

Subject: Re: [C]
From: Tazia Smith <[REDACTED]>
Date: Fri, 19 Sep 2014 10:27:13 -0400
To: jeffrey E. <jeevacation@gmail.com>
Cc: Richard Kahn <[REDACTED]>,
Vahe Stepanian <[REDACTED]>,
matt.glassman@db.com,
Paul Morris <[REDACTED]>

Classification: Confidential

Jeffrey - order to sell 1000 BK Jan 42 calls is entered at \$1.20. Current market is \$0.81 x \$0.97.

41s = 1.24 x 1.39
43s = 0.54 x 0.63
Source: Bloomberg

Tazia Smith
Director | Key Client Partners - US

DB Securities Inc
Deutsche Asset & Wealth Management

[REDACTED]

Email [REDACTED]

From: Tazia Smith/db/dbcom To: "jeffrey E." <jeevacation@gmail.com>@DEUBAINT, Cc: Richard Kahn <[REDACTED]>
Date: 09/19/2014 10:22 AM Subject: [C]

Classification: Confidential

got it

Tazia Smith
Director | Key Client Partners - US

DB Securities Inc
Deutsche Asset & Wealth Management

[REDACTED]

Email [REDACTED]

From: "jeffrey E." <jeevacation@gmail.com> To: Tazia Smith/db/-dbcom@DBAMERICAS, Richard Kahn <[REDACTED]>, Date: 09/19/2014 10:20 AM Subject: Re: Alibaba Update \$80-83, buy imbalance [C]

sell 1000 jan 42 bk calls at 1.20

On Fri, Sep 19, 2014 at 10:10 AM, Tazia Smith <[REDACTED]> wrote:
Classification: Confidential

Jeffrey -

Alibaba not open yet, looking \$80-83 last (vs. \$68 price), but still on a buy imbalance on the NYSE. Will keep you posted. Let us know if this is something you want to sell, hold or add.

Best Regards,

EFTA01471030

Tazia

From: Tazia Smith/db/dbcom To: "jeffrey epstein" <jeevacation@gmail.com>, Cc: "Paul Morris" <[REDACTED]>, "Vahe Stepanian" <[REDACTED]>, "MG" <[REDACTED]>, "Rich Kahn" <[REDACTED]>, > Date: 09/19/2014 08:45 AM Subject: Alloc [C]

Classification: Confidential

Jeffrey -

You were allocated 2,000 Alibaba (BABA) at \$68.

TDS

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Tazia Smith
Director
Key Client Partners - US

Deutsche Bank Securities, Inc.
Deutsche Asset & Wealth Management

[REDACTED]
E-mail: [REDACTED]

From: Nate Hall
Sent: 09/19/2014 03:42 AM GMT
Subject: *** PRICING DETAILS *** JOINT BOOKRUN IPO *** ALIBABA GROUP (NYSE:

EFTA01471031

BABA) *** APPROVED FOR EXTERNAL DISTRIBUTION ***

*** APPROVED FOR EXTERNAL DISTRIBUTION ***

Issuer: Alibaba Group Holding Limited

Symbol: BABA

Deal size: 320,106,100 shares (38% primary / 62% secondary) plus 15%
greenshoe (54% primary / 46% secondary)

Offer price: \$68.00

Exchange: NYSE

Trade date: 9/18

Settlement date: 9/24

Bookrunners:

Deutsche Bank

Credit Suisse

Goldman Sachs

JPMorgan

Morgan Stanley

Citi

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Alternatively, the issuer, any underwriter or any dealer participating in the offering will arrange to send you the prospectus if you request it by calling toll-free 1-800-503-4611 or by mail from Deutsche Bank Securities Inc., 60 Wall Street, New York, New York 10016, or by email at prospectus.cpdg@db.com

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