

Subject: Re: Urgent!! Wires not credited to client account  
From: Vahe Stepanian <[REDACTED]>  
Date: Thu, 16 Oct 2014 08:48:29 -0400  
To: Kimberly Hart <[REDACTED]>,  
Paul Morris <[REDACTED]>  
Cc: Aracely Sanchez <[REDACTED]>  
Margaux Mcgrath <[REDACTED]>  
Vahe Stepanian <[REDACTED]>,  
Robert Dicerbo <[REDACTED]>

Classification: External Communication

Kim - not sure if its helpful, but I know its Southern Trust Company acct ending in x9244. Don't have the full acct number on my end.

Vahe

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Vahe Stepanian  
Associate | Key Client Partners

Deutsche Bank Securities Inc.  
Deutsche Asset & Wealth Management  
345 Park Avenue - 26th Floor  
New York, NY 10154  
Tel: [REDACTED]  
Fax: [REDACTED]  
Mobile: [REDACTED]  
E-mail: [REDACTED]

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From: Kimberly Hart  
Sent: 10/16/2014 08:42 AM EDT  
To: Paul Morris  
Cc: Aracely Sanchez; Margaux Mcgrath/db/dbcom@dbamericas; Vahe Stepanian/db/dbcom@dbamericas; Robert Dicerbo/db/dbcom@dbamericas  
Subject: Fw: Urgent!! Wires not credited to client account

Paul -  
Rob is researching the missing wires. He just needs to account number from Aracely or Margaux. I don't think that either is in the office yet.

Kimberly Hart  
Managing Director

Deutsche Bank Trust Company Americas  
Private Wealth Management  
345 Park Avenue, 24th Floor  
New York, NY 10154

Tel [REDACTED]  
Fax [REDACTED]  
Mobi [REDACTED]  
Email [REDACTED]

Securities offered through Deutsche Bank Securities Inc.  
From: Robert Dicerbo <[REDACTED]>  
Sent: Thursday, October 16, 2014 8:13 AM  
To: Kimberly Hart; Kathryn J Stanfield  
Cc: Aracely Sanchez; Margaux Mcgrath  
Subject: Re: Urgent!! Wires not credited to client account

Classification: External Communication

What account number should the funds be credited to?

Rob

Regards,

Robert DiCerbo  
DB Services New Jersey, Inc.  
Deutsche Asset & Wealth Management  
100 Plaza One, Jersey City, NJ 07311  
Tel: [REDACTED]  
Cell: [REDACTED]  
[REDACTED]

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From: Kimberly Hart  
Sent: 10/15/2014 07:03 PM EDT  
To: Robert Dicerbo; Kathryn J Stanfield/db/dbcom@dbamericas  
Cc: Aracely Sanchez; Margaux Mcgrath/db/dbcom@dbamericas  
Subject: Urgent!! Wires not credited to client account

Attached is information for two wires that were supposed to have been

credited to a client's account today but could not be applied for some reason. I need to provide an explanation to the client first thing tomorrow.

Are the funds sitting in a suspense account or were they returned?  
If they are sitting in a suspense account, how do we get them credited to the client's account?  
What was the issue that caused them not to be applied?

Any information would be helpful. Please let me know what you determine as soon as possible.

Thanks in advance.  
Kim

Kimberly Hart  
Managing Director

Deutsche Bank Trust Company Americas  
Private Wealth Management  
345 Park Avenue, 24th Floor  
New York, NY 10154  
Tel [REDACTED]  
Fax [REDACTED]  
Mobi [REDACTED]  
Emai [REDACTED]

Securities offered through Deutsche Bank Securities Inc.  
From: DB Scanner <[REDACTED]>  
Sent: Wednesday, October 15, 2014 6:12 PM  
To: Kimberly Hart  
Subject: Attached Scanner