

Appendix A: KCP Key Contact List – cont.

September 2014



Product	Product	Product Subset	AWM/ CB&S	Senior Contact	Additional Contacts
Capital Markets AWM	Cash Management		AWM	Charlie Burrows	
	ECM		AWM	Mike Iaquina	Brian Killefer
	Equity Flow Trading		AWM	Dolores Rubin	Jared Mackley Andre Smith
	Options Trading		AWM	Brian Killefer (Head)	Steve Krupa Donna Taylor
	Fixed Income Trading/Derivatives		AWM	Joe Aglione (Head) Chris Hatch	Kathy Bradford Scott Nuzzo
	FX Trading		AWM	Caleb David	
	Global KCP Capital Markets Team		AWM	Vinit Sahn Nav Gupta	Apurva Gupta Uzair Aqeel
	Muni Trading		AWM	John Isola	Mario Sceusa
	Structured Products	Equities	AWM	Chris Loudon	Jeff Sperling Orie Paul
	Rates Trading		AWM	Buddy Kennedy	
Advisory Services	Investment Advisory	Fixed Income	AWM	Ron Colonna	Jim Donofrio Sean Magee
	Investment Advisory	Equities	AWM	Paul Bartilucci	Ryan Barclay