

Subject: RE: Southern Financial revenues [I]
From: Paul Morris <[REDACTED]>
Date: Thu, 31 Jul 2014 15:08:31 -0400
To: Mathew Negus <[REDACTED]>
Cc: Tazia Smith <[REDACTED]>

Classification: For internal use only

thx Matt

Paul Morris
Managing Director
Deutsche Bank Private Bank
345 Park Avenue, 27th Floor
New York, NY 10154
Office: [REDACTED]
Cell: [REDACTED]

From: Mathew Negus/db/-
dbcom@DBCOEX

To: Paul Morris/db/dbcom@DBAMERICAS, Tazia Smith/db/-
dbcom@DBAMERICAS,

Date: 07/31/2014 08:41
AM

Subject: RE: Southern Financial revenues
[I]

Classification: For internal use only

I'll check tactfully with salesreportng to confirm what RCs are booked in the system.

EFTA01469883

If only 2.5k booked to Matt then,

- we'll need to speak to him directly (WM reports in line with what is recorded and booked to the sales force)
- or go to trading and get conf on email that RC's should have been 'X'

Kind regards,

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https://brandportal.intranet.db.com/img/modules/DAWM_logo_metallic_46x46.gif

Mathew Negus
Vice President | Key Client Partners - Americas

DB Securities Inc
Deutsche Asset & Wealth Management
345 Park Avenue, 10154-0004 New York, NY, USA
Tel. + [REDACTED]
Mobile [REDACTED]
Email [REDACTED]

From: Paul Morris
Sent: Wednesday, July 30, 2014 11:05 AM
To: Tazia Smith
Cc: Mathew Negus
Subject: Re: Southern Financial revenues [I]

Classification: For internal use only

pls be tactful
Paul Morris
Managing Director
Deutsche Bank Private Bank
345 Park Avenue, 27th Floor
New York, NY 10154
Office: [REDACTED]
Cell: [REDACTED]

(Embedded image moved to file: pic12423.gif)Inactive hide details for Tazia Smith---07/30/2014 11:02:04 AM---Classification: For internal use only Mat - Apparently Matt iTazia Smith---07/30/2014 11:02:04 AM---Classification: For internal use only Mat - Apparently Matt in commodities told Paul that the oil a

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dbcom@DBAMERICAS

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file: Paul Morris/db/dbcom@DBAMERICAS@DBCSEX,
mathew.negus@db.com,

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file: Re: Southern Financial revenues
[I]

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Subject:

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Mat -

Apparently Matt in commodities told Paul that the oil and copper trades were 10x what they showed us as RCs. Do you want to follow up with Matt or do you want me to?

TDS

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Tazia Smith
Director | Key Client Partners - US

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(Embedded image moved to file: pic32588.gif)Inactive hide details for Paul
Morris---07/30/2014 10:41:48 AM---Classification: For internal use only these
you're getting fPaul Morris---07/30/2014 10:41:48 AM---Classification: For
internal use only these # you're getting from cb&s look very low??? Paul
Morris

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dbcom@DBAMERICAS

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dbcom@DBAMERICAS@DBC0EX,

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Vahe

file: Stepanian/db/-
dbcom@DBAMERICAS

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[I]

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C280

Subject:

Classification: For internal use only

these # you're getting from cb&s look very low???

EFTA01469889

Paul Morris
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Cell: [REDACTED]

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dbcom@DBAMERICAS

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dbcom@DBAMERICAS@DBC0EX,

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file: Stepanian/db/-

dbcom@DBAmericas

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[I]

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Subject:

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Paul - YTD total and specifics below.

\$37,018 in 2013.

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Tazia Smith
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(Embedded image moved to file: pic05610.gif)Inactive hide details for Paul
Morris---07/23/2014 04:01:39 PM---Classification: For internal use only Matt,
can you look into Paul Morris---07/23/2014 04:01:39 PM---Classification: For
internal use only Matt, can you look into commodities trades we have done
(oil,

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dbcom@DBAMERICAS

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to file:
mathew.negus@db.com,
pic04375.jpg
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Date:

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to file: Southern Financial revenues
[I]

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Subject:

Classification: For internal use only

Matt, can you look into commodities trades we have done (oil, copper) and associated revenue, i don't think they are being captured. thx

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