

Brokerage

Paul Morris

COUNTA(A4:A20)&" Accounts"=17 Accounts

Account Id	Account Name	Relationship	Date Opened	Nov 2013
Market Value	2013 YTD			
Revenues	Why is this account open?		If applicable, please specify	related relationship (for accommodation accounts and accounts affiliated with larger relationships)
				Does the related relationship have CBVs greater than \$10mm?
1TL001118	BLACK PEARL GLOBAL OPPORTUNITY	ASADI, FAREED RELATIONSHIP	5/11/2009	1135
17.76078	3781.17399			
1TL001217	BLACK PEARL GLOBAL OPPORTUNITY	ASADI, FAREED RELATIONSHIP	2/8/2010	2.88
6413999874E7	0			
1TL001225	BLACK PEARL GLOBAL OPPORTUNITY	ASADI, FAREED RELATIONSHIP	5/10/2010	0
0				
N4G024307	PATRIOT STRATEGY PARTNERS LLC	PATRIOT STRATEGY PARTNERS RELATIONSHIP	7/29/2013	
0	23877.9552			
N4G025049	NOMURA SECURITIES INTERNATIONAL	PATRIOT STRATEGY PARTNERS RELATIONSHIP	10/14/2013	
0	10254.12743			
N4G023804	SOUTHERN FINANCIAL LLC	SOUTHERN FINANCIAL RELATIONSHIP	8/26/2013	7.0872398316
61E7	15694.67591			
N4G023812	SOUTHERN TRUST COMPANY INC	SOUTHERN FINANCIAL RELATIONSHIP	8/26/2013	4828
234.41797	1400.4723			
N4G024935	JEEPERS INC	SOUTHERN FINANCIAL RELATIONSHIP	9/23/2013	3.642945384542E7
46506.14293				
N4G024943	THE HAZE TRUST	SOUTHERN FINANCIAL RELATIONSHIP	9/23/2013	2.123449473905E7
5733.70994				
N4G024950	J EPSTEIN VIRGIN ISLANDS FOUNDATION INC	SOUTHERN FINANCIAL RELATIONSHIP	9/30/2013	
0	0			
N4G024968	JEFFREY EPSTEIN	SOUTHERN FINANCIAL RELATIONSHIP	9/23/2013	0
N4G025080	SOUTHERN FINANCIAL LLC	SOUTHERN FINANCIAL RELATIONSHIP	10/8/2013	0
N4G025098	SOUTHERN TRUST COMPANY INC	SOUTHERN FINANCIAL RELATIONSHIP	10/8/2013	0
0				
N4G025106	SOUTHERN TRUST COMPANY INC	SOUTHERN FINANCIAL RELATIONSHIP	10/8/2013	0
0				
N4G025114	SOUTHERN TRUST COMPANY INC	SOUTHERN FINANCIAL RELATIONSHIP	10/8/2013	0
0				
N4G025403	SOUTHERN FINANCIAL LLC	SOUTHERN FINANCIAL RELATIONSHIP	11/4/2013	0
N4G026161	SOUTHERN FINANCIAL LLC	SOUTHERN FINANCIAL RELATIONSHIP	11/4/2013	62999.99412
26.25				

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