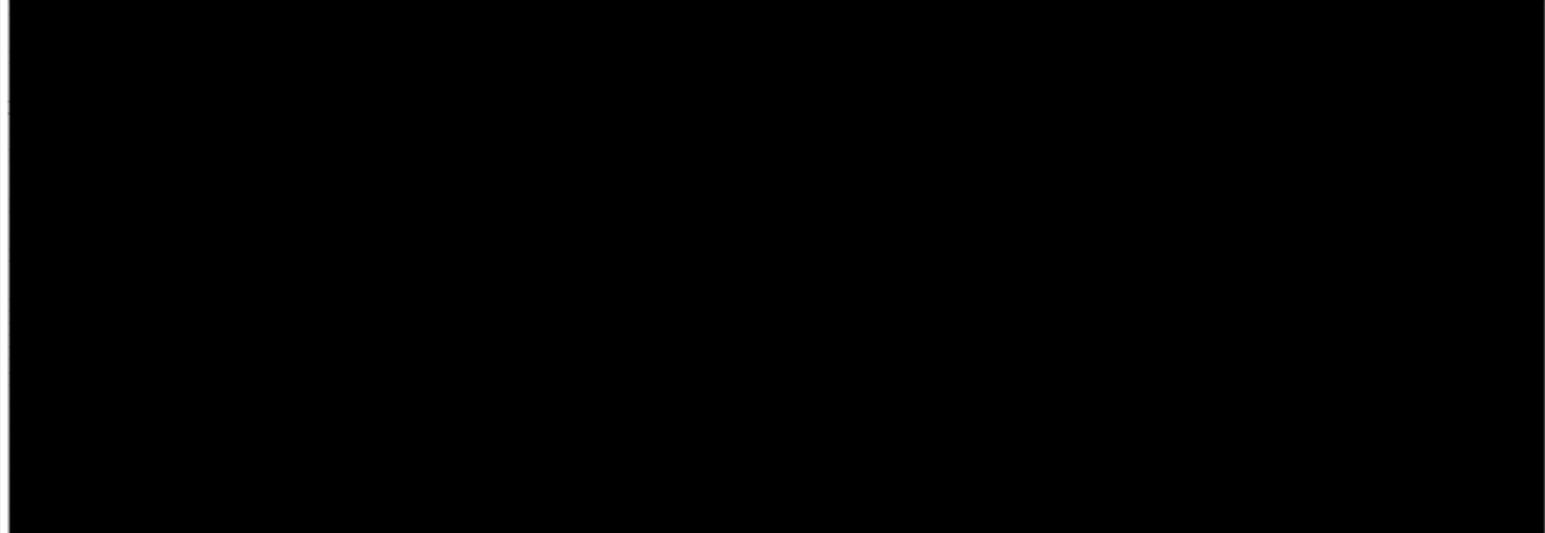
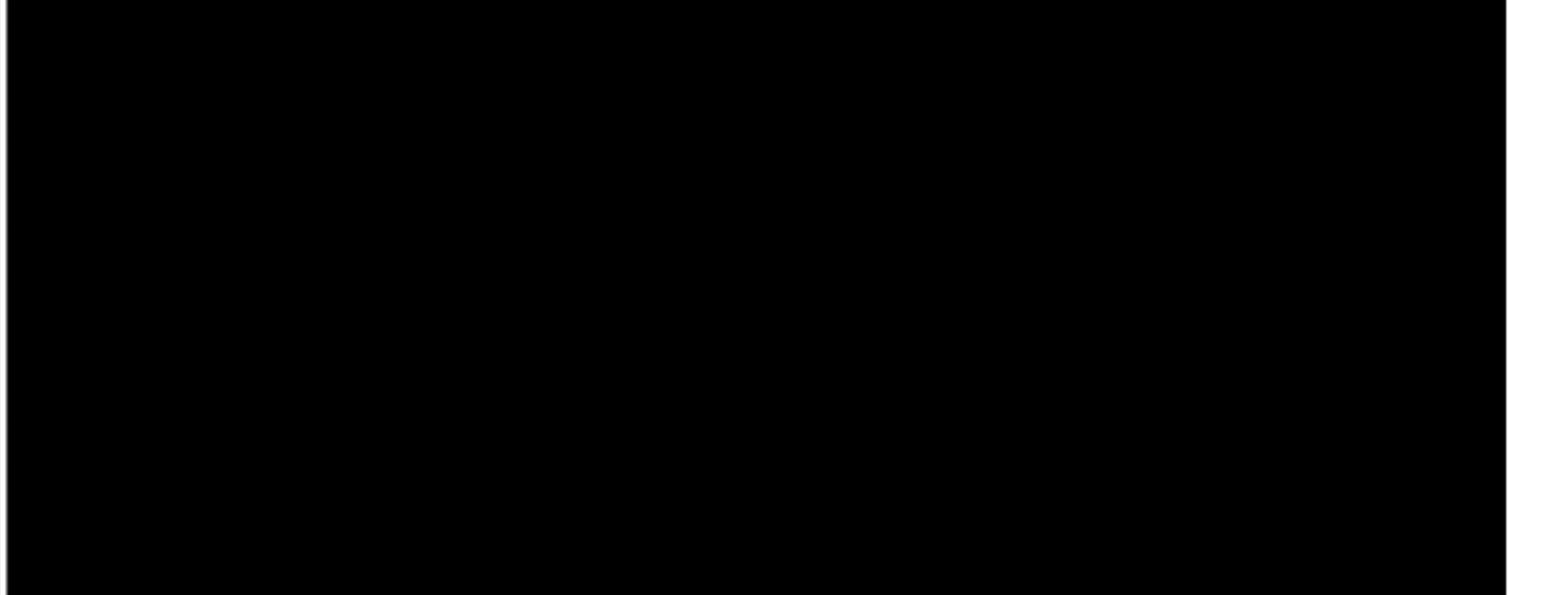


Q4 High Probability Pipeline

Opportunity Name	Customer Name	Close Date	(%)	Product Name	Actual Assets	Stru
cture	BPS/Flat Fee	Actual Revenue	Sales Team Member			

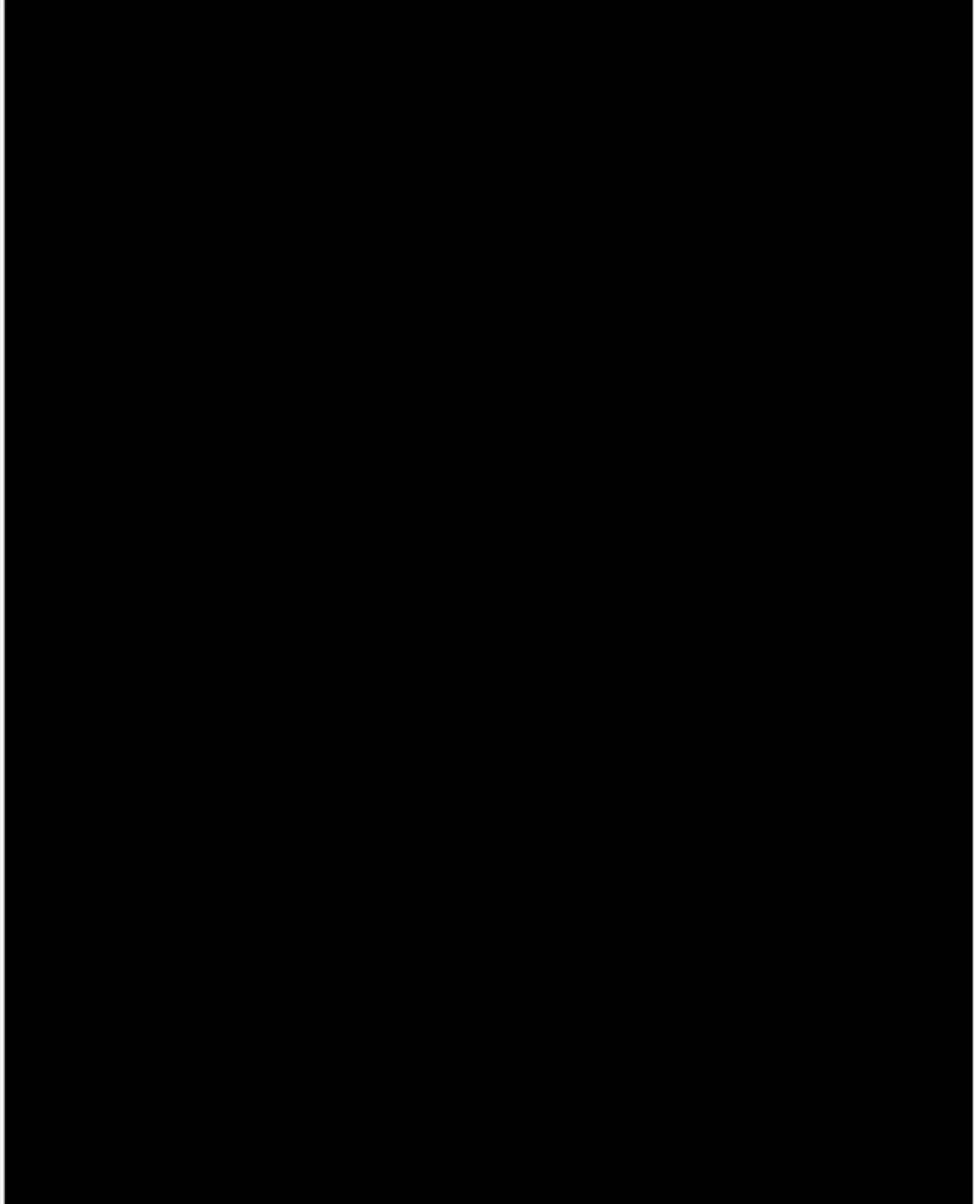


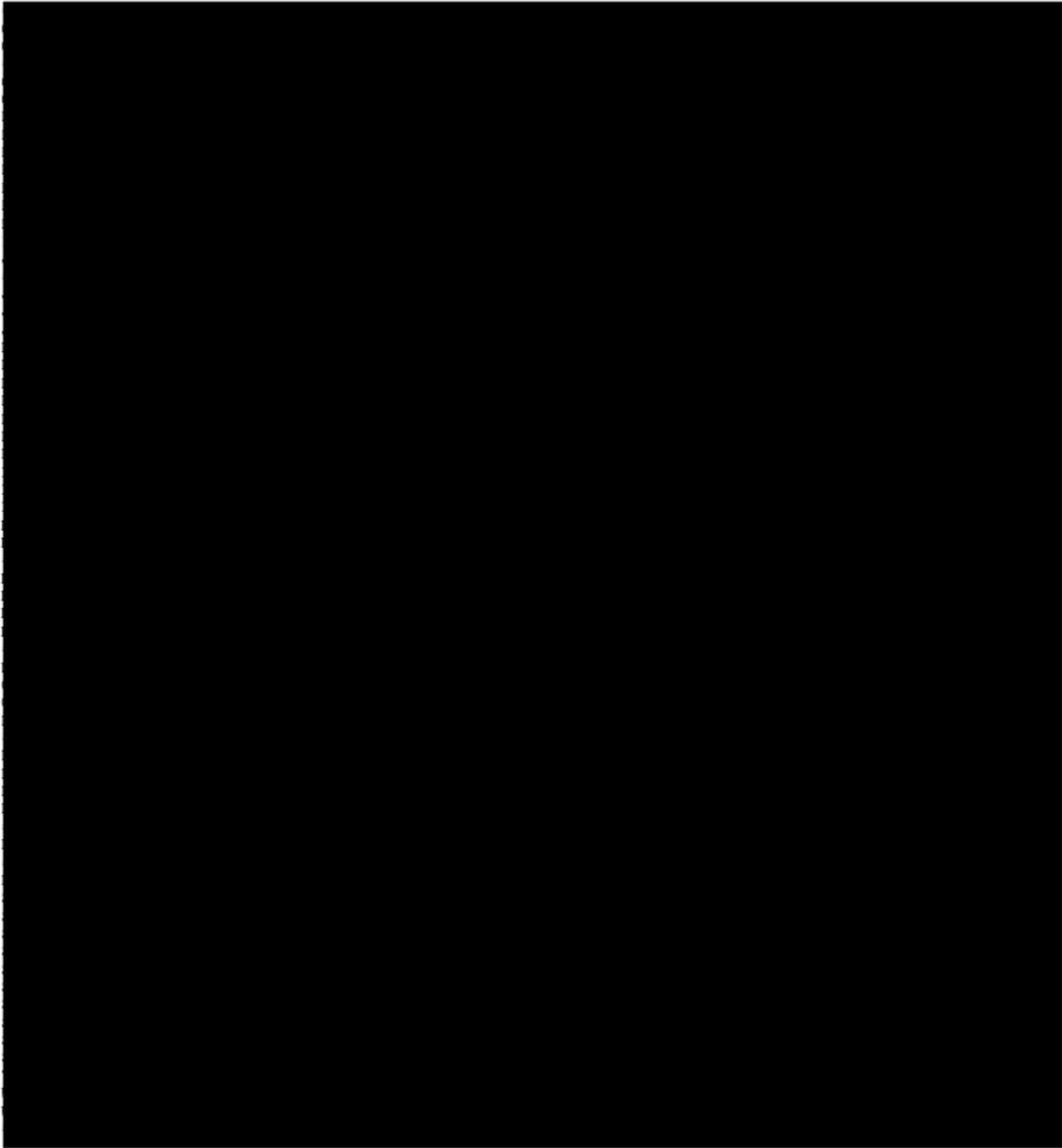
Additional Brokerage	Southern Financial LLC	3/31/2015	75	Brokerage	75000000	
Flat Fee	-	2000000	Morris			
Brokerage Account	Southern Financial LLC	12/31/2015	75	Brokerage	10000000	
BPS	20	20000	Morris			
SSW 2007 LLC-	SSW 2007 LLC	12/31/2015	75	Money Market	50000000	BPS 40



Paul 25

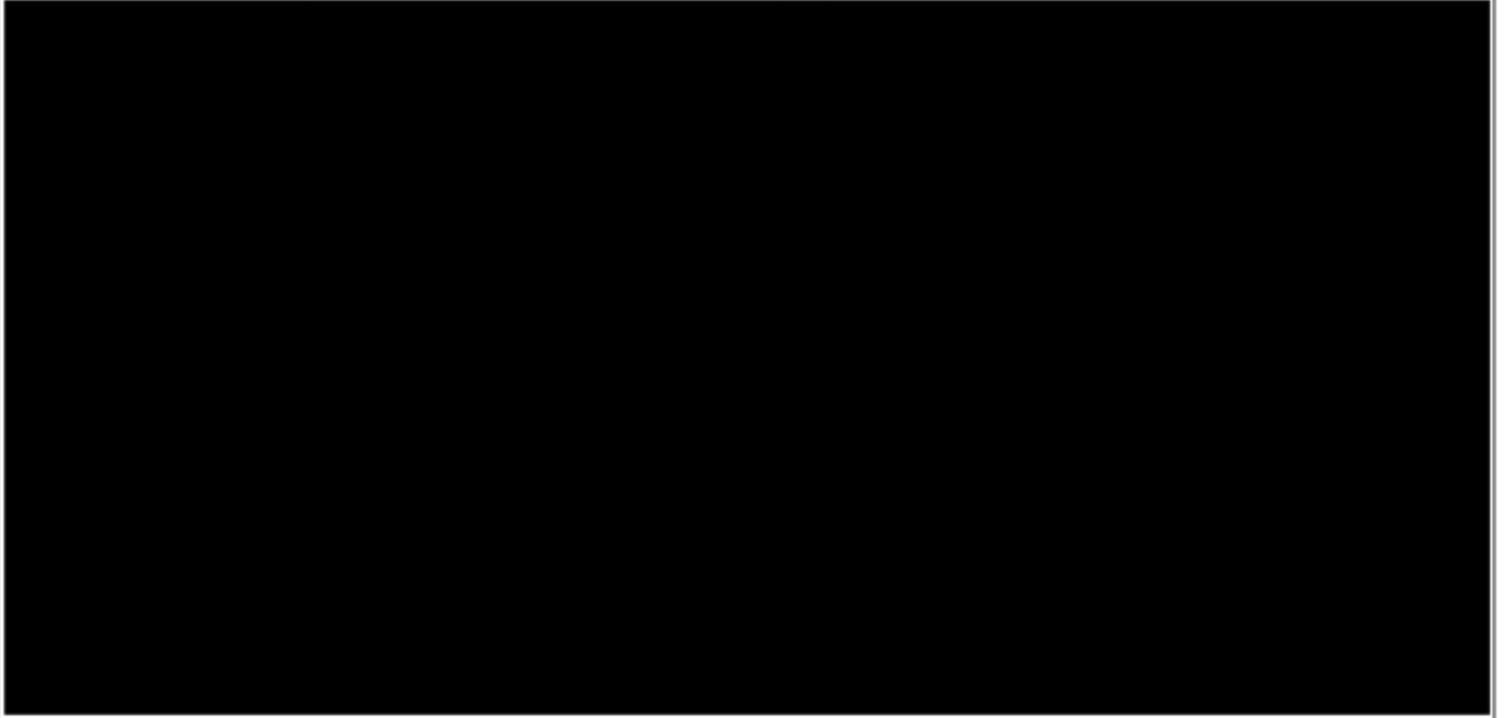
Customer Name	Last Call Note	Customer Number	Status	Relationship Classification	Rel. Net Wor
th	Relationship Investable	Assets (Est.)	Revenue	Potential (\$M per annum)	Last Modifie
d Date	Last Activity Date	Date Contacted	Forbes Ranking	Category	Notes





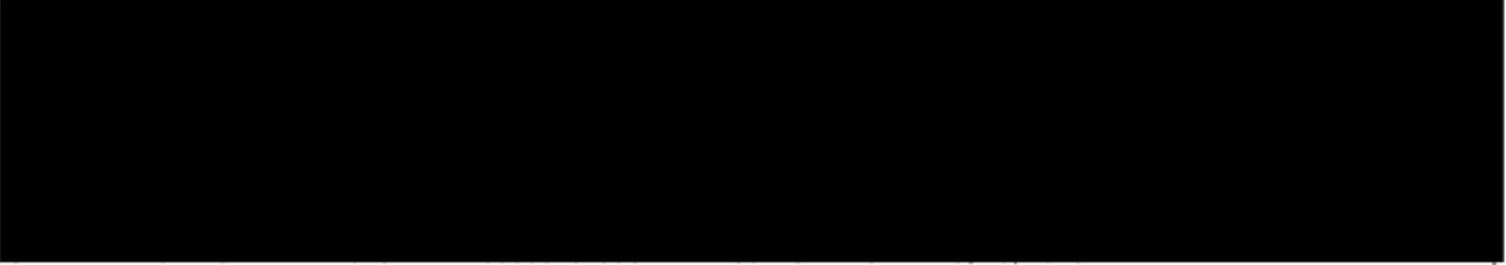
Stew 25

Customer Name	Last Call Note	Customer Number	Status	Relationship Classification	Rel. Net Wor
th	Relationship Investable	Assets (Est.)	Revenue	Potential (\$M per annum)	Last Modifie
d Date	Last Activity Date	Date Contacted	Category	Notes	



Clients

Customer Name	Last Call Note	Customer Number	Status	Relationship Classification	Last Modified Date	Category
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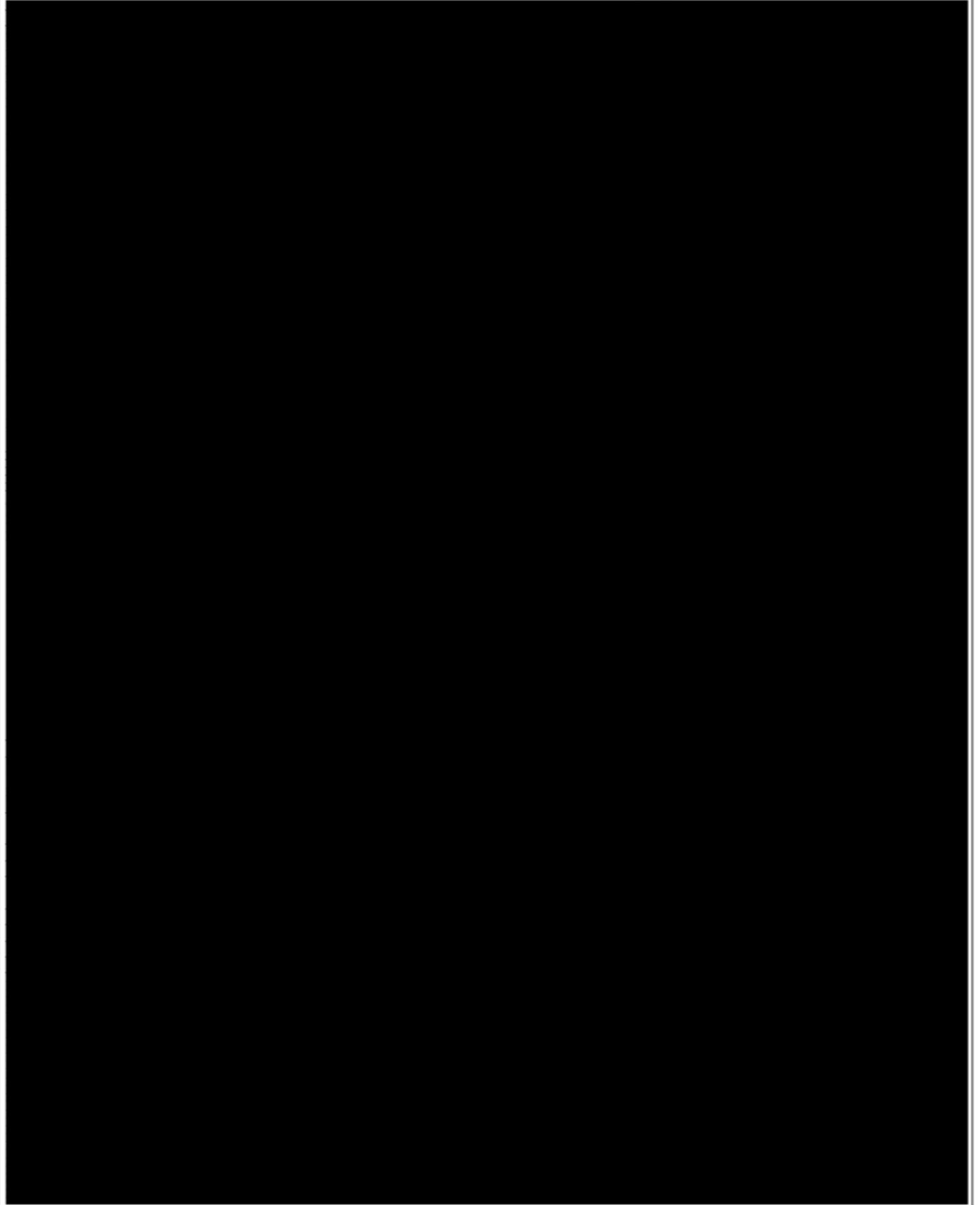


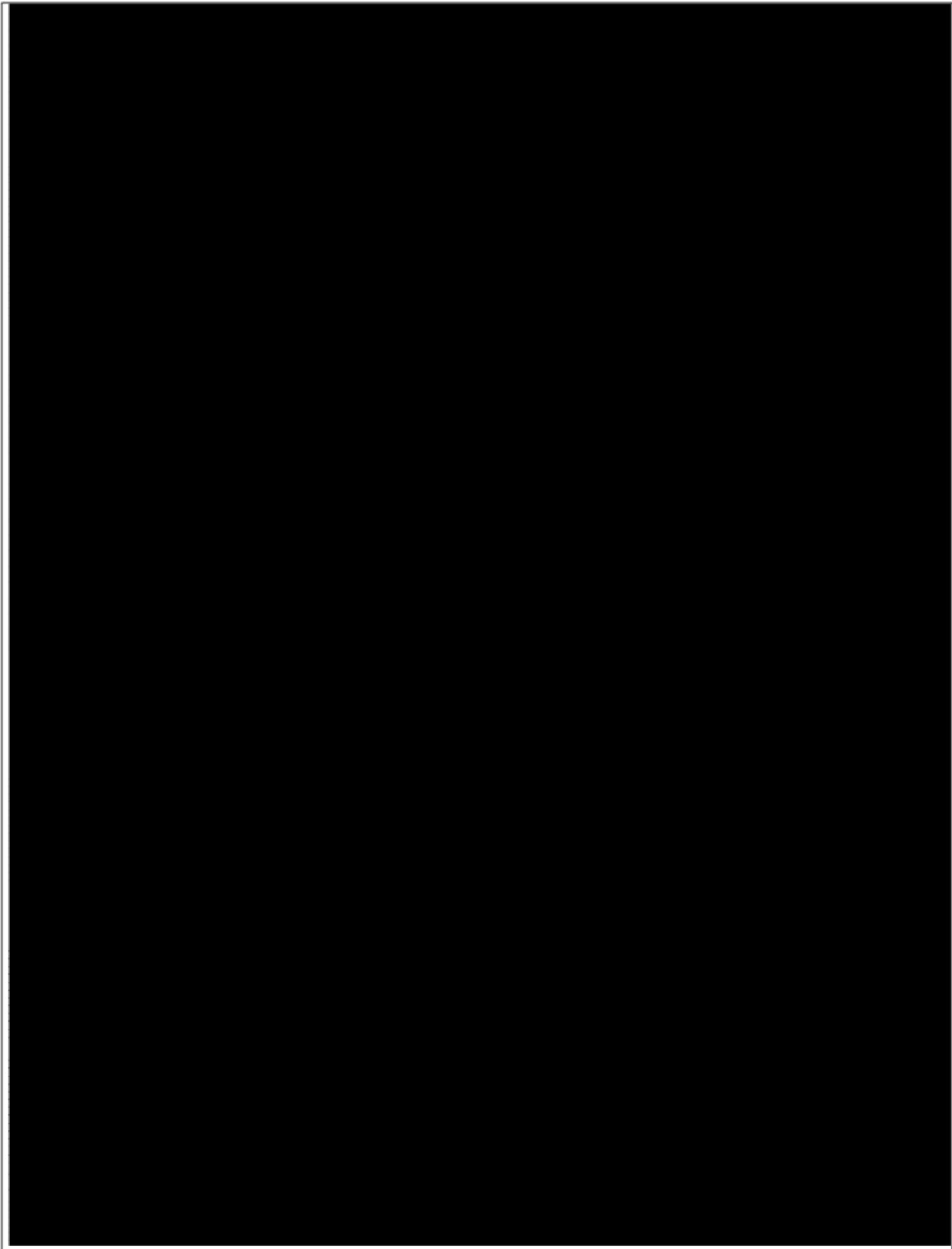
SOUTHERN FINANCIAL RELATIONSHIP		00000483290	Active	Tier 1	10/19/2015	
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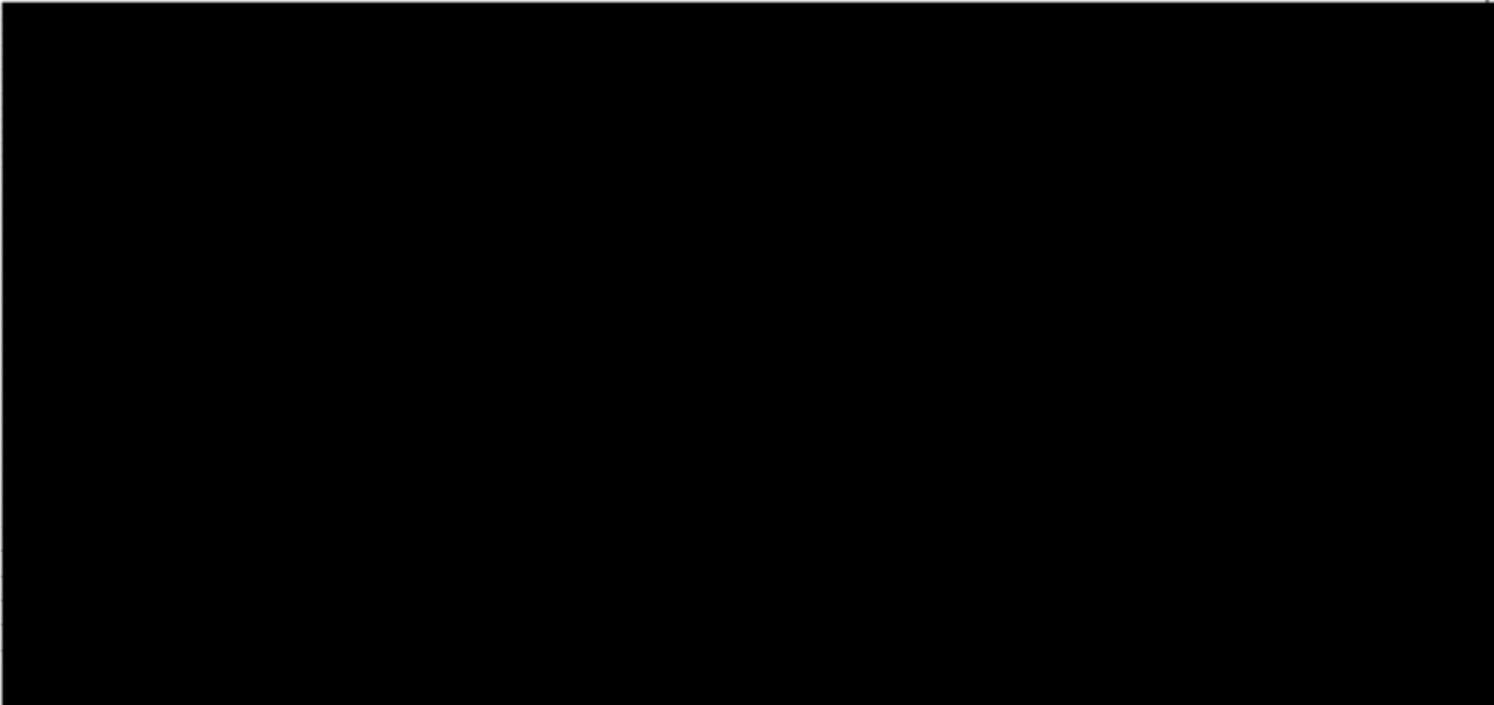


Pospects

Customer Name	Last Call Note	Customer Number	Status	Relationship	Classification	Last Modified Date	Category
3 G CAPITAL RELATIONSHIP		00000492967	Active	Tier 2		9/20/2015	H

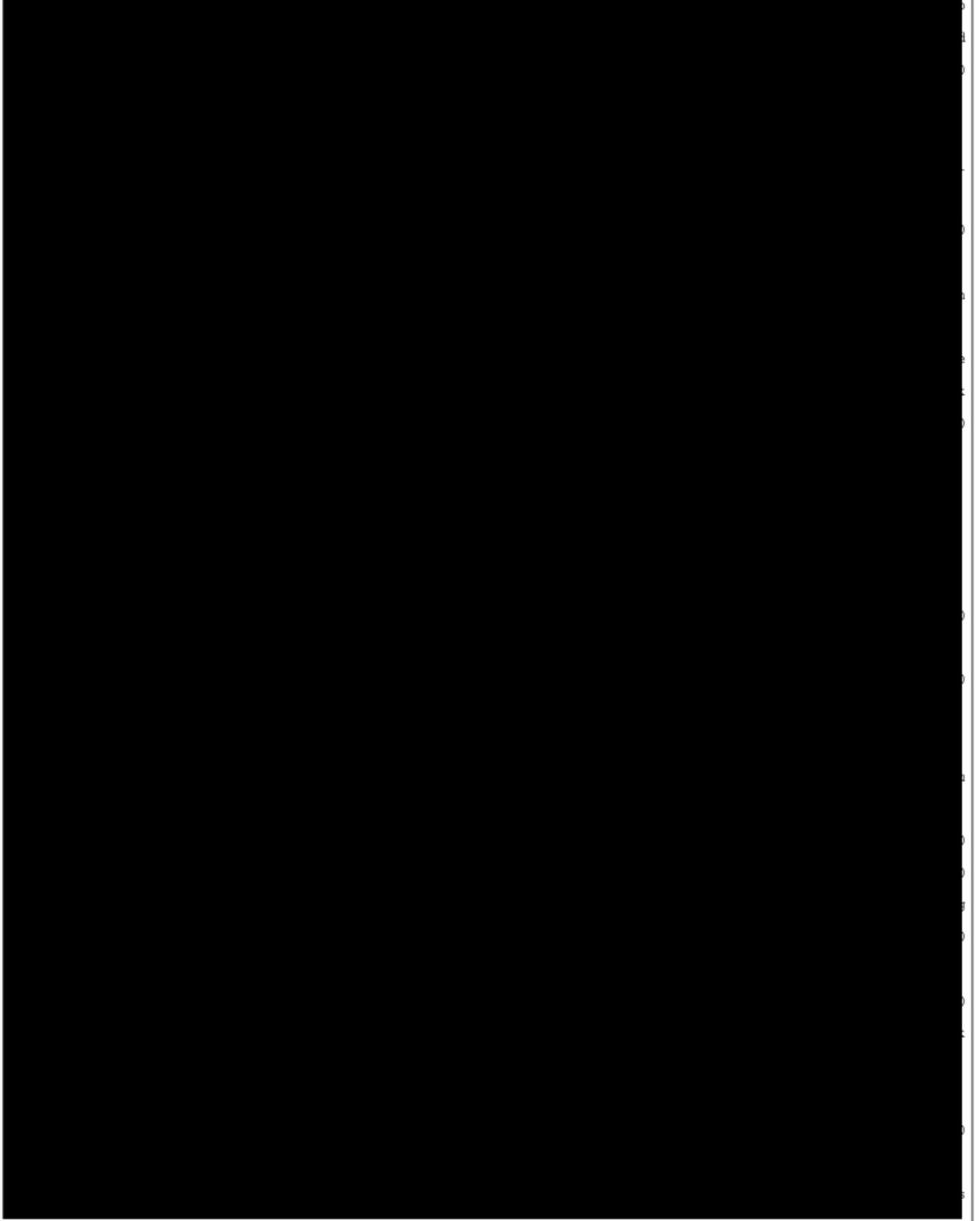






Pipeline- Full

Opportunity Name	Customer Name	Close Date	(%)	Product Name	Actual Assets	Stru
cture	BPS/Flat Fee	Actual Revenue	Sales Team Member			





Additional Brokerage 0000 Flat Fee	Southern Financial LLC 2000000 2000000 Paul Morris	3/31/2015	75	Brokerage (Commissions)	7500
Structured Credit 0000 BPS 300	Southern Financial LLC 1500000 Paul Morris	9/30/2015	50	U.S. Letter of Credit	5000
Suntex 0 Paul Morris	Southern Financial LLC 12/31/2015	25	Brokerage (Commissions)	5000000 Flat Fee	
Brokerage Account - Advisor Select	Southern Financial LLC 10000000 BPS	12/31/2015 20	75 20000	Brokerage (Asset-Based Fees)	Paul Morris

SUM(F2:F117)=4.9055E9    SUM(I2:I117)=56078500