

Subject: Re: Fw: Account opening information [I]
From: Paul Morris <[REDACTED]>
Date: Wed, 03 Jul 2013 09:15:04 -0400
To: Amanda Kirby <[REDACTED]>

Classification: For internal use only

thx, make sure brokerage account are opened

Paul Morris
Managing Director
Deutsche Bank Private Bank
345 Park Avenue, 27th Floor
New York, NY 10154
Office: [REDACTED]
Cell: [REDACTED]

From: Amanda Kirby/db/dbcom

To: Paul Morris/db/dbcom@DBAMERICAS,

Date: 07/02/2013 02:21 PM

Subject: Fw: Account opening information [I]

Classification: For internal use only

Paul -- Update on the below.

As Jeanne noted she still needs to send over a letter of authorization stating who has inquiry capabilities. They also have to change the Board of Directors Resolution as they miswrote the name of the company a few times. I have spoken with her and she understands and is aware of what needs to be changed. She's speaking with their lawyer now to have it updated.

Nothing on your end that you need to do but just want to keep you aware and up to date as to what is going on

----- Forwarded by Amanda Kirby/db/dbcom on 07/02/2013 02:18 PM -----

From: "Jeanne Brennan" <[REDACTED]>

To: Amanda Kirby/db/dbcom@DBAMERICAS,

Cc: "'Harry Beller'" <[REDACTED]>, "'Darren Indyke'" <[REDACTED]>
>
Date: 07/02/2013 01:53 PM
Subject: RE: Fw: Account opening information

Amanda,
As discussed.
Letter of authorization stating that you, Harry Beller and Richard Kahn have inquiry capabilities - to follow.

Jeanne

From: Amanda Kirby [mailto:[REDACTED]]
Sent: Wednesday, June 12, 2013 11:26 AM
To: [REDACTED]
Subject: Re: Fw: Account opening information

Classification: Public

Dear Jeanne,

I apologize in the delay but our back office has come back to me and told me we are missing a few key items we need to get these accounts approved. Before I am able to send account opening documents I still need a few items and have a few questions. Once I have this information I should be able to have everything approved and send over account opening documents by the end of this week or early next. Please see below for what I need:

Southern Trust Company Inc:
- Certificate of Good Standing dated within 1 year
- Share Registry/By-laws showing who owns how much of the company
- W-9
- I see in the Articles of Incorporation for Financial Infomatics that the company was formed by 3 individuals (Erika Kellerhals, Gregory Ferguson and Brett Geary.) Are they still the sole owners? If so I will need their photo ID's, Addresses, Social Security #'s and source of wealth.
- The articles of Incorporation also do not mention Jeffrey Epstein having authority over the account so if he is to be the main signer then we would need written proof of that signed off by the above 3 individuals

Southern Financial LLC:
- Certificate of Good Standing dated within 1 year
- W-9

Jeffrey Epstein:
- Photo ID
- Social Security #
- Address
- Letter of authorization stating that you, Harry Beller and Richard Kahn have inquiry capabilities

Please let me know if you have any questions and I look forward to hearing from you

Kind regards,

Amanda Kirby

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Amanda Kirby
Associate

Deutsche Asset & Wealth Management
345 Park Avenue, 10154-0004 New York, NY, USA
Tel. [REDACTED]
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Email [REDACTED]

Securities offered through Deutsche Bank Securities Inc.

From: Paul Morris/db/dbcom@DBAMERICAS
To: [REDACTED],
Date: 03/15/2013 09:37 AM
Subject: Fw: Account opening information [I]

Classification: For internal use only

Paul Morris
Managing Director
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345 Park Avenue, 27th Floor
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Office: [REDACTED]
Cell: [REDACTED]

----- Forwarded by Paul Morris/db/dbcom on 03/15/2013 09:37 AM -----

From: "Jeanne Brennan" <[REDACTED]>
To: Paul Morris/db/dbcom@DBAMERICAS,
Cc: "'Harry Beller'" <[REDACTED]>
Date: 03/14/2013 12:06 PM
Subject: RE: Account opening information

Paul, Attached please find the initial required information on account openings at - DB. Any questions let us know. Jeanne

EFTA01463383

- Name Southern Financial LLC
- Address 6100 Red Hook Quarter B3 St. Thomas USVI 00802
- Date of Incorporation 2/25/2013
- TIN 66-0799192
- Nature of Business Formed to own certain assets
- Source of wealth/funds

- Name Southern Trust Company, Inc.
- Address 6100 Red Hook Quarter B3 St. Thomas USVI 00802
- Date of Incorporation 11/18/2011
- TIN 66-0779861
- Nature of Business Consulting Services relying on Financial and Biomedical algorithms
- Source of wealth/funds

Signatory will be Jeffrey E. Epstein on all accounts
Inquiry capacity : Jeanne Brennan, Harry Beller, Richard Kahn

From: Amanda Kirby [mailto:]
Sent: Monday, March 11, 2013 5:43 PM
To: [REDACTED]
Cc: Paul Morris
Subject: Account opening information

Classification: Public

Hi Jeanne,

Paul asked me to compile a list of necessary items to open an account for an entity. Please note that the below is an initial list of what we would need. Compliance sometimes comes back to us with additional items. Please let me know if you have any questions.

Here's a list of items needed for different types of entities:

Please note that for any type of entity we will need the following information if the entity is the account holder:

- Name
- Address
- Date of Incorporation
- TIN
- Nature of Business
- Source of wealth/funds

Corporation:

- Certificate of good standing dated within 1 year
- Articles of Incorporation
- Bylaws
- Certified Share registry
- Proof of signing authority (board resolution authorizing account signers)

LLC

- Certificate of good standing dated within 1 year
- Operating Agreement
- Proof of ownership (usually attached to Operating Agreement)
- Proof of signing authority

Partnership, LP, or LLP

- Partnership Agreement
- Partner share listing
- Proof of signing authority (in Partnership Agreement or written authorizations for account signers)

For Any Entity or Person Owning 5%-25% of an above mentioned company:

- Name
- Address
- Occupation
- Nature of business

For Any Entity or Person Owning 25% or more of an above mentioned company:

- Name
- Address
- Date of Birth or Incorporation
- SSN/TIN
- Photo ID
- Occupation, employee name and address
- Nature of business
- Source of wealth

Kind regards,
Amanda Kirby

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Amanda Kirby
Relationship Coordinator

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Tel. [REDACTED]
Email [REDACTED]

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