

Subject: Re: Fw: Account opening [I]
From: Amanda Kirby <[REDACTED]>
Date: Mon, 11 Mar 2013 15:24:03 -0400
To: Paul Morris <[REDACTED]>

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Here's a list of items needed for different types of entities:

Please note that for any type of entity we will need the following information if the entity is the account holder:

- Name
- Address
- Date of Incorporation
- TIN
- Nature of Business
- Source of wealth/funds

Corporation:

- Certificate of good standing dated within 1 year
- Articles of Incorporation
- Bylaws
- Certified Share registry
- Proof of signing authority (board resolution authorizing account signers)

LLC

- Certificate of good standing dated within 1 year
- Operating Agreement
- Proof of ownership (usually attached to Operating Agreement)
- Proof of signing authority

Partnership, LP, or LLP

- Partnership Agreement
- Partner share listing
- Proof of signing authority (in Partnership Agreement or written authorizations for account signers)

For Any Entity or Person Owning 5%-25% of an above mentioned company:

- Name
- Address
- Occupation
- Nature of business

For Any Entity or Person Owning 25% or more of an above mentioned company:

- Name
- Address
- Date of Birth or Incorporation
- SSN/TIN
- Photo ID
- Occupation, employee name and address
- Nature of business
- Source of wealth

Kind regards,
Amanda Kirby

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Amanda Kirby
Relationship Coordinator

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From: Paul Morris/db/dbcom@DBAMERICAS
To: "Amanda Kirby" <[REDACTED]>,
Date: 03/11/2013 03:14 PM
Subject: Fw: Account opening [I]

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Hi can you pull together a list documents we would need to open entity accounts, brokerage and a asset/custody account, we must have a standard list.
Thx

From: "Jeanne Brennan" [REDACTED]
Sent: 03/11/2013 03:10 PM ZW3
To: Paul Morris
Cc: "'Harry Beller'" <[REDACTED]>
Subject: Account opening

Paul,

It was nice meeting you via-phone last week. I had not received your email with the account opening information Deutsch Bank requires.

Just following up.

Thanks
Jeanne