

Subject: Fw: Black Follow Ups week of 4/28 [I]
From: Tazia Smith <[REDACTED]>
Date: Tue, 29 Apr 2014 10:48:26 -0400
To: Roddy Moore <roddy.moore@db.com>
Cc: Paul Morris <[REDACTED]>

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Roddy - See Paul's comment. Let us know any updated thoughts on prepping a draft of the ISDA now

----- Forwarded by Tazia Smith/db/dbcom on 04/29/2014 10:47 AM -----

From: Paul Morris/db/dbcom
To: Tazia Smith/db/dbcom@DBAMERICAS,
Cc: Amanda Kirby/db/dbcom@DBAMERICAS, Vahe Stepanian/db/dbcom@DBAMERICAS
Date: 04/29/2014 10:18 AM
Subject: Re: Black Follow Ups week of 4/28 [I]

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let crm know that he only has 500 million credit facility, 6bn + of assets, should be very easy

Paul Morris
Managing Director
Deutsche Bank Private Bank
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Office: [REDACTED]
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From: Tazia Smith/db/dbcom

To: Paul Morris,

Cc: Amanda Kirby/db/dbcom@DBAMERICAS, Vahe Stepanian/db/dbcom@DBAmeric
as

Date: 04/28/2014 04:05 PM

Subject: Black Follow Ups week of 4/28 [I]

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Paul -

I spoke with CRM. Good news: (1) Leon opened a personal account, easier for ISDA negotiation than an entity, (2) they understand and are receptive to us emulating SOFL's terms and agree that this should greatly accelerate the process. Bad news: CRM won't begin the process until we have a PFS in house - the bulk of the asset side of his balance sheet may be publicly available, but his liability side is not.

Recap for Leon:

Tuesday 4/29

- Tazia/Vahe/Jay - plan out all information required and prepare all documents for account to mirror Jeffrey's (AWM and CB&S) to be able to send email to Eileen/Darren [Wednesday]. Need to include in London onboarding discussions as well. Equity Derivs, FX, Commods, etc...
- Paul/Tazia - check in with Eileen, thank you and our team be sending through additional paperwork for completion, ISDA draft to follow as soon as PFS is in house (will move forward with the negotiation with Darren)

Wednesday 4/30

- Team - Send add'l paperwork over to Eileen/Darren

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Tazia Smith
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