

Transactions

Monday, March 24, 2014

KCP Weekly Business Review

Revenue-Pipeline deals-Transactions closed-Client updates-Trade ideas



	Client	Ultimate Beneficial Owner	Trade	Ticket Size	Expected Revenue	Delta	Estimated Annual Revenue	NNA	Relationship Manager	Channel	Office	KCP	Investor Type
KCP US Transactions closed					11.6mm		11.1mm						
1	Gapstow Capital Partners	Chris J Acito	Prudential CLO	\$30mm	1,200,000	95%	500,000		Mark Gonzales	PB	New York	Thomas O'Rourke	Fund of Funds / HF
2	JVB Properties	Jack Thompson	Turbo Call Spread	\$0.4mm	100,000	100%	100,000		Edward Maier	PCS	Chicago	David Mann	Private Investment Co
4	Charles Gallagher	Charles Gallagher	Yacht Loan ("Project Gametime")	\$60mm	5,000,000	95%	5,000,000		Mike McCarthy	PB	Chicago	Thomas O'Rourke	Mega-Yacht Loan / Trust
5	Dan Loeb		Yacht Put	\$3mm	5,000,000	95%	5,000,000		Rosemary Vrablic	PB	New York	David Mann	
6	Ansbacher Bahamas		Caribbean CLN	\$10mm	300,000	100%	500,000		Andrew Mandala	PCS	Miami	David Mann	
KCP US deals closed: Traftigora, Athena, Gapstow, Loeb, Gallagher													
Product Focus: RIN, SOF III, Maryland Proton Therapy, Spitfire 3.0													

	Client	Ultimate Beneficial Owner	Trade	Ticket Size	Expected Revenue	Delta	Estimated Annual Revenue	NNA	Relationship Manager	Channel	Office	KCP	Investor Type
KCP LATAM Transactions closed					0.2mm								
1	Arizona		Credit Default Swap on Chilean Bank	\$5mm	50,000								
2	Drake		ETF	\$50mm	18,000								
3	Stars		Money market fund	\$120mm	TBD								
4	Angeline Family		Structured Note	\$5mm	10,000								
5	Fundacion		Structured Notes with Fundacion	\$2mm	20,000								
6	Pragma		Gold ETF sale and switch into Gold Bullion	\$20mm	TBD								
7	Noble		Fixed income portfolio turnaround	\$10mm	60,000								
KCP LATAM deals closed: CDS, ETF, MM Fund, Structured Notes, GLD Bullion													
Product Focus: SOF III, RDF, WAM CLO, Cre-Flt axes													

	Client	Ultimate Beneficial Owner	Trade	Ticket Size	Expected Revenue	Delta	Estimated Annual Revenue	NNA	Relationship Manager	Channel	Office	WIC	Investor Type
WIC US Transactions closed													
1	Norman Tulchin		FX Option USD/JPY	\$3.4mm	12,000	100	50,000	12,000	Susan Burnett	PB	Los Angeles	Joseph Cothron	
2	Norman Tulchin		FX Option USD/MXN	\$3.6mm	12,000	100	50,000	12,000	Susan Burnett	PB	Los Angeles	Joseph Cothron	
3	Chaim Katzman		Restricted stock sale		10,000	100		10,000	Carlos Arrizurieta	PB	Miami	Joseph Cothron	
4													
5													
WIC US deals closed:													
Product Focus: SOF III													

	Client	Ultimate Beneficial Owner	Trade	Ticket Size	Expected Revenue	Delta	Estimated Annual Revenue	NNA	Relationship Manager	Channel	Office	WIC	Investor Type
WIC LATAM Transactions closed													
1													
2													
3													
4													
5													
WIC LATAM deals closed:													
Product Focus:													

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