

Account # : [REDACTED]

Account Short Name: EPSTEIN

Symbol	CUSIP	Sec. Description	Quantity	Position	Val.
Sec. Type	Position Held	USDE Val.	Acct. Type	Trans.	
Type	Last Price ³	Price Date	Chg.		
WAMU3515294	939336S20	WASHINGTON MUT MTG SECS CORP 2004-RA2 MTG			
PASSTHRU CTF CL C-B-1 VAR RATE 6.972% 07/25/33 B/E DTD 06/01/04 N/C					
1290000	648511.90	CORPORATE BOND	USD	648511.90	CASH
Long	87.011				
TWTR	90184L102	TWITTER INC COM	1500	79200	COMMON STOCK
USD	79200	CASH	Long	52.80	09/11/2014 10:57:01 ET -0.11
SVM3861776	81760NAN9	SERVICEMASTER CO SR NT - CUSIP FULLY			
EXCHANGED FROM OLD CUSIP 81760NAL3 8.000% 02/15/20 B/E DTD 02/13/12 CLB CLB					
02/15/15 @106.000		1440000	1522800	CORPORATE BOND	USD 1522800
CASH	Long	105.75			
SGMO	800677106	SANGAMO BIOSCIENCES INC COM		50000	630000
COMMON STOCK	USD	630000	CASH	Long	12.60 09/11/2014
10:56:04 ET					-0.30
SC	80283M101	SANTANDER CONSUMER USA HLDGS INC COM		1000	
19230	COMMON STOCK	USD	19230	CASH	Long 19.23 09/11/2014
10:56:04 ET					-0.02
RBS PRT 780097713		ROYAL BK SCOTLAND GP PLC SPON ADR REPSTG SER T PFD			
CPN-7.25% FREQ QRTL PERP MTY CALL@25 ANY W/30 D NOTICE AFTR 12/31/12					
120000	3016800	PREFERRED STOCK	USD	3016800	CASH Long 25.14
09/11/2014 10:54:04 ET					0.19
PDVA3854937	P7807HAF2	PETROLEOS DE VENEZUELA NOTES SENIOR			
ISIN#XS0460546525 5.000% 10/28/15 REG DTD 10/28/09 N/C				2500000	2131250
CORPORATE BOND	USD	2131250	CASH	Long	85.25
MBLY	N51488117	MOBILEYE NV AMSTELVEEN ORD SHS ISIN#NL0010831061			
800	44040	COMMON STOCK	USD	44040	CASH Long 55.05
09/11/2014 10:57:00 ET					-0.43
LYG4115459	539439AG4	LLOYDS BANKING GROUP PLC FXD RATE RESET			
ADDL TIER 1 SECS ISIN#US539439AG42 7.500% 09/27/88 B/E DTD 04/07/14 CLB CLB					
06/27/24 @100.000		1903000	1969605	CORPORATE BOND	USD 1969605
CASH	Long	103.5			
LYG3818427	G4S15PP48	LLOYDS TSB BK PLC EURO ISSUE MEDIUM TERM			
NOTES ISIN#XS0717735822 9.875% 12/16/21 REG DTD 12/16/11 CLB CLB 12/16/16					
@100.000		1500000	1751250	CORPORATE BOND	USD 1751250 CASH
Long					116.75
LYG.HT	G5433BBG8	LBG CAPITAL NO 1 PLC 144A ISIN#XS0473106283 8.000%			
09/15/88 REG DTD 12/15/09 CLB CLB 06/15/20 @100.000				1200000	1302000
CORPORATE BOND	USD	1302000	CASH	Long	108.5
JPM4135538	46625HHA1	JPMORGAN CHASE & CO DEP SHS REPSTG 1/10TH			
PFD SER 1 FIXED TO FLTG RT BD 7.900% 04/29/49 B/E DTD 04/23/08 CLB CLB					
04/30/18 @100.000		5000000	5452000	CORPORATE BOND	USD 5452000
CASH	Long	109.04			
JPM4054719	48126NVE4	JPMORGAN CHASE & CO MEDIUM TERM NTS CAPPED			
CONTINGENT BUFFERED EQUITY NTS 0.000% 10/16/14 B/E DTD 09/27/13 N/C					
1000000	1067700	CORPORATE BOND	USD	1067700	CASH Long 106.77
JPM	46625H100	JP MORGAN CHASE & CO COM ISIN#US46625H1005			

2903 172438.20 COMMON STOCK USD 172438.20 CASH
 Long 59.40 09/11/2014 10:57:00 ET 0.18
 HW3692321 42210PAQ5 HEADWATERS INC GTD SR NT 7.625% 04/01/19 B/-
 E DTD 03/11/11 CLB CLB 04/01/15 @103.813 1000000 1050000 CORPORATE BOND
 USD 1050000 CASH Long 105
 HNT 42222G108 HEALTH NET INC COM 25000 1152500 COMMON
 STOCK USD 1152500 CASH Long 46.10 09/11/2014 10:56:05
 ET -0.45
 GM 37045V100 GENERAL MTRS CO COM 97525 3254409.25
 COMMON STOCK USD 3254409.25 CASH Long 33.37 09/11/2014
 10:56:05 ET 0.08
 GILD 375558103 GILEAD SCIENCES INC 8100 864756 COMMON
 STOCK USD 864756 CASH Long 106.76 09/11/2014 10:57:01
 ET -1.46
 GE3864337 369622SN6 GENERAL ELEC CAP CORP NON CUM PFD SER A
 7.125% 06/12/62 B/E DTD 06/12/12 CLB CLB 06/15/22 @100.000 2000000
 2345000 CORPORATE BOND USD 2345000 CASH Long 117.25
 GE 369604103 GENERAL ELECTRIC CO COM 23000 597080 COMMON
 STOCK USD 597080 CASH Long 25.96 09/11/2014 10:57:01 ET
 0.01
 FMI 350465100 FOUNDATION MEDICINE INC COM 49830
 1098253.20 COMMON STOCK USD 1098253.20 CASH Long
 22.04 09/11/2014 10:55:02 ET -0.61
 FB 30303M102 FACEBOOK INC CL A 17500 1358525 COMMON
 STOCK USD 1358525 CASH Long 77.63 09/11/2014 10:57:01 ET
 0.20
 ELNK.AA 270321AC6 EARTHLINK INC GTD SR NT FULLY EXCHANGED CUSIP FROM
 270321AB8 8.875% 05/15/19 B/E DTD 05/17/11 CLB CLB 05/15/15 @104.438
 1000000 1010000 CORPORATE BOND USD 1010000 CASH Long 101
 EIX.AA 842400FU2 SOUTHERN CALIF EDISON CO PREF SER E 6.250% 02/01/22
 B/E DTD 01/17/12 CLB CLB 02/01/22 @100.000 3000000 3305640 CORPORATE
 BOND USD 3305640 CASH Long 110.188
 DXJ 97717W851 WISDOMTREE TR JAPAN HEDGED EQUITY FD 60000
 3070800 COMMON STOCK USD 3070800 MARGIN Long 51.18 09/11/2014
 10:57:00 ET -0.41
 DWGI MMFDWGI DWS GOVERNMENT CASH INSTL 1.923950006E7
 1.923950006E7 MONEY FUND 1.923950006E7 CASH Long 1
 BK 064058100 BANK OF NEW YORK MELLON CORP COM 250000
 9775000 COMMON STOCK USD 9775000 CASH Long 39.10 09/11/2014
 10:57:00 ET -0.03
 BIIB 09062X103 BIOGEN IDEC INC COM 2095 684457.45
 COMMON STOCK USD 684457.45 CASH Long 326.71 09/11/2014
 10:57:00 ET -5.37
 BCS3990764 06739FHK0 BARCLAYS BK PLC CONTINGENT CAP NT
 ISIN#US06739FHK03 7.750% 04/10/23 B/E DTD 04/10/13 CLB CLB 04/10/18
 @100.000 300000 331125 CORPORATE BOND USD 331125 CASH
 Long 110.375
 BAC3311881 12669DYS1 COUNTRYWIDE HOME LOANS SER 03-1 CL B1
 4.090% 03/25/33 B/E DTD 01/30/03 N/C 4500000 896716.22 CORPORATE
 BOND USD 896716.22 CASH Long 85.96
 BAC.HDX 060505DT8 BANK AMER CORP PERP NON COMULATIVE DEP SHS FIXED TO

FLOAT 8.125% 04/30/58 B/E DTD 04/30/08 CLB CLB 05/15/18 @100.000 2000000
 2180000 CORPORATE BOND USD 2180000 CASH Long 109
 ASNA 04351G101 ASCENA RETAIL GROUP INC COM 70500 1205550
 COMMON STOCK USD 1205550 CASH Long 17.1 09/11/2014
 10:56:04 ET 0.09
 ARIA 04033A100 ARIAD PHARMACEUTICALS INC 16535
 97391.15 COMMON STOCK USD 97391.15 CASH Long
 5.89 09/11/2014 10:56:00 ET -0.01
 APO 037612306 APOLLO GLOBAL MGMT LLC CL A SHS 263157
 6165768.51 COMMON STOCK USD 6165768.51 CASH Long
 23.43 09/11/2014 10:56:01 ET -0.23
 ALLY PRB 02005N308 ALLY FINL INC FIX TO FLT DIV-8.50% FREQ-
 QTRLY CALLABLE @ 25.00 ON A QTRLY BASIS AFTER 05/15/2016 126600 3420732
 PREFERRED STOCK USD 3420732 CASH Long 27.02 09/11/2014
 10:53:04 ET 0
 ALLY PRA 361860208 GMAC CAP TR I GTD TR PFD SECS SER 2 CPN-
 8.125% FREQ QTRLY MATY-02/15/2040 CALL ANYTIME @25 W/30 DAYS BEGINS
 02/15/16 80000 2130400 PREFERRED STOCK USD 2130400 CASH
 Long 26.63 09/11/2014 10:53:04 ET 0
 AAPL 037833100 APPLE INC COM 164000 16360640 COMMON
 STOCK USD 16360640 CASH Long 99.76 09/11/2014
 10:57:01 ET -1.24
 AAL 01172015 P 20 99QADTZQ0 PUT 100 AMERICAN AIRLS GROUP INC
 COM EXP 01-17-15@20.000 OPTION ROOT= AAL -1000 -7000 OPTION
 USD -7000 SHORT Short 0.07 09/10/2014 0
 AAL 01172015 C 20 99QADTZJJ CALL 100 AMERICAN AIRLS GROUP INC
 COM EXP 01-17-15@20.000 OPTION ROOT= AAL 1000 1790000 OPTION USD
 1790000 MARGIN Long 17.9 09/10/2014 0
 Totals SUM(E4:E42)=1.0318406894000001E8
 Confidential

Account # : ██████████
 Account Short Name: EPSTEIN
 Symbol CUSIP Sec. Description Quantity Position Val.
 Sec. Type Position Held USDE Val. Acct. Type Trans.
 Type Last Price³ Price Date Chg.
 PDVA3854937 P7807HAF2 PETROLEOS DE VENEZUELA NOTES SENIOR
 ISIN#XS0460546525 5.000% 10/28/15 REG DTD 10/28/09 N/C 2000000 1705000
 CORPORATE BOND USD 1705000 CASH Long 85.25
 DWGI MMFDWGI DWS GOVERNMENT CASH INSTL 1115628.05
 1115628.05 MONEY FUND 1115628.05 CASH Long 1
 ALLY PRB 02005N308 ALLY FINL INC FIX TO FLT DIV-8.50% FREQ-
 QTRLY CALLABLE @ 25.00 ON A QTRLY BASIS AFTER 05/15/2016 57000 1539570
 PREFERRED STOCK USD 1539570 CASH Long 27.01 09/11/2014
 10:59:00 ET -0.01
 G63980UK3 NATIONWIDE BUILDING SOCIETY EURO ISSUE CONV GBP
 ISIN#XS1043181269 6.875% 09/20/88 REG DTD 03/11/14 CLB CLB 06/20/19
 @100.000 2000000 1978800 CORPORATE BOND In Currency
 3194772.60 CASH Long 98.94
 Totals SUM(E4:E7)=6338998.05
 Cash 1115628.05
 Balance Total B10+E8=7454626.1
 Confidential

Account # : [REDACTED]
 Account Short Name: EPSTEIN
 Symbol CUSIP Sec. Description Quantity Position Val.
 Sec. Type Position Held USDE Val. Acct. Type Trans.
 Type Last Price³ Price Date Chg.
 JPHSX 48121L510 JP MORGAN FLOATING RATE INCOME FUND SELECT
 SHARES 159181.57 1594999.3314 MUTUAL FUND USD
 1594999.3314 CASH Long 10.02 09/10/2014 20:00:03 ET -0.01
 DWGI MMFDWGI DWS GOVERNMENT CASH INSTL 2.26802257E7
 2.26802257E7 MONEY FUND 2.26802257E7 CASH Long 1
 Totals SUM(E4:E5)=2.42752250314E7
 Confidential

Account # : [REDACTED]
 Account Short Name: EPSTEIN
 Symbol CUSIP Sec. Description Quantity Position Val.
 Sec. Type Position Held USDE Val. Acct. Type Trans.
 Type Last Price³ Price Date Chg.
 JPM4135538 46625HHA1 JPMORGAN CHASE & CO DEP SHS REPSTG 1/10TH
 PFD SER 1 FIXED TO FLTG RT BD 7.900% 04/29/49 B/E DTD 04/23/08 CLB CLB
 04/30/18 @100.000 3000000 3271200 CORPORATE BOND USD 3271200
 CASH Long 109.04
 JPHSX 48121L510 JP MORGAN FLOATING RATE INCOME FUND SELECT
 SHARES 212495.183 2129201.73366 MUTUAL FUND USD
 2129201.73366 CASH Long 10.02 09/10/2014 20:00:03 ET -0.01
 IPMT.AA 46262EAE5 IPAYMENT INC GTD SR NT 10.250% 05/15/18 B/E DTD
 11/15/11 CLB CLB 05/15/15 @105.125 500000 432500 CORPORATE BOND
 USD 432500 CASH Long 86.5
 GS3471173 36242D7X5 GS MTG SECS CORP 2005-5F MTG PASSTHRU CTF
 CL B1 VAR 5.751% 06/25/35 B/E DTD 05/01/05 N/C 1500000 1168494.63
 CORPORATE BOND USD 1168494.63 CASH Long 96.982
 DWGI MMFDWGI DWS GOVERNMENT CASH INSTL 9907033.76
 9907033.76 MONEY FUND 9907033.76 CASH Long 1
 C PRK 172967341 CITIGROUP INC DEP SHS REPSTG 1/1000TH PFD SER K DIV
 6.875% PERPETUAL MATY PERPETUAL CALL 11/15/23@25.00 79000 2077700
 PREFERRED STOCK USD 2077700 CASH Long 26.30 09/11/2014
 10:59:02 ET -0.06
 BTU.GF 704549AE4 PEABODY ENERGY CORP SR NT 7.375% 11/01/16 B/E DTD
 10/12/06 N/C 1200000 1293000 CORPORATE BOND USD 1293000 CASH
 Long 107.75
 BAC.HDX 060505DT8 BANK AMER CORP PERP NON COMULATIVE DEP SHS FIXED TO
 FLOAT 8.125% 04/30/58 B/E DTD 04/30/08 CLB CLB 05/15/18 @100.000 3000000
 3270000 CORPORATE BOND USD 3270000 CASH Long 109
 Totals SUM(E4:E11)=2.354913012366E7
 Confidential

Account #	:		Account	
#	:			
Account Short Name:		EPSTEIN	Account Short Name:	EPSTEIN
Symbol	CUSIP	Sec. Description	Quantity	Position Val.
Sec. Type		Position Held	USDE Val.	Acct. Type
Type	Last Price ³	Price Date	Chg.	Trans.
DWGI	MMFDWGI	DWS GOVERNMENT CASH INSTL		2041130.94
2041130.94		MONEY FUND	2041130.94	CASH Long 1
Totals	SUM(E4)=2041130.94			
Confidential				

Account #

#

Account Short Name:

EPSTEIN

Account

EPSTEIN

Symbol CUSIP Sec. Description

Quantity

Position Val.

Sec. Type

Position Held

USDE Val.

Acct. Type

Trans.

Type

Last Price³

Price Date

Chg.

DBDA MMFDBDA INSURED DEPOSITS PROGRAM

667098.79

667098.79 MONEY FUND 667098.79

CASH Long 1

Totals SUM(E4)=667098.79

Confidential

[REDACTED]
Account #

:

[REDACTED]

Account Short Name: EPSTEIN

Symbol	CUSIP	Sec. Description	Quantity	Position Val.
Sec. Type	Position Held	USDE Val.	Acct. Type	Trans.
Type	Last Price ³	Price Date	Chg.	

Totals SUM(E4)=0.0

Confidential

.

Account #

:

Account Short Name: EPSTEIN

Symbol	CUSIP	Sec. Description	Quantity	Position Val.
--------	-------	------------------	----------	---------------

Sec. Type	Position Held	USDE Val.	Acct. Type	Trans.
-----------	---------------	-----------	------------	--------

Type	Last Price ³	Price Date	Chg.
------	-------------------------	------------	------

Totals SUM(E4)=0.0

Confidential

Account #

:

Account Short Name: EPSTEIN

Symbol	CUSIP	Sec. Description	Quantity	Position Val.
--------	-------	------------------	----------	---------------

Sec. Type	Position Held	USDE Val.	Acct. Type	Trans.
-----------	---------------	-----------	------------	--------

Type	Last Price ³	Price Date	Chg.
------	-------------------------	------------	------

Totals SUM(E4)=0.0

Confidential

Account #

:

Account Short Name: EPSTEIN

Symbol	CUSIP	Sec. Description	Quantity	Position Val.
Sec. Type	Position Held	USDE Val.	Acct. Type	Trans.
Type	Last Price ³	Price Date	Chg.	

Totals SUM(E4)=0.0

Confidential

Account #

:

Account Short Name: EPSTEIN

Symbol	CUSIP	Sec. Description	Quantity	Position Val.
Sec. Type	Position Held	USDE Val.	Acct. Type	Trans.
Type	Last Price ³	Price Date	Chg.	

DBDA	MMFDBDA	INSURED DEPOSITS PROGRAM		233017.58
233017.58		MONEY FUND	233017.58	CASH Long 1

Totals SUM(E4)=233017.58

Confidential