

Subject: SFLLC Securities Received [C]
From: Vahe Stepanian <[REDACTED]>
Date: Fri, 06 Dec 2013 13:46:39 -0500
To: [REDACTED]
Cc: Paul Morris <[REDACTED]>, Amanda Kirby <[REDACTED]>

Classification: Confidential

Harry,

Three additional positions received yesterday afternoon into SFLLC:

2,000,000

SG STRUCTURED PRODS INC COMMODITY LKD MKT PLUS PROTECTED NOTES 0.000% 08/05/14 B/
E
DTD 07/30/13 N/C

1,000,000

JPMORGAN CHASE & CO MEDIUM TERM NTS CAPPED CONTINGENT BUFFERED EQUITY NTS 0.000%
10/16/14 B/E DTD 09/27/13 N/C

2,000,000

HSBC USA INC MEDIUM TERM NTS BUFFERED NOTES LKD TO EURO STOXX 50 0.000% 08/29/14
B/E DTD 05/30/13 N/C

Please let me know if you have any questions.

Thank you,
Vahe

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Vahe Stepanian
Associate | Wealth Investment Coverage

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