

----- Original Message -----

From: Joshua Shoshan
Sent: 11/05/2013 09:55 AM EST
To: Caroline Kitidis
Subject: Re: Fw: allocation [C]

Classification: Confidential

I spoke to Chip yesterday, and I think we still have a real problem with these special allocations not coming off the top. If they come out of the PB allocation, they are three times more "expensive". Can you try to get that pre-split allocation to take place? Chip said he was talking to you. I have not spoken to Haig, which I think makes sense . . .

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Date: 11/05/2013 09:51 AM
Subject: Re: Fw: allocation [C]

Classification: Confidential

So what is feedback here from chip and haig, their call ?

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----- Original Message -----

From: Joshua Shoshan
Sent: 11/05/2013 09:48 AM EST
To: Caroline Kitidis
Subject: Re: Fw: allocation [C]

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Hi. Butenhoff is an active client; not new. He trades a decent amount -- so we have about \$55k of revs with him ytd -- which means he is real -- and, separately, RV has business with him, including loans. She is also very old friends. If he gets 500 shares, that might be nice. The other guy is Bollag,