

Sheet1	GMT	SUBGMT	Submarket	Team	Location	1.CRM	Banker	Type	2.CRM	3.CRM	Rela
tionship	Id*	relationship	reference*	client	reference*	Clientnumber*	Mandate	Type	NNA	Prod	Reve
uct	MANAGEMENT_TYPE	Accountnumber*	Account	Status	OPENDATE	Assets	MTD				
nues MTD	RoA										
Americas	US Onshore		East Coast	USO New	York	Paul Morris	Stewart Oldfield				
Morris/Oldfield	Banker no				LINDEMANN JR GEORGE		THE GEORGE LINDEMANN				
FAMILY LIMITED	PART			Classic	Advisory	Brokerage	ABRB1				
	O	9/28/2015 0:00	345728.634		-3.329975702103E7		144.05359			49.9	
999974											
Americas	US Onshore		East Coast	USO New	York	Paul Morris	Stewart Oldfield				
Morris/Oldfield	Banker no				LINDEMANN JR GEORGE		THE GEORGE LINDEMANN				
FAMILY LIMITED	PART			Classic	Advisory	Lending	ADVL1	1839Y=BWWPHG			
C	10/30/2015 0:00	0	-1.000000000008E7		3318.8361						
Americas	US Onshore		East Coast	USO New	York	Paul Morris	Paul Morris				
is/Oldfield	Banker no				ASADI FAREED	DOMINIQUE LEIMER					
	Classic Advisory		Deposits		ADVD1	1.83935261761E11	O				
8/2010 0:00	29.96252		0.01089	0.01248	49.98244473						
Americas	US Onshore		East Coast	USO New	York	Paul Morris	Paul Morris				
is/Oldfield	Banker Debjani Bagchi				ELLIN HOWARD	HOWARD ELLIN					
	DPM	Invtmt Adv	DPBIA	1.839451305E9	O	12/24/2013 0:00	4728653				
7	0	3414.30009	86.64538108								
Americas	US Onshore		East Coast	USO New	York	Paul Morris	Paul Morris				
is/Oldfield	Banker Debjani Bagchi				ELLIN HOWARD	HOWARD ELLIN					
	DPM	Invtmt Adv	DPBIA		O	1/31/2014 0:00	151973.4				
0	35.20133	27.79537562									
Americas	US Onshore		East Coast	USO New	York	Paul Morris	Paul Morris				
is/Oldfield	Banker no 2CRM				BOIES FAMILY	CHRISTOPHER BOIES					
	Classic Advisory		Deposits		ADVD1	1.83935267485E11	O				
/2014 0:00	0.40299	0	1.6E-4	47.64386213							
Americas	US Onshore		East Coast	USO New	York	Paul Morris	Paul Morris				
is/Oldfield	Banker Debjani Bagchi				BOIES FAMILY	CHRISTOPHER BOIES					
	DPM	Invtmt Adv	DPWGP	1.839451287E9	O	11/27/2013 0:00					
.4151	0	0	0								
Americas	US Onshore		East Coast	USO New	York	Paul Morris	Paul Morris				
is/Oldfield	Banker Debjani Bagchi				BOIES FAMILY	CHRISTOPHER BOIES					
	DPM	Invtmt Adv	DPWGP		O	1/31/2014 0:00					
628.27928	0	626.38105	67.86186973								
Americas	US Onshore		East Coast	USO New	York	Paul Morris	Paul Morris				
is/Oldfield	Banker Debjani Bagchi				BOIES FAMILY	CHRISTOPHER BOIES					
	DPM	Invtmt Adv	DPBIA	1.839451331E9	O	2/12/2014 0:00					
72058	0	0	0								
Americas	US Onshore		East Coast	USO New	York	Paul Morris	Paul Morris				
is/Oldfield	Banker Debjani Bagchi				BOIES FAMILY	DIAMOND B CAPIT					
NC.					DPM	Invtmt Adv	DPWGP	1.839451363E9	O	3/17/20	
00	104792.75241	248741.00278	0	0							
Americas	US Onshore		East Coast	USO New	York	Paul Morris	Paul Morris				
is/Oldfield	Banker Debjani Bagchi				BOIES FAMILY	DIAMOND B INVEST					
S, INC.					DPM	Invtmt Adv	DPWGP	1.839451352E9	O	3/3/2014	
0	5416413.82099	0	0								
Americas	US Onshore		East Coast	USO New	York	Paul Morris	Paul Morris				
is/Oldfield	Banker Debjani Bagchi				BOIES FAMILY	DIAMOND B INVEST					
S, INC.					DPM	Invtmt Adv	DPWGP	1839451352AI	O	5/30/20	
00	1420972.07273	0	1010.65496	85.34903502							
Americas	US Onshore		East Coast	USO New	York	Paul Morris	Paul Morris				
is/Oldfield	Banker no 2CRM	Tazia Smith				SK FAMILY OFFICE					
ON TRAIL CAPITAL, LLC				Classic Advisory		Brokerage	ABRB1				
	O	4/16/2014 0:00	3514408.34742	0	0	0					
Americas	US Onshore		East Coast	USO New	York	Paul Morris	Paul Morris				
is/Oldfield	Banker no 2CRM				ELYSIUM MANAGEMENT	LEON D. BLACK					
	Classic Advisory		Brokerage		ABRB1		O	7/2/2015			
0	182550.0027	0	0								
Americas	US Onshore		East Coast	USO New	York	Paul Morris	Paul Morris				
is/Oldfield	Banker no 2CRM				ELYSIUM MANAGEMENT	LEON D. BLACK					
	Classic Advisory		Deposits		ADVD1	1.83935267768E11	O				
/2014 0:00	0.05446	0	2.0E-5	44.0690415							
Americas	US Onshore		East Coast	USO New	York	Paul Morris	Paul Morris				
is/Oldfield	Banker no				ELYSIUM MANAGEMENT	LEON D. BLACK					
	Classic Advisory		Deposits		ADVD1	1.83937993552E11	O				
/2014 0:00	0.09802	0	4.0E-5	48.96959804							
Americas	US Onshore		East Coast	USO New	York	Paul Morris	Paul Morris				
is/Oldfield	Banker no 2CRM	Daniel Sabba				SOUTHERN FINANCIAL	J. E				
PSTEIN VIRGIN ISLANDS FOUNDATION, IN					Classic Advisory	Brokerage					
ABRB1		0	9/30/2013 0:00	1438785.58931	-196725.23549	0	0				
Americas	US Onshore		East Coast	USO New	York	Paul Morris	Paul Morris				
is/Oldfield	Banker no 2CRM	Daniel Sabba				SOUTHERN FINANCIAL	JEEP				
ERS INC					Classic Advisory	Brokerage	ABRB1				
9/23/2013 0:00	1742138.46821	7247.59619	0	0							
Americas	US Onshore		East Coast	USO New	York	Paul Morris	Paul Morris				

is/Oldfield	Banker no 2CRM	Daniel Sabba				SOUTHERN FINANCIAL	JEFF
REY EPSTEIN			Classic Advisory	Brokerage	ABRB1		
8 0	9/23/2013 0:00	667183.01163	5.46753	277.99293	50.00000153		
Americas	US Onshore	East Coast	USO New York	Paul Morris	Paul Morris	Morr	
is/Oldfield	Banker no 2CRM	Daniel Sabba				SOUTHERN FINANCIAL	SOUT
HERN FINANCIAL LLC			Classic Advisory	Brokerage	ABRB1		
	8/26/2013 0:00	1.032846	1780391E8	98251.69871	0 0		
Americas	US Onshore	East Coast	USO New York	Paul Morris	Paul Morris	Morr	
is/Oldfield	Banker no 2CRM	Daniel Sabba				SOUTHERN FINANCIAL	SOUT
HERN FINANCIAL LLC			Classic Advisory	Brokerage	ABRB1		
	11/4/2013 0:00	8073747.7385	59.42402	1003.60606	14.91658287		
Americas	US Onshore	East Coast	USO New York	Paul Morris	Paul Morris	Morr	
is/Oldfield	Banker no 2CRM	Daniel Sabba				SOUTHERN FINANCIAL	SOUT
HERN TRUST COMPANY INC.			Classic Advisory	Brokerage	ABRB1		
	8/26/2013 0:00	8206772.6748	101557.69373	2027.9973	29.65351736		
Americas	US Onshore	East Coast	USO New York	Paul Morris	Paul Morris	Morr	
is/Oldfield	Banker no 2CRM	Daniel Sabba				SOUTHERN FINANCIAL	THE
HAZE TRUST			Classic Advisory	Brokerage	ABRB1		
3 0	9/23/2013 0:00	6.364299277607E7	54441.36969	0	0		
Americas	US Onshore	East Coast	USO New York	Paul Morris	Paul Morris	Morr	
is/Oldfield	Banker no 2CRM		SOUTHERN FINANCIAL		JEFFREY EPSTEIN		
		Custody Custody	CUSCU 1.839680519E9	0	4/10/2014 0:00	112041.55756	-133
43.87371	46.68302	49.99896933					
Americas	US Onshore	East Coast	USO New York	Paul Morris	Paul Morris	Morr	
is/Oldfield	Banker no 2CRM		SOUTHERN FINANCIAL		BUTTERFLY TRUST		
			Classic Advisory	Deposits	ADVD1 1.83944130552E11	0	1/24
/2014 0:00	2.98427 0.01089	0.00124 49.86144015					
Americas	US Onshore	East Coast	USO New York	Paul Morris	Paul Morris	Morr	
is/Oldfield	Banker no 2CRM		SOUTHERN FINANCIAL		DARREN K. INDYKE PLL		
			Classic Advisory	Deposits	ADVD1 1.83942953707E11		
C	11/1/2013 0:00	16609.24343	-60223.62347	26.9173	194.47460166		
Americas	US Onshore	East Coast	USO New York	Paul Morris	Paul Morris	Morr	
is/Oldfield	Banker no 2CRM		SOUTHERN FINANCIAL		GRATITUDE AMERICA		
			Classic Advisory	Deposits	ADVD1 1.83942959324E11	0	
3/3/2015 0:00	975.82394	-24.91975	0.40659	49.99959316			
Americas	US Onshore	East Coast	USO New York	Paul Morris	Paul Morris	Morr	
is/Oldfield	Banker no 2CRM		SOUTHERN FINANCIAL		GRATITUDE AMERICA		
			Classic Advisory	Deposits	ADVD1 1.83944133251E11	0	
3/3/2015 0:00	1.000533106258E7	1699.25916	7699.89046	92.34945345			
Americas	US Onshore	East Coast	USO New York	Paul Morris	Paul Morris	Morr	
is/Oldfield	Banker no 2CRM		SOUTHERN FINANCIAL		HRK ASSOCIATES INC		
			Classic Advisory	Deposits	ADVD1 1.83942953715E11	0	
11/1/2013 0:00	40491.7761	-55338.97088	46.86677	138.89270715			
Americas	US Onshore	East Coast	USO New York	Paul Morris	Paul Morris	Morr	
is/Oldfield	Banker no 2CRM		SOUTHERN FINANCIAL		HYPERION AIR LLC		
			Classic Advisory	Deposits	ADVD1 1.83942953432E11	0	
10/18/2013 0:00	118289.70614	-46499.99584	120.28907	122.0282717			
Americas	US Onshore	East Coast	USO New York	Paul Morris	Paul Morris	Morr	
is/Oldfield	Banker no 2CRM		SOUTHERN FINANCIAL		JEFFREY EPSTEIN		
			Classic Advisory	Deposits	ADVD1 1.83935266976E11	0	9/12
/2013 0:00	4197605.91567	2425657.31394	2297.99942	65.69457351			
Americas	US Onshore	East Coast	USO New York	Paul Morris	Paul Morris	Morr	
is/Oldfield	Banker no 2CRM		SOUTHERN FINANCIAL		JEJE INC		
			Classic Advisory	Deposits	ADVD1 1.83942953459E11	0	10/1
8/2013 0:00	60397.32111	-42049.67448	81.16964	161.27133821			
Americas	US Onshore	East Coast	USO New York	Paul Morris	Paul Morris	Morr	
is/Oldfield	Banker no 2CRM		SOUTHERN FINANCIAL		JEJE LLC		
			Classic Advisory	Deposits	ADVD1 1.83942953475E11	0	10/1
8/2013 0:00	341313.2199	-77502.78128	324.21081	113.9870797			
Americas	US Onshore	East Coast	USO New York	Paul Morris	Paul Morris	Morr	
is/Oldfield	Banker no 2CRM		SOUTHERN FINANCIAL				
			Classic Advisory	Deposits	ADVD1 1.83935267258E11	0	
12/13/2013 0:00	8096.18563	-371.11697	6.36857	94.39363608			
Americas	US Onshore	East Coast	USO New York	Paul Morris	Paul Morris	Morr	
is/Oldfield	Banker no 2CRM		SOUTHERN FINANCIAL		JSC INTERIORS LLC		
			Classic Advisory	Deposits	ADVD1 1.83942957978E11	0	
12/4/2014 0:00	23844.5649	-6733.57185	21.93767	110.40337331			
Americas	US Onshore	East Coast	USO New York	Paul Morris	Paul Morris	Morr	
is/Oldfield	Banker no 2CRM		SOUTHERN FINANCIAL		LSJE, LLC		
			Classic Advisory	Deposits	ADVD1 1.83942959295E11	0	2/25
/2015 0:00	200262.64221	-74677.75491	203.44532	121.90710225			
Americas	US Onshore	East Coast	USO New York	Paul Morris	Paul Morris	Morr	
is/Oldfield	Banker no 2CRM		SOUTHERN FINANCIAL		MORT, INC		
			Classic Advisory	Deposits	ADVD1 1.83942959017E11	0	2/6/
2015 0:00	3.11497 0	0.0013 50.08073914					
Americas	US Onshore	East Coast	USO New York	Paul Morris	Paul Morris	Morr	
is/Oldfield	Banker no 2CRM		SOUTHERN FINANCIAL		NEPTUNE LLC		
			Classic Advisory	Deposits	ADVD1 1.83942953424E11	0	10/1
8/2013 0:00	120227.94659	-28127.7453	110.09625	109.88751264			

Americas is/Oldfield	US Onshore Banker no 2CRM	East Coast	USO New	York	Paul Morris	Paul Morris	Morr
00	191036.38721	-91174.90301	201.59418	SOUTHERN FINANCIAL	1.83942953758E11	NES LLC	11/8/2013 0:
Americas is/Oldfield	US Onshore Banker no 2CRM	East Coast	USO New	York	Paul Morris	Paul Morris	Morr
OUP LLC	11/1/2013 0:00	6256.36078	1.05648	4.61086	88.43850594	SOUTHERN FINANCIAL	NEW YORK STRATEGY GR
Americas is/Oldfield	US Onshore Banker no 2CRM	East Coast	USO New	York	Paul Morris	Paul Morris	Morr
O	8/2013 0:00	10099.93845	0	8.20549	97.49156442	SOUTHERN FINANCIAL	PLAN D LLC
Americas is/Oldfield	US Onshore Banker no 2CRM	East Coast	USO New	York	Paul Morris	Paul Morris	Morr
LC	9/3/2013 0:00	482470.1352	0	409.69814	106.30692245	SOUTHERN FINANCIAL	SOUTHERN FINANCIAL L
Americas is/Oldfield	US Onshore Banker no 2CRM	East Coast	USO New	York	Paul Morris	Paul Morris	Morr
NY INC.	8/27/2013 0:00	139471.98546	0	123.1138	105.92561618	SOUTHERN FINANCIAL	SOUTHERN TRUST COMPA
Americas is/Oldfield	US Onshore Banker no 2CRM	East Coast	USO New	York	Paul Morris	Paul Morris	Morr
O	8/27/2013 0:00	1.0999156410935E8	3.655962479438E7	81741.81676	89.1797302	SOUTHERN FINANCIAL	SOUTHERN TRUST COMPA
Americas is/Oldfield	US Onshore Banker no 2CRM	East Coast	USO New	York	Paul Morris	Paul Morris	Morr
EPSTEIN INSURANCE TR	11/1/2013 0:00	241.45366	17.60067	0.1006	49.9971713	SOUTHERN FINANCIAL	THE 2007 JEFFREY E.
Americas is/Oldfield	US Onshore Banker no 2CRM	East Coast	USO New	York	Paul Morris	Paul Morris	Morr
RP.	4/25/2014 0:00	238260.85295	-24108.77091	215.28073	108.42606866	SOUTHERN FINANCIAL	ZORRO DEVELOPMENT CO
Americas is/Oldfield	US Onshore Banker no 2CRM	East Coast	USO New	York	Paul Morris	Paul Morris	Morr
/2015 0:00	0	0	0	0	0	THIRD LAKE CAPITAL	CRW 2007 LLC
Americas is/Oldfield	US Onshore Banker no 2CRM	East Coast	USO New	York	Paul Morris	Paul Morris	Morr
/2015 0:00	5000000.00549	5000000.00549	2083.33334	50.00000011	50.00000011	THIRD LAKE CAPITAL	CRW 2007 LLC
Americas is/Oldfield	US Onshore Banker no 2CRM	East Coast	USO New	York	Paul Morris	Paul Morris	Morr
/2015 0:00	0	0	0	0	0	THIRD LAKE CAPITAL	CRW 2009 LLC
Americas is/Oldfield	US Onshore Banker no 2CRM	East Coast	USO New	York	Paul Morris	Paul Morris	Morr
/2015 0:00	2000000.00219	2000000.00219	833.33333	49.99999975	49.99999975	THIRD LAKE CAPITAL	CRW 2009 LLC
Americas is/Oldfield	US Onshore Banker no 2CRM	East Coast	USO New	York	Paul Morris	Paul Morris	Morr
/2015 0:00	0	0	0	0	0	THIRD LAKE CAPITAL	GEW 2007 LLC
Americas is/Oldfield	US Onshore Banker no 2CRM	East Coast	USO New	York	Paul Morris	Paul Morris	Morr
/2015 0:00	5000000.00549	5000000.00549	2083.33334	50.00000011	50.00000011	THIRD LAKE CAPITAL	GEW 2009 LLC
Americas is/Oldfield	US Onshore Banker no 2CRM	East Coast	USO New	York	Paul Morris	Paul Morris	Morr
/2015 0:00	0	0	0	0	0	THIRD LAKE CAPITAL	GEW 2009 LLC
Americas is/Oldfield	US Onshore Banker no 2CRM	East Coast	USO New	York	Paul Morris	Paul Morris	Morr
/2015 0:00	2000000.00219	2000000.00219	833.33333	49.99999975	49.99999975	THIRD LAKE CAPITAL	GEW 2009 LLC
Americas is/Oldfield	US Onshore Banker no 2CRM	East Coast	USO New	York	Paul Morris	Paul Morris	Morr
RAT	12/3/2015 0:00	0	0	0	0	THIRD LAKE CAPITAL	KATI FORSYTHE 20
Americas is/Oldfield	US Onshore Banker no 2CRM	East Coast	USO New	York	Paul Morris	Paul Morris	Morr
RAT	12/3/2015 0:00	7499999.99734	7499999.99734	3125	50.00000002	THIRD LAKE CAPITAL	KATI FORSYTHE 20
Americas is/Oldfield	US Onshore Banker no 2CRM	East Coast	USO New	York	Paul Morris	Paul Morris	Morr
/2014 0:00	2009990.85138	-1.249904321722E7	1563.49091	93.34316575	93.34316575	THIRD LAKE CAPITAL	KWF 2007 LLC
Americas is/Oldfield	US Onshore Banker no 2CRM	East Coast	USO New	York	Paul Morris	Paul Morris	Morr
						THIRD LAKE CAPITAL	KWF 2007 LLC

/2015 0:00	1.250000000282E7	1.250000000282E7	5208.33334	50.00000005			
Americas	US Onshore	East Coast	USO New York	Paul Morris	Paul Morris	Morr	
is/Oldfield	Banker no		THIRD LAKE CAPITAL		SHARI WAGNER 2014 GR		
AT		Classic Advisory	Deposits	ADVD1	1.83944135724E11		
O	12/3/2015 0:00	215.74972	215.74972	163.09209	90711.82479403		
Americas	US Onshore	East Coast	USO New York	Paul Morris	Paul Morris	Morr	
is/Oldfield	Banker no 2CRM		THIRD LAKE CAPITAL		SHARI WAGNER 2014 GR		
AT		Classic Advisory	Deposits	ADVD1	1.83988005833E11		
O	12/3/2015 0:00	7499999.99734	7499999.99734	3125	50.00000002		
Americas	US Onshore	East Coast	USO New York	Paul Morris	Paul Morris	Morr	
is/Oldfield	Banker no 2CRM		THIRD LAKE CAPITAL		SSW 2007 LLC		
		Classic Advisory	Deposits	ADVD1	1.83944132312E11	O	11/1
4/2014 0:00	2004363.10442	-7499260.56251	1396.15067	83.58669147			
Americas	US Onshore	East Coast	USO New York	Paul Morris	Paul Morris	Morr	
is/Oldfield	Banker no 2CRM		THIRD LAKE CAPITAL		SSW 2007 LLC		
		Classic Advisory	Deposits	ADVD1	1.83988005809E11	O	12/2
/2015 0:00	7499999.99734	7499999.99734	3125	50.00000002			
Americas	US Onshore	East Coast	USO New York	Paul Morris	Paul Morris	Morr	
is/Oldfield	Banker no 2CRM		THIRD LAKE CAPITAL		TODD R. WANЕК		
		Classic Advisory	Deposits	ADVD1	1.83937993894E11	O	10/6
/2014 0:00	2014264.17498	-3.499873038357E7	1802.28139	107.3711033			
Americas	US Onshore	East Coast	USO New York	Paul Morris	Paul Morris	Morr	
is/Oldfield	Banker no 2CRM		THIRD LAKE CAPITAL		TODD R. WANЕК		
		Classic Advisory	Deposits	ADVD1	1.83988005796E11	O	12/2
/2015 0:00	3.499999999483E7	3.499999999483E7	14583.33333	50			
Americas	US Onshore	East Coast	USO New York	Paul Morris	Paul Morris	Morr	
is/Oldfield	Banker no 2CRM		THIRD LAKE CAPITAL		WANЕК TRUST OF 2000		
		Classic Advisory	Deposits	ADVD1	1.83944132072E11	O	
10/9/2014 0:00	2010427.54608	-1.499911496227E7	1508.68168	90.05139327			
Americas	US Onshore	East Coast	USO New York	Paul Morris	Paul Morris	Morr	
is/Oldfield	Banker no 2CRM		THIRD LAKE CAPITAL		WANЕК TRUST OF 2000		
		Classic Advisory	Deposits	ADVD1	1.83988005788E11	O	
12/2/2015 0:00	1.499999999467E7	1.499999999467E7	6250	50.00000002			
Americas	US Onshore	East Coast	USO New York	Paul Morris	Paul Morris	Morr	
is/Oldfield	Banker no 2CRM	Joseph Cothron			DZIALGA KIRSTEN & M	MARK	
F. DZIALGA		Classic Advisory	Brokerage	ABRB1			
O	6/10/2014 0:00	1413413.36084	-2.00404	0.08838	0.00750354		
Americas	US Onshore	East Coast	USO New York	Paul Morris	Paul Morris	Morr	
is/Oldfield	Banker no 2CRM	Tazia Smith			GENERAL ATLANTIC FA	MADI	
SON PARK CAPITAL, LLC		Classic Advisory	Brokerage	ABRB1			
O	6/11/2014 0:00	4710673.10226	0	9.8E-4	2.496E-5		
Americas	US Onshore	East Coast	USO New York	Paul Morris	Paul Morris	Morr	
is/Oldfield	Banker		THE NATIONAL ORGANI		THE NATIONAL ORGANIZ		
ATION OF THE NEW APO		Classic Advisory	Brokerage	ABRB1			
O	6/17/2015 0:00	0	0	33475.66785			
Americas	US Onshore	East Coast	USO New York	Paul Morris	Paul Morris	Morr	
is/Oldfield	Banker		THE NATIONAL ORGANI		THE NATIONAL ORGANIZ		
ATION OF THE NEW APO		Classic Advisory	Brokerage	ABRB1			
O	6/1/2015 0:00	1684837.13221	41161.70138	1.36528	0.09724002		
Americas	US Onshore	East Coast	USO New York	Paul Morris	Paul Morris	Morr	
is/Oldfield	Banker no		THE NATIONAL ORGANI		THE NATIONAL ORGANIZ		
ATION OF THE NEW APO		Custody	Custody CUSCU	1.83968069E9	O	5/21	
/2015 0:00	6.389364230727E7	4792094.25315	13093.5654	24.59130191			
Confidential							

