

Subject: RE: Southern Financial revenues [I]
From: Mathew Negus [REDACTED]
Date: Thu, 31 Jul 2014 08:41:45 -0400
To: Paul Morris <[REDACTED]>,
Tazia Smith <[REDACTED]>

Classification: For internal use only

I'll check tactfully with salesreportng to confirm what RCs are booked in the system.

If only 2.5k booked to Matt then,

- we'll need to speak to him directly (WM reports in line with what is recorded and booked to the sales force)
- or go to trading and get conf on email that RC's should have been 'X'

Kind regards,

{https://brandportal.intranet.db.com/img/modules/-DAWM_logo_metallic_46x46.gif}

Mathew Negus
Vice President | Key Client Partners - Americas

DB Securities Inc
Deutsche Asset & Wealth Management
345 Park Avenue, 10154-0004 New York, NY, USA
Tel. +1(212)250-6746
Mobile [REDACTED]
Email mathew.negus@db.com

From: Paul Morris
Sent: Wednesday, July 30, 2014 11:05 AM
To: Tazia Smith
Cc: Mathew Negus
Subject: Re: Southern Financial revenues [I]

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pls be tactful
Paul Morris
Managing Director
Deutsche Bank Private Bank
345 Park Avenue, 27th Floor
New York, NY 10154
Office: 212-454-0701
Cell: 917-971-2507

{Inactive hide details for Tazia Smith---07/30/2014 11:02:04 AM---
Classification: For internal use only Mat - Apparently Matt i}Tazia
Smith---07/30/2014 11:02:04 AM---Classification: For internal use only Mat -
Apparently Matt in commodities told Paul that the oil a

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Mat -

Apparently Matt in commodities told Paul that the oil and copper trades were 10x what they showed us as RCs. Do you want to follow up with Matt or do you want me to?

TDS

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Tazia Smith
Director | Key Client Partners - US

DB Securities Inc
Deutsche Asset & Wealth Management
345 Park Avenue, 10154-0004 New York, NY, USA
Tel. +1(212)454-2889
Fax +1(646)257-3131
Mobile [REDACTED]
Email [REDACTED]

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{Inactive hide details for Paul Morris---07/30/2014 10:41:48 AM---
Classification: For internal use only these # you're getting f}Paul
Morris---07/30/2014 10:41:48 AM---Classification: For internal use only
these # you're getting from cb&s look very low??? Paul Morris

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Subject:

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these # you're getting from cb&s look very low???

Paul Morris

Managing Director

Deutsche Bank Private Bank

345 Park Avenue, 27th Floor

New York, NY 10154
Office: 212-454-0701
Cell: [REDACTED]

{Inactive hide details for Tazia Smith---07/23/2014 04:17:49 PM---
Classification: For internal use only Paul - YTD total and spe}Tazia
Smith---07/23/2014 04:17:49 PM---Classification: For internal use only Paul
- YTD total and specifics below. \$37,018 in 2013.

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Subject:

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Paul - YTD total and specifics below.

\$37,018 in 2013.

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Tazia Smith
Director | Key Client Partners - US

DB Securities Inc
Deutsche Asset & Wealth Management
345 Park Avenue, 10154-0004 New York, NY, USA
Tel. +1(212)454-2889
Fax +1(646)257-3131
Mobile [REDACTED]
Email [REDACTED]

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{Inactive hide details for Paul Morris---07/23/2014 04:01:39 PM---
Classification: For internal use only Matt, can you look into}Paul
Morris---07/23/2014 04:01:39 PM---Classification: For internal use only
Matt, can you look into commodities trades we have done (oil,

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[REDACTED]

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mathew.negus@db.com,

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07/23/2014 04:01 PM

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Subject:

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Southern Financial revenues [I]

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Matt, can you look into commodities trades we have done (oil, copper) and associated revenue, i don't think they are being captured. thx

Paul Morris
Managing Director
Deutsche Bank Private Bank
345 Park Avenue, 27th Floor
New York, NY 10154
Office: 212-454-0701
Cell: 