Subject: Trade Report 9/19 [C]
From: Tazia Smith <[redacted]>
Date: Fri, 19 Sep 2014 12:22:36 -0400
To: jeevacation@gmail.com
Cc: [redacted],
Paul Morris <[redacted]>,
[redacted]
Vahe Stepanian <[redacted]>

Classification: Confidential

Jeffrey -

S 2000 BABA at $92.352 (+$48.7k)

TDS

From: "jeffrey E." <jeevacation@gmail.com> To: Tazia Smith/db/-[redacted] Date: 09/19/2014 12:19 PM Subject: Re: Alibaba opens $92.70 [C]

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sell

On Fri, Sep 19, 2014 at 11:55 AM, Tazia Smith <[redacted]> wrote:
Classification: Confidential

Jeffrey -

Alibaba opens at $92.70, ~$95 last (+$54k on your position)

TDS

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Tazia Smith
Director | Key Client Partners - US

DB Securities Inc
Deutsche Asset & Wealth Management
345 Park Avenue, 10154-0004 New York, NY, USA
Tel. [redacted]
Fax [redacted]
Mobile [redacted]
Email [redacted]

From: Tazia Smith/d[redacted] To: "jeffrey epstein" <jeevacation@gmail.com>,
Cc: "MG" <[redacted], "Paul Morris" <[redacted]>, "Rich
Kahn" <[redacted],>, "Vahe Stepanian" <[redacted]>
Date: 09/19/2014 10:10 AM Subject: Alibaba Update $80-83, buy imbalance [C]

Classification: Confidential

Jeffrey -

Alibaba not open yet, looking $80-83 last (vs. $68 price), but still on a buy imbalance on the NYSE. Will keep you posted. Let us know if this is something you want to sell, hold or add.

Best Regards,
Tazia

From: Tazia Smith/[redacted] To: "jeffrey epstein" <jeevacation@gmail.com>, Cc: "Paul Morris" <[redacted]>, "Vahe Stepanian" <[redacted]>, "MG" [redacted] "Rich Kahn" <[redacted],> Date: 09/19/2014 08:45 AM Subject: Alloc [C]

Classification: Confidential

Jeffrey -

You were allocated 2,000 Alibaba (BABA) at $68.

TDS

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Tazia Smith
Director
Key Client Partners - US

Deutsche Bank Securities, Inc.
Deutsche Asset & Wealth Management
345 Park Avenue - 26th Floor
New York, NY 10154
Tel: [redacted]
Mobile: [redacted]
Fax: [redacted]
E-mail: [redacted]

From: Nate Hall
Sent: 09/19/2014 03:42 AM GMT
Subject: *** PRICING DETAILS *** JOINT BOOKRUN IPO *** ALIBABA GROUP (NYSE: BABA) *** APPROVED FOR EXTERNAL DISTRIBUTION ***

*** APPROVED FOR EXTERNAL DISTRIBUTION ***

Issuer: Alibaba Group Holding Limited

Symbol: BABA

Deal size: 320,106,100 shares (38% primary / 62% secondary) plus 15% greenshoe (54% primary / 46% secondary)

Offer price: $68.00

Exchange: NYSE

Trade date: 9/18

Settlement date: 9/24

Bookrunners:

Deutsche Bank

Credit Suisse

Goldman Sachs

JPMorgan

Morgan Stanley

Citi

The issuer has filed a registration statement (including a prospectus) with the SEC for the offering to which this communication relates. Before you invest, you should read the prospectus in that registration statement and other documents the issuer has filed with the SEC for more complete information about the issuer and this offering. You may get these documents for free by visiting EDGAR on the SEC Web site, at www.sec.gov. Alternatively, the issuer, any underwriter or any dealer participating in the offering will arrange to send you the prospectus if you request it by calling toll-free 1-800-503-4611 or by mail from Deutsche Bank Securities Inc., 60 Wall Street, New York, New York 10016, or by email at prospectus.cpdg@db.com

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please note