

Brokerage

Paul Morris

COUNTA(A4:A20)&" Accounts"=17 Accounts

Account Id	Account Name	Relationship	Date Opened	Nov 2013
Market Value	2013 YTD			
Revenues	Why is this account open?		If applicable, please specify related relationship (for accommodation accounts and accounts affiliated with larger relationships)	Does the related relationship have CBVs greater than \$10mm?
17.76078	3781.17399	BLACK PEARL GLOBAL OPPORTUNITY	ASADI, FAREED RELATIONSHIP	5/11/2009 1135
			Part of a larger relationship	ASADI, FAREED RELATIONSHIP Yes
6413999874E7	0	BLACK PEARL GLOBAL OPPORTUNITY	ASADI, FAREED RELATIONSHIP	2/8/2010 2.88
			Non-WIC Account	
0		BLACK PEARL GLOBAL OPPORTUNITY	ASADI, FAREED RELATIONSHIP	5/10/2010 0
			Part of a larger relationship	ASADI, FAREED RELATIONSHIP Yes
		PATRIOT STRATEGY PARTNERS LLC	PATRIOT STRATEGY PARTNERS RELATIONSHIP	7/29/2013
0	23877.9552		Client self-directed investment	
		NOMURA SECURITIES INTERNATIONAL	PATRIOT STRATEGY PARTNERS RELATIONSHIP	10/14/2013
0	10254.12743		Client self-directed investment	
61E7	15694.67591	SOUTHERN FINANCIAL LLC	SOUTHERN FINANCIAL RELATIONSHIP	8/26/2013 7.0872398316
			Client self-directed investment	
		SOUTHERN TRUST COMPANY INC	SOUTHERN FINANCIAL RELATIONSHIP	8/26/2013 4828
234.41797			Client self-directed investment	
		JEEPERS INC	SOUTHERN FINANCIAL RELATIONSHIP	9/23/2013 3.642945384542E7
46506.14293			Client self-directed investment	
		THE HAZE TRUST	SOUTHERN FINANCIAL RELATIONSHIP	9/23/2013 2.123449473905E7
5733.70994			Client self-directed investment	
0	0	J EPSTEIN VIRGIN ISLANDS FOUNDATION INC	SOUTHERN FINANCIAL RELATIONSHIP	9/30/2013
			Part of a larger relationship	SOUTHERN FINANCIAL RELATIONSHIP Yes
		JEFFREY EPSTEIN	SOUTHERN FINANCIAL RELATIONSHIP	9/23/2013 0 0 Part
			of a larger relationship	SOUTHERN FINANCIAL RELATIONSHIP Yes
		SOUTHERN FINANCIAL LLC	SOUTHERN FINANCIAL RELATIONSHIP	10/8/2013 0 0
			Client self-directed investment	
		SOUTHERN TRUST COMPANY INC	SOUTHERN FINANCIAL RELATIONSHIP	10/8/2013 0
0			Client self-directed investment	
		SOUTHERN TRUST COMPANY INC	SOUTHERN FINANCIAL RELATIONSHIP	10/8/2013 0
0			Collateral account	
		SOUTHERN TRUST COMPANY INC	SOUTHERN FINANCIAL RELATIONSHIP	10/8/2013 0
0			Collateral account	
		SOUTHERN FINANCIAL LLC	SOUTHERN FINANCIAL RELATIONSHIP	11/4/2013 0 0
			Collateral account	
		SOUTHERN FINANCIAL LLC	SOUTHERN FINANCIAL RELATIONSHIP	11/4/2013 62999.99412
26.25			Collateral account	
			For internal use only	