

Haze Trust

Account # : N4G024943

Account Short Name: EPSTEIN

Symbol	CUSIP	Sec. Description	Quantity	Position Val.
Sec. Type	Position Held	Last Price ³		

IPMT.AA	46262EAE5	IPAYMENT INC GTD SR NT 10.250% 05/15/18 B/E DTD		
11/15/11	CLB CLB	05/15/15 @105.125	500000 372500	CORPORATE BOND
USD		74.50		

KND.AC	494580AB9	KINDRED HEALTHCARE INC 8.250% 06/01/19 B/E DTD		
06/01/11	CLB CLB	06/01/14 @106.188	1000000 1076250	CORPORATE BOND
USD		107.625		

BTU.GF	704549AE4	PEABODY ENERGY CORP SR NT 7.375% 11/01/16 B/E DTD		
10/12/06	N/C	1200000 1344000 CORPORATE BOND USD		112

060505DT8		BANK AMER CORP PERP NON COMULATIVE DEP SHS FIXED TO FLOAT		
8.125% 04/30/58	B/E DTD	04/30/08 CLB CLB 05/15/18 @100.000		3000000
3315000	CORPORATE BOND	USD	110.50	

JPM.KPC	46625HHA1	JPMORGAN CHASE & CO DEP SHS REPSTG 1/10TH PFD SER 1		
FIXED TO FLTG RATE 7.900%	12/01/99	B/E DTD 04/23/08 CLB CLB 04/30/18		
@100.000		3000000 3274500 CORPORATE BOND USD		109.15

DBDA	MMFDBDA	INSURED DEPOSITS PROGRAM		6665989.75
6665989.75		MONEY FUND	1	

Total SUM(E4:E9)=1.604823975E7

Source: Pershing

Date: as of 10.15.13

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Jeepers Inc

Account # : N4G024935

Account Short Name: EPSTEIN

Symbol	CUSIP	Sec. Description	Quantity	Position Val.
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Sec. Type	Position Held	Last Price ³
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JPHSX	48121L510	JP MORGAN FLOATING RATE INCOME FUND SELECT
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SHARES	153304.813	1543779.47	MUTUAL FUND	USD	10.07
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DBDA	MMFDBDA	INSURED DEPOSITS PROGRAM	3.687317767E7
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3.687317767E7	MONEY FUND	1
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Total SUM(E4:E5)=3.841695714E7

Source: Pershing

Date: as of 10.15.13

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Southern Trust Company

Account # : N4G023812

Account Short Name: EPSTEIN

Symbol	CUSIP	Sec. Description	Quantity	Position Val.
ALLY PRB	02005N308	ALLY FINL INC PERP PFD		FIXED/FLTG RATE SER
A CALLABLE	57000	1524750 PREFERRED STOCK	USD	26.75
DBDA	MMFDBDA	INSURED DEPOSITS PROGRAM		1665417.24
1665417.24		MONEY FUND	1	

Total SUM(E4:E5)=3190167.24

Source: Pershing

Date: as of 10.15.13

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Sotuhern Financial LLC

Account # : N4G023804

Account Short Name: EPSTEIN

Symbol CUSIP Sec. Description Quantity Position Val.

Sec. Type Position Held Last Price³

BIIB 09062X103 BIOGEN IDEC INC COM 2095 495404.65

COMMON STOCK USD 236.47

ARIA 04033A100 ARIAD PHARMACEUTICALS INC 16535

66470.70 COMMON STOCK USD 4.02

FB 30303M102 FACEBOOK INC CL A 17500 866250 COMMON

STOCK USD 49.50

BDRBF 097751200 BOMBARDIER INC CLASS B 20000 95495.30

COMMON STOCK USD 4.77476505

JPM 46625H100 JP MORGAN CHASE & CO COM ISIN#US46625H1005

21753 1137899.43 COMMON STOCK USD 52.31

GE 369604103 GENERAL ELECTRIC CO COM 23000 556370 COMMON

STOCK USD 24.19

DAG 25154H558 DEUTSCHE BK AG LONDON BRH DB AGRIC DOUBLE LONG

TRADED NT 50000 430500 COMMON STOCK USD 8.61

SGMO 800677106 SANGAMO BIOSCIENCES INC COM 50000 564000

COMMON STOCK USD 11.28

ASNA 04351G101 ASCENA RETAIL GROUP INC COM 70500 1370520

COMMON STOCK USD 19.44

GM PRB 37045V209 GENERAL MTRS CO JR PFD CONV SER B 4 75% 71000

3546450 PREFERRED STOCK USD 49.95

ALLY PRA 361860208 GMAC CAP TR I GTD TR PFD SECS SER 2 8 125%

FIXED RATE / FLTG 80000 2143200 PREFERRED STOCK USD 26.79

RBS PRT 780097713 ROYAL BANK SCOTLAND GROUP PLC 7.25% SPON ADR REPSTG

SER T PFD SHS CALLABLE ON OR AFTER 12/31/2012 @ 25 120000 2803200

PREFERRED STOCK USD 23.36

ALLY PRB 02005N308 ALLY FINL INC PERP PFD FIXED/FLTG RATE SER

A CALLABLE 126600 3386550 PREFERRED STOCK USD 26.75

AP0 037612306 APOLLO GLOBAL MGMT LLC CL A SHS 263157

8189445.84 COMMON STOCK USD 31.12

BCS3990764 06739FHK0 BARCLAYS BK PLC CONTINGENT CAP NT

ISIN#US06739FHK03 7.750% 04/10/23 B/E DTD 04/10/13 CLB CLB 04/10/18

@100.000 300000 313500 CORPORATE BOND USD 104.50

JPM4031737 48126HAA8 JPMORGAN CHASE & CO 6.000% 09/01/88 B/E DTD

07/29/13 N/C 750000 705000 CORPORATE BOND USD 94

ELNK.AA 270321AC6 EARTHLINK INC GTD SR NT FULLY EXCHANGED CUSIP FROM

270321AB8 8.875% 05/15/19 B/E DTD 05/17/11 CLB CLB 05/15/15 @104.438

1000000 972500 CORPORATE BOND USD 97.25

HW3692321 42210PAQ5 HEADWATERS INC GTD SR NT 7.625% 04/01/19 B/-

E DTD 03/11/11 CLB CLB 04/01/15 @103.813 1000000 1056250 CORPORATE BOND

USD 105.625

369622ST3 GENERAL ELEC CAP CORP PERP PFD SER C FIXED TO FLTG 5.250%

06/03/63 B/E DTD 06/03/13 CLB CLB 06/15/23 @100.000 1400000 1309000

CORPORATE BOND USD 93.50

060505DT8 BANK AMER CORP PERP NON COMULATIVE DEP SHS FIXED TO FLOAT

8.125% 04/30/58 B/E DTD 04/30/08 CLB CLB 05/15/18 @100.000 2000000

2210000 CORPORATE BOND USD 110.50

GE3864337 369622SN6 GENERAL ELEC CAP CORP NON CUM PFD SER A
 7.125% 06/12/62 B/E DTD 06/12/12 CLB CLB 06/15/22 @100.000 2000000
 2180000 CORPORATE BOND USD 109
 SVM3861776 81760NAN9 SERVICEMASTER CO SR NT - CUSIP FULLY
 EXCHANGED FROM OLD CUSIP 81760NAL3 8.000% 02/15/20 B/E DTD 02/13/12 CLB CLB
 02/15/15 @106.000 2500000 2475000 CORPORATE BOND USD 99
 EIX.AA 842400FU2 SOUTHERN CALIF EDISON CO PREF SER E 6.250% 02/01/22
 B/E DTD 01/17/12 CLB CLB 02/01/22 @100.000 3000000 3090000 CORPORATE
 BOND USD 103
 JPM.KPC 46625HHA1 JPMORGAN CHASE & CO DEP SHS REPSTG 1/10TH PFD SER 1
 FIXED TO FLTG RATE 7.900% 12/01/99 B/E DTD 04/23/08 CLB CLB 04/30/18
 @100.000 5000000 5457500 CORPORATE BOND USD 109.15
 Total SUM(E4:E27)=4.542050592E7

Source: Pershing
 Date: as of 10.15.13
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