

Subject: RE: Account closing notifications - urgent [I]
From: Paul Morris <[REDACTED]>
Date: Fri, 02 Oct 2015 09:50:35 -0400
To: Jj Litchford <[REDACTED]>

Classification: For internal use only

Stop by when u have a minute

Paul Morris

Managing Director

Deutsche Bank Private Bank

Office: [REDACTED]

Cell: 9 [REDACTED]

From: Jj Litchford
Sent: Friday, October 02, 2015 9:12 AM
To: Daphne Cales
Cc: Catherine Logreco; Halina Laczny; Paul Morris
Subject: Re: Account closing notifications - urgent [I]

Thanks Daphne.

Is there anyway to change that for a given client? For context this client (Southern Financial) has around \$200MM at the bank spread over 20+ entities each with multiple accounts. This is a really contentious point for them.

Appreciate he help.

On Oct 2, 2015, at 9:08 AM, Daphne Cales <daphne.cales@db.com> wrote:

Classification: For internal use only

Good morning.

Accounts with \$0 balances for 90 days are closed automatically on the system. No notification required – if you look at page 43 of the terms and conditions it says we can close an account without notification. It is the account executive/banker/RO's responsibility to make sure accounts are funded and remain active.

Let me know if you need anything further.

Kind regards,
Daphne Cales

{<https://brandportal.intranet.db.com/img/modules/logo.gif>}

Daphne Cales
Vice President | Service Team & Branch Supervisor

Deutsche Bank Trust Company Americas
Lending & Deposits
345 Park Avenue, 10154-0004 New York, NY, USA
Tel. [REDACTED]
Fax + [REDACTED]
Mobil [REDACTED]
Email [REDACTED]

{<https://brandportal.intranet.db.com/img/modules/claim.gif>}

From: Catherine Logreco
Sent: Thursday, October 01, 2015 6:25 PM
To: Daphne Cales
Cc: Halina Laczny; Jj Litchford

Subject: FW: Account closing notifications - urgent [I]
Importance: High

Classification: For internal use only

Hi Daphne,

Would your group know about below?

From: Halina Laczny
Sent: Thursday, October 01, 2015 6:16 PM
To: Catherine Logreco
Cc: Jj Litchford
Subject: RE: Account closing notifications - urgent [I]
Importance: High

Classification: For internal use only

Cathy,

We need your help in ref. accts: a/c 42959017 and a/c#44133104.

Please check if between 2/15/2015 and 5/26/2015 was sent any notices to the client on those

accounts ?

We appreciate your assistance.

Thank you,

Kind regards,

Halina Laczny
Assistant Vice President

DB Services New Jersey,
GTO Research & Investigations

[REDACTED]

Securities offered through Deutsche Bank Securities, Inc

From: Jj Litchford
Sent: Thursday, October 01, 2015 5:47 PM
To: Halina Laczny
Subject: Account closing notifications [I]

Classification: For internal use only

Hi Halina--

One of our clients tried to transfer money today to an account which was auto closed due to inactivity (Mort, Inc. a/c 42959017). They are furious because they say they did not receive notification of the closing. Do you know who is in charge of that and if we keep records for mail that was sent? If you don't know, can you help point me in the right direction? This is very time sensitive because the client is so upset.

Much appreciated.
JJ

{cid:image001.gif@01D02B3A.F8AC3FC0}

JJ Litchford
Associate Banker

Deutsche Bank Trust Company Americas
Deutsche Asset & Wealth Management

[REDACTED]

<image006.gif>