

I'll talk to Josh when he returns from a meeting and we'll see what we have to do to get this started.

Thanks,  
Sean

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From: [REDACTED]

To: [REDACTED]

CC: [REDACTED]

Date: 11/04/2013 11:53 AM

Subject: Re: [C]

From a business perspective I am supportive given the importance of the overall PB relationship. From a regulatory perspective, can we do this if he doesn't have an open brokerage account?

Sent from my iPad

On Nov 4, 2013, at 11:05 AM, "Rosemary Vrablic" <[REDACTED]> wrote:

Classification: Confidential

Given the "one bank" approach I keep hearing about, total Bollag investment and deposit assets with AWM (not brokerage) are approx \$130 million.

Kind regards,  
Rosemary Vrablic

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Rosemary Vrablic  
Managing Director