Owner? ☐ Yes (complete Appendix 2) ☐ No	

Controlling Person	First Name	Last Name
	Date of Birth	Controlling Person Type/Role
	Country/Countries of Tax Residence	
	Is Controlling Person a non-US Beneficiary/non-US Beneficial Owner? ☐ Yes (complete Appendix 2) ☐ No	

Controlling Person	First Name	Last Name
	Date of Birth	Controlling Person Type/Role
	Country/Countries of Tax Residence	
	Is Controlling Person a non-US Beneficiary/non-US Beneficial Owner? ☐ Yes (complete Appendix 2) ☐ No	

Controlling Person	First Name	Last Name
	Date of Birth	Controlling Person Type/Role
	Country/Countries of Tax Residence	
	Is Controlling Person a non-US Beneficiary/non-US Beneficial Owner? ☐ Yes (complete Appendix 2) ☐ No	

Controlling Person	First Name	Last Name
	Date of Birth	Controlling Person Type/Role
	Country/Countries of Tax Residence	
	Is Controlling Person a non-US Beneficiary/non-US Beneficial Owner? ☐ Yes (complete Appendix 2) ☐ No	

Each Controlling Person who is also a non-US Beneficiary/non-US Beneficial Owner must complete and sign Appendix 2: Beneficiary/Beneficial Owner Self-Certification Form. A Controlling Person who is a Beneficial Owner with a tax residence solely in the United States is not required to complete and sign Appendix 2.