

Subject: RE: December 2015 Revenue Report (by Account Type) [C]
From: Morgan Byrd [REDACTED]
Date: Tue, 26 Jan 2016 10:31:37 -0500
To: Paul Morris <[REDACTED]>

Classification: Confidential

Paul,

Please let me know which accounts had a CD deposit last month and the amount of each of the deposits. I've been told that every eligible deposit gets a 50bps credit each month in GMIS.

Thank you,

{cid:image001.gif@01CE271E.01610240}

Morgan Byrd
Associate

Deutsche Bank Trust Company, National Association

Deutsche Asset & Wealth Management
5022 Gate Pkwy #400

Jacksonville, FL 32256

Tel. [REDACTED]
Emai [REDACTED]

Securities offered through Deutsche Bank Securities Inc.

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From: Paul Morris
Sent: Monday, January 25, 2016 8:19 AM
To: Morgan Byrd
Subject: RE: December 2015 Revenue Report (by Account Type) [C]

Hi so the 50bp is \$500k, I don't see that in December or is it accounted for over term of CDs so monthly?? Thanks,

Ps , I will buy you lunch when I'm next in Jacksonville!!!

-----Original Message-----

From: Morgan Byrd

Sent: Monday, January 25, 2016 08:13 AM Eastern Standard Time

To: Paul Morris; Jj Litchford

Subject: RE: December 2015 Revenue Report (by Account Type) [C]

Classification: Confidential

Paul,

The revenues you see booked across all of the Third Lake accounts includes the 50bps credit.

Thank you,

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Morgan Byrd

Associate

Deutsche Bank Trust Company, National Association

Deutsche Asset & Wealth Management

5022 Gate Pkwy #400

Jacksonville, FL 32256

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Email [REDACTED]

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EFTA01477012

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From: Paul Morris
Sent: Monday, January 25, 2016 8:11 AM
To: Morgan Byrd; Jj Litchford
Subject: RE: December 2015 Revenue Report (by Account Type) [C]

Morgan, not sure I follow this, does the system show 50 bps credit for the \$100 million that was booked in December or not? Thanks again,

-----Original Message-----

From: Morgan Byrd
Sent: Monday, January 25, 2016 07:42 AM Eastern Standard Time
To: Jj Litchford; Paul Morris
Subject: RE: December 2015 Revenue Report (by Account Type) [C]

Classification: Confidential

JJ,

This is what I received from Finance.

"I see the 50bps in GMIS in December and I see it shown below in their data.

For example, 88005921 which is a CD for 2mn has \$833 revenue which is the 50bps. This CD did not receive any deposit revenue other than the 50bps credit.

For account 88005796 another CD, they are showing 50bps revenue as well."

Please let me know if you have any other questions.

Thank you,

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Morgan Byrd
Associate

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Deutsche Asset & Wealth Management
5022 Gate Pkwy #400

Jacksonville, FL 32256

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Email [REDACTED]

Securities offered through Deutsche Bank Securities Inc.

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From: Jj Litchford
Sent: Friday, January 22, 2016 10:05 PM
To: Paul Morris
Cc: Morgan Byrd
Subject: Re: December 2015 Revenue Report (by Account Type) [C]

It was spread over 10 of these accounts throughout December. Are we correct that there's a 50bps credit in the month of booking? The numbers show up in the NNA. I'll be out for a while but please call my cell Monday if you have questions.

Thanks Morgan!

JJ Litchford
Associate Banker

Deutsche Bank Trust Company Americas
Deutsche Wealth Management
345 Park Avenue, 24th Floor
New York, New York 10154
Tel. [REDACTED]
Mobil [REDACTED]
Email [REDACTED]

On Jan 22, 2016, at 11:20 AM, Paul Morris <[REDACTED]> wrote:

Classification: Confidential

Hi, the 100 million was across all of these accounts and booked in mid-December not sure exact date but let me know if you need more, thanks

Paul Morris

Managing Director

Deutsche Bank Private Bank

Office: [REDACTED]

Cell: [REDACTED]

From: Morgan Byrd
Sent: Friday, January 22, 2016 10:56 AM
To: Jj Litchford
Cc: Paul Morris; Stewart Oldfield
Subject: RE: December 2015 Revenue Report (by Account Type) [C]

Classification: Confidential

JJ,

Which account specifically are you talking about? Below are all of the

EFTA01477015

accounts for Third Lake Capital. Also, when was the money booked in December?

relationship reference*

client reference*

Clientnumber*

Product

Accountnumber*

Account Status

Assets YTD

NNA

Reveneus YTD

RoA

Assets MTD

THIRD LAKE CAPITAL

CRW 2007 LLC

183900100000520549

Deposits

183944135767

0

-

-

-

-

THIRD LAKE CAPITAL

CRW 2007 LLC

183900100000520549

Deposits

183988005913

0

416,666.67

5,000,000.01

2,083.33

50.00

5,000,000.01

THIRD LAKE CAPITAL

CRW 2009 LLC

183900100000520548

Deposits

183944135783

0

-

-

-

-

THIRD LAKE CAPITAL

CRW 2009 LLC

183900100000520548

Deposits

183988005948

0

166,666.67
2,000,000.00
833.33
50.00
2,000,000.00

THIRD LAKE CAPITAL

GEW 2007 LLC

183900100000520546

Deposits

183944135759

0

-
-
-
-

THIRD LAKE CAPITAL

GEW 2007 LLC

183900100000520546

Deposits

183988005905

0

416,666.67
5,000,000.01

2,083.33

50.00

5,000,000.01

THIRD LAKE CAPITAL

GEW 2009 LLC

183900100000520547

Deposits

183944135775

0

-
-
-
-

THIRD LAKE CAPITAL

GEW 2009 LLC

183900100000520547

Deposits

183988005921

0

166,666.67

2,000,000.00

833.33

50.00

2,000,000.00

THIRD LAKE CAPITAL

KATI FORSYTHE 2014 GRAT

183900100000520582

Deposits

183944135716

0

-

-

-

-

THIRD LAKE CAPITAL

KATI FORSYTHE 2014 GRAT

183900100000520582

Deposits

183988005825

0

625,000.00

7,500,000.00

3,125.00

50.00

7,500,000.00

THIRD LAKE CAPITAL

KWF 2007 LLC

183900100000495400

Deposits

183944132291

0

3,046,297.92

9,530.59

19,617.47

64.40

2,009,990.85

THIRD LAKE CAPITAL

KWF 2007 LLC

183900100000495400

Deposits

183988005817

0

1,041,666.67

12,500,000.00

5,208.33

50.00

12,500,000.00

THIRD LAKE CAPITAL

SHARI WAGNER 2014 GRAT

183900100000520550

Deposits

183944135724

0

17.98

215.75

163.09

90,711.82

215.75

THIRD LAKE CAPITAL

SHARI WAGNER 2014 GRAT

183900100000520550

Deposits

183988005833

0

625,000.00

7,500,000.00

3,125.00

50.00

7,500,000.00

THIRD LAKE CAPITAL

SSW 2007 LLC

183900100000495399

Deposits

183944132312

0

1,543,148.55

2,004,363.10

9,740.76

63.12

2,004,363.10

THIRD LAKE CAPITAL

SSW 2007 LLC

183900100000495399

Deposits

183988005809

0

625,000.00

7,500,000.00

3,125.00

50.00

7,500,000.00

THIRD LAKE CAPITAL

TODD R. WANEK

183900100000495598

Deposits

183937993894

0

4,922,048.10

13,727.18

30,494.24

61.95

2,014,264.17

THIRD LAKE CAPITAL

TODD R. WANEK

183900100000495598

Deposits

183988005796

0

2,916,666.67

34,999,999.99

14,583.33

50.00

34,999,999.99

THIRD LAKE CAPITAL

WANEK TRUST OF 2000

183900100000495397

Deposits

183944132072

0

3,254,774.05

9,890.56

20,744.87

63.74

2,010,427.55

THIRD LAKE CAPITAL

WANEK TRUST OF 2000

183900100000495397

Deposits

183988005788

0

1,250,000.00

14,999,999.99

6,250.00

50.00

14,999,999.99

Thank you,

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Morgan Byrd
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Securities offered through Deutsche Bank Securities Inc.

{cid:image002.gif@01CE271E.01610240}

From: Jj Litchford

EFTA01477025

Sent: Thursday, January 21, 2016 11:53 AM
To: Morgan Byrd
Cc: Paul Morris; Stewart Oldfield
Subject: FW: December 2015 Revenue Report (by Account Type) [C]

Classification: Confidential

Hi Morgan--

This report doesn't include the 50bps credit we should be showing for \$100MM in preferred CDs we booked in December for the Third Lake relationship. Can you look into that? It is our understanding that should be a one time credit the month the CDs are booked.

Appreciate it,

JJ

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JJ Litchford
Associate Banker

Deutsche Bank Trust Company Americas
Deutsche Bank Wealth Management
345 Park Avenue, Floor 24 10154-0004 New York, NY, USA
Tel. [REDACTED]
Fax [REDACTED]
Mobile [REDACTED]
Email [REDACTED]

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Securities offered through Deutsche Bank Securities Inc.

-----Original Message-----
From: Morgan Byrd

EFTA01477026

Sent: Tuesday, January 19, 2016 03:51 PM Eastern Standard Time
To: Aaron Price; Amanda Kirby; Anu Barua; Aracely Sanchez; Arthur Tremblay; Bradley Gillin; Brandon Buchmayr; Carol Meister; Charlie Burrows; Chip Packard; Dahlia Uchihara; Daniel Eisenberg; Dave Guerrero; Diego Galan; Gil Frieden; Israel Velasquez; Jackson McCarthy; Jerry Rotonda; Jessica Wilbur; Julie Cataudella; Linda Takefuji; Lindsay Sanders; Margie Edwards; Masa Sekulic; Mona Sabet; Natalie Barak; Patrick Harris; Patrick Menerey; Payal Gupta; Samantha Harmon; Scott Shuffield; Thomas Clarke; Timothy MacGuire; USPWM Private Bankers
Cc: Kimberly Hart; Armen Brash
Subject: December 2015 Revenue Report (by Account Type) [C]

Classification: Confidential

All,

Attached is the December 2015 GMIS Revenue report by Banker team. Please let me know if you have any questions.

Thank you,

{cid:image001.gif@01CE271E.01610240}

Morgan Byrd
Associate

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5022 Gate Pkwy #400

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