

Subject: RE: URGENT - SECOND REQUEST - RE: Inquiry Regarding Account# 42952771, Client: SOUTHERN FINANCIAL LLC, Case No. 126413 [I]
From: Paul Morris <[REDACTED]>
Date: Wed, 08 Jul 2015 08:47:06 -0400
To: Amlcompliance Inquiries [REDACTED]
Amanda Kirby <[REDACTED]>,
Cynthia Rodriguez [REDACTED]

Please take Terri sorhab off this email thanks

-----Original Message-----

From: Amlcompliance Inquiries
Sent: Wednesday, July 08, 2015 08:02 AM Eastern Standard Time
To: Amanda Kirby; Cynthia Rodriguez
Cc: Amlcompliance Inquiries; Paul Morris; Terri Sohrab
Subject: URGENT - SECOND REQUEST - RE: Inquiry Regarding Account# 42952771, Client: SOUTHERN FINANCIAL LLC, Case No. 126413 [I]

Classification: For internal use only

Good Morning:

I am writing to follow up on the inquiry below. Please note the 7-day deadline for a response has passed. Please respond with a status update or answer to this inquiry as soon as possible.

Best Regards/Mit freundlichen Grüßen,

PWM AML Compliance
Deutsche Bank
60 Wall St., 23 Floor
New York, NY 10005 - 2836

Fax: [REDACTED]

From: Danya Friedman On Behalf Of Amlcompliance Inquiries
Sent: Monday, June 29, 2015 2:32 PM
To: Amanda Kirby; Cynthia Rodriguez
Cc: Amlcompliance Inquiries
Subject: Inquiry Regarding Account# 42952771, Client: SOUTHERN FINANCIAL LLC, Case No. 126413 [I]
Importance: High

Classification: For internal use only

Good Afternoon:

The above referenced account has had the following recent activity. To assist in this review and further document your supervision of this account, please provide information regarding the following transactions. Your complete response must be received within 7 business days.

ORIGINATOR: SOUTHERN FINANCIAL LLC DOA: 05/29/2015 AMT \$2,280,000.00
PAY TO: FT REAL ESTATE INC.

1. What is the purpose of this transaction?

2. What is the relationship between client and payee?

3. What is the purpose/business of the payee?

Please provide any additional pertinent information.

Thank you in advance for your prompt attention to this matter.

Best Regards/Mit freundlichen Grüßen,

PWM AML Compliance
Deutsche Bank
60 Wall St., 23 Floor
New York, NY 10005 - 2836

Fax: [REDACTED]

PLEASE NOTE: YOU ARE BEING CONTACTED BECAUSE YOU ARE LISTED AS THE PRIMARY

OFFICER FOR THIS ACCOUNT ON GCIS. IF YOU ARE NOT THE CURRENT PRIMARY OFFICER, PLEASE LET ME KNOW IMMEDIATELY, SO THAT I MAY FORWARD THIS EMAIL TO THE APPROPRIATE PARTY.

Do not forward this e-mail or any attachment directly to the client.