

Subject: RE: ACTION REQUIRED: Issue related to ACUs [I]
From: Melisa Venegas [REDACTED]
Date: Tue, 08 Mar 2016 11:21:47 -0500
To: Jj Litchford <[REDACTED]>
Cc: Paul Morris <[REDACTED]>, Armen Brash <[REDACTED]>

Classification: For internal use only

Thanks JJ!

{cid:image005.gif@01CFF815.216EE0B0}

Melisa Venegas
Deutsche Bank Wealth Management
60 Wall Street, 10005-2836 New York, NY, USA
Tel.: [REDACTED]
Email [REDACTED]

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From: Jj Litchford
Sent: Tuesday, March 08, 2016 11:20 AM
To: Melisa Venegas
Cc: Paul Morris; Armen Brash
Subject: RE: ACTION REQUIRED: Issue related to ACUs [I]

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This has been taken care of

{https://brandportal.intranet.db.com/img/modules/-DAWM_logo_metallic_46x46.gif}

JJ Litchford

Associate Banker

Deutsche Bank Trust Company Americas
Deutsche Bank Wealth Management
345 Park Avenue, Floor 24 10154-0004 New York, NY, USA
Tel. [REDACTED]
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Email [REDACTED]

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Securities offered through Deutsche Bank Securities Inc.

From: Melinda Roy
Sent: Monday, March 07, 2016 10:56 AM
To: Jj Litchford
Subject: FW: ACTION REQUIRED: Issue related to ACUs [I]

Classification: For internal use only

See below

From: Paul Morris
Sent: Monday, March 07, 2016 10:55 AM
To: Melisa Venegas
Cc: Armen Brash; Melinda Roy
Subject: RE: ACTION REQUIRED: Issue related to ACUs [I]

Thank you we will get it done. Thanks

-----Original Message-----

From: Melisa Venegas
Sent: Monday, March 07, 2016 10:49 AM Eastern Standard Time

EFTA01477545

To: Amanda Kirby; Mildred Hernandez
Cc: Paul Morris; Armen Brash
Subject: ACTION REQUIRED: Issue related to ACUs [I]

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All,

As you may know, per the AML Procedures manual, certain clients are excluded from ACUs. An issue has been identified in dbforce where High Risk clients are given an ACU Auto Exemption for Retail Banking, however, per Policy, this exception does NOT apply to High Risk clients.

Unfortunately, the code in dbforce is exempting high risk clients with only deposit accounts under \$250K from the ACU when it should not be—the code will be fixed, but in the meanwhile, while the ACUs listed below don't appear as overdue, they must be completed.

GCIS Customer #

Customer Name

Relationship

PO

487216

JEGE, LLC

SOUTHERN FINANCIAL RELATIONSHIP

Paul Morris

499733

LSJE, LLC

SOUTHERN FINANCIAL RELATIONSHIP

Paul Morris

Please let me know if you have any questions and please let me know when this has been completed. Thank you.

Regards,

{cid:image005.gif@01CFF815.216EE0B0}

Melisa Venegas
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