

Subject: Compliance
From: Amanda Kirby <[REDACTED]>
Date: Thu, 31 Oct 2013 13:43:33 -0400
To: [REDACTED]
Cc: Paul Morris <[REDACTED]>

Classification: Public

Rich,

Per our conversation you are not the same Richard Kahn who was barred from the NYSE Arca in April of 2012. You have been at your company, HBRK, for 8 years and were not asked by FINRA, on behalf of NYSE Arca, to give testimony.

Best,
Amanda Kirby

Amanda Kirby
Associate

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