

Subject: Re: ACATS for Southern Financial/Trust [I]  
From: Amanda Kirby <[REDACTED]>  
Date: Mon, 09 Sep 2013 16:13:06 -0400  
To: Jay Lipman <jay.lipman@db.com>  
Cc: Tazia Smith <[REDACTED]>,  
Vahe Stepanian <[REDACTED]>,  
Paul Morris <[REDACTED]>

Classification: For internal use only

Thank you, Jay. I'll send the account docs to the client today and we can discuss the ACATs further when Paul is in the office tomorrow

Best,  
Amanda Kirby

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Amanda Kirby  
Associate

Deutsche Bank Trust Company Americas  
345 Park Avenue, 27th Fl  
New York, NY, USA, 10154-0004  
Tel. [REDACTED]  
Fax + [REDACTED]  
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From: Jay Lipman/db/-  
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To: Amanda Kirby/db/-  
dbcom@DBAMERICAS,

Cc: Tazia Smith/db/dbcom@DBAMERICAS, Vahe Stepanian/db/-  
dbcom@DBAMERICAS

Date: 09/09/2013 04:03  
PM

Subject: Re: ACATS for Southern Financial/Trust  
[I]

Classification: For internal use only

Hi Amanda,

As we discussed earlier, the ACAT's will be more complicated than usual due to the exotic nature of some of the assets in the accounts.

As for Jeepers, please find attached below the necessary brokerage documents for a corporate account. Please find Completion Instructions: Account Agreement – Please complete highlighted areas on pgs. 1, 6, 7, 14, and 15  
Corp Acct. Authorization – Please complete highlighted area and sign/date bottom of pages 1 + 2

[attachment "AWM Acct. Agreement.pdf" deleted by Amanda Kirby/db/dbcom]  
[attachment "Corporate Account Authorization.pdf" deleted by Amanda Kirby/db/dbcom] [attachment "New Corporate Info Sheet 6.2012.pdf" deleted by Amanda Kirby/db/dbcom]

Kind Regards,

Jay Lipman

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Jay Lipman  
Analyst I Markets Coverage Group

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From: Amanda Kirby/db/-  
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To: Jay Lipman/db/-  
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Cc: Tazia Smith, Vahe Stepanian/db/-  
dbcom@DBAmericas

Date: 09/09/2013 10:59  
AM

Subject: ACATS for Southern Financial/Trust  
[I]

Classification: For internal use only

Hi Jay,

Wanted to touch base in regards to the ACATS for Southern Trust and Southern Financial. I know you were working on them on Friday. Would like to send them to the client today, if possible.

Also, Jeepers Inc was KYC approved on our end. I am going to work on getting those account docs prepared and send them over today. Would you mind sending

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me Brokerage accounts for them?

Thank you

Best,  
Amanda Kirby

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