Paul Morris
GcisCustomerNumber CustomerName Account # Account Title - Long Name AccountTitle - Short Name BookingCenter AccountOpeningDate AccType RM Name Sub GMT DomicileOfAccount DomicileOfUltimateBeneficialOwner CbvEurAsOfDec2015 FullYear2015RevenueEur SourceSystem Code BankNumber ProductCode ProductDescription NameAddress1 NameAddress2 Name Address3 NameAddress4 NameAddress5 NameAddress6 AccountId (Anonymized for Central Team Tracking)
00000483289 Epstein, Jeffrey 35266976 JEFFREY EPSTEIN DBTCA 9/12/2013 IND Paul Morris US Onshore US VIRGIN ISLANDS US Virgin Islands 1686792 15717 PBS 1 SNOW NOW and SuperNOW Accounts JEFFREY EPSTEIN 6100 RED HOOK QTR, B3 SAINT THOMAS 00802 UNITED STATES VIRGIN ISLANDS 12262
00000483289 Epstein, Jeffrey 35269691 JEFFREY EPSTEIN DBTCA 3/8/2016 IND Paul Morris US Onshore US Virgin Islands PBS 1 SNOW NOW and SuperNOW Accounts JEFFREY EPSTEIN 6100 RED HOOK QTR, B3 SAINT THOMAS 00802 UNITED STATES VIRGIN ISLANDS 18580
00000487216 JEGE, LLC 42953475 JEGE, LLC DBTCA 10/18/2013 LE Paul Morris US Onshore US VIRGIN ISLANDS US Virgin Islands 213983 2110 PBS 1 DDA Deposit Transactions JEGE, LLC JEFFREY EPSTEIN 6100 RED HOOK QUARTER, B3 ST. THOMAS 00802 UNITED STATES VIRGIN ISLANDS 3264
00000487199 The 2007 Jeffrey E. Epstein Insurance Trust #3 42953694 THE 2007 JEFFREY E. EPSTEIN INSURANCE DBTCA 11/1/2013 LE Paul Morris US Onshore US Virgin Islands 223 1 PBS 1 DDA Deposit Transactions THE 2007 JEFFREY E. EPSTEIN INSURANCE TRUST #3 DTD 11/01/2007 C/O HBRK ASSOCIATES, INC 575 LEXINGTON AVE 4TH FL NEW YORK NY 10022 18613
00000487266 Mort, Inc 42959017 MORT, INC DBTCA 2/6/2015 LE Paul Morris US Onshore US VIRGIN ISLANDS US Virgin Islands 2 32 PBS 1 DDA Deposit Transactions MORT, INC 6100 RED HOOK QUARTER, B3 ST. THOMAS 00802 UNITED STATES VIRGIN ISLANDS 18619
00000499760 Gratitude America 42959324 GRATITUDE AMERICA, LTD DBTCA 3/3/2015 LE Paul Morris US Onshore US VIRGIN ISLANDS US Virgin Islands 902 3 PBS 1 SNOW NOW and SuperNOW Accounts GRATITUDE AMERICA, LTD 6100 RED HOOK QUARTER, B3 ST THOMAS 00802 UNITED STATES VIRGIN ISLANDS 4132
00000499760 Gratitude America 44133251 GRATITUDE AMERICA, LTD DBTCA 3/3/2015 LE Paul Morris US Onshore US VIRGIN ISLANDS US Virgin Islands 8949086 18646 PBS 1 MMBA Money Market Business Account GRATITUDE AMERICA, LTD 6100 RED HOOK QUARTER, B3 ST THOMAS 00802 UNITED STATES VIRGIN ISLANDS 6064
00000483289 Epstein, Jeffrey 680519 JEFFREY EPSTEIN JEFFREY EPSTEIN DBTCA 4/10/2014 IND Paul Morris US Onshore United States US Virgin Islands 91133 379 GPLUS 1 CUSTD Domestic 6100 RED HOOK QUARTER B3 ST. THOMAS VI 00802 12628
00000486423 Jeepers, Inc N4G024935 JEEPERS INC DBTCA 9/23/2013 LE Paul Morris US Onshore US Virgin Islands 1595157 ADP 1 BROKA Brokerage Advisory JEEPERS INC 6100 RED HOOK QUARTER B3 ST THOMAS VI 00802 14217
00000486428 The Haze Trust N4G024943 THE HAZE TRUST DBTCA 9/23/2013 LE Paul Morris US Onshore US Virgin Islands 40187786 4306 ADP 1 BROKA Brokerage Advisory THE HAZE TRUST UAD 02/09/99 JEFFREY EPSTEIN & DARREN K INDYKE TTEES 6100 RED HOOK QUARTER B3 ST THOMAS VI 00802 7538
00000483289 Epstein, Jeffrey N4G024968 JEFFREY EPSTEIN DBTCA 9/23/2013 IND Paul Morris US Onshore US Virgin Islands 616682 3022 ADP 1 BROKA Brokerage Advisory JEFFREY EPSTEIN 6100 RED HOOK QTRS STE B-3 ST THOMAS VI 00802-1348 8738
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CRP Outreach Tracking Template
Please complete columns in gray, where information is available and refer to "Template Instructions" tab for more detail.
CRP Process Step   Checksum
Account #   Account Title   RM Name Product Account Id Identifier
(For Central Team Use Only)   Initial Client Indication   Client called   Client Called Date
Self-Certification Form Sent   Self-Certification Form Sent Date   Reminder Sent   Reminder Sent Date Account closure form sent   Account closure sent   Self-Certification Form Received
Self-Certification Form Received
Date   CA/IA Review and Declaration   CA/IA Declaration Date Account
Status Account Completed Date Outcome 0
35266976   JEFFREY EPSTEIN Paul Morris   NOW and SuperNOW Accounts   12262   Unknown 0
0   Client_Indication   Y/N   CA/IA Review and Decleration_Options   CRP_STATUS   Outcome_Options   dates
35269691   JEFFREY EPSTEIN Paul Morris   NOW and SuperNOW Accounts   18580   Plan to certify   0   0   Unknown Y   Signed In regularisation   Account maintained   9/1/2015
42953475   JEGE, LLC   Paul Morris   Deposit Transactions   3264   Plan not to certify
0   0   Plan to certify Escalated   In escalation   Account frozen 9/1/2017
42953694   THE 2007 JEFFREY E. EPSTEIN INSURANCE   Paul Morris   Deposit Transactions   18613   Y   0   0   Plan not to certify   Na   Pending In progress   Account in workout
42959017   MORT, INC   Paul Morris   Deposit Transactions   18619   0   0   Na
Complete   Account closed
42959324   GRATITUDE AMERICA, LTD Paul Morris   NOW and SuperNOW Accounts   4132   Signed   0   0
44133251   GRATITUDE AMERICA, LTD Paul Morris   Money Market Business Account   6064   Escalated   0   0
680519 JEFFREY EPSTEIN Paul Morris   Domestic   12628   Pending 0   0
N4G024935   JEEPERS INC   Paul Morris   Brokerage Advisory   14217   In Regularisation
0   0
N4G024943   THE HAZE TRUST Paul Morris   Brokerage Advisory   7538   In Escalation   0
0
N4G024968   JEFFREY EPSTEIN Paul Morris   Brokerage Advisory   8738   In Progress   0
0
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Template Instructions
Field Instruction Possible Values Field Type MI
Account ID Prepopulated and aligned to original data collection Locked The field is prepopulated and must not be altered
Initial Client Indication Populate with any initial indication obtained from the client as to their self certification intentions. This could be from the prescribed CRP process steps or any usual means of communication with the client. Plan to certify The client indicated that they plan to provide the self certification Optional The field should be populated where any indication has been provided by the client, if no indication is provided it is not required Provided indicative view on intitial client indications and helps identify high risk accounts
Plan not to certify The client indicated that they do not plan to provide the self certification
To be reviewed The client indicated that they would review the request
Process Steps
Client called Mark as Y if the client has been contacted by telephone to make them aware of CRP. The client call is not a requirement but is a recommended component of the CRP process Y / N Y - the client has been called as part of the CRP process
N - the client WILL NOT be called as part of the CRP Process
Blank - the client has NOT YET been called as part of the CRP process Optional The field should be populated if the client call occurs, however if the CRP process occurs without the client being called it can be left blank Process Steps allows progress reporting and to identify portion of clients in different phases.

Date information allows for BC to schedule process and centrally to analyse ageing data and identify problem areas.
Client Called Date Populate the date that the client call occurred if 'Client called' is Y ddmmyy Conditional If the client call field is marked as Y, the date the call was made is required
Self-Certification Form Sent Mark as Y once the Self Certification form has been sent to the client. This is a mandatory step in the CRP process. Y / N Y - the self certification form has been sent
N/Blank - the self certification form has not yet been sent Required The SC form being sent is a critical part of the CRP process and therefore must occur, when the form has been sent the field must be populated `
Self-Certification Form Sent Date Populate with the date the self certification form is sent to the client ddmmyy Required Once the SC form sent field is marked as Y, the SC Date must be populated
Reminder Sent Mark as Y if the Reminder has been sent to the client. If the client returns the SC form prior to the Reminder being sent, leave the filed blank / no. Y / N Y - the reminder has been sent
N/Blank - the the reminder form has not yet been sent Conditional If a reminder letter is required and sent the Reminder Sent field must be populated
Reminder Sent Date Populate with the date the reminder was sent to the client (if applicable) ddmmyy Conditional If the Reminder Sent field is marked as Y, the date the reminder was sent is required
Account closure form sent Mark as Y if the client is sent account closure forms. If the client returns the SC form prior to the Reminder being sent, leave the filed blank / no. Y / N Y - the account closure has been sent
N/Blank - the account closure form has not been sent Conditional If the account closure form is required and sent the account closure field must be populated
Account closure sent Populate with the date the account closure form was sent to the client (if applicable) ddmmyy Conditional If the account closure field is marked as Y, the date the account closure was sent is required
Self-Certification Form Received Mark as Y once the Self Certification form has been received from the client. Y / N Y - the Self certification form has been received
N/Blank - the self certification has not yet been received Conditional For every account which a SC form is received the SC Received must be populated
Self-Certification Form Received Date Populate with the date the self certification was recieved from the client ddmmyy Conditional If the SC received field is marked as Y, the date the form was received must be captured
CA/IA Review and Declaration Once the self certification form has been received it requires RM review and declaration. Populate this field with the state of the RM step in the process. Please refer to Template Detail tab for definitions. Signed The CA/IA has reviewed the Self certification form and signed the declaration Conditional Once the SC received field is marked as Y, the RM Review and Declaration is required and must be populated with current status
Escalated The CA/IA has escalted the account
Pending The self certification form has been received but the CA/IA has not yet signed the declaration (the account is not in escalation)
CA/IA Declaration Date Once the RM Review and Declaration status is complete, the date the sign off was provided must be populated here. ddmmyy Conditional If the RM Review and Declaration is 'Signed' the date the declaration was signed must be populated
CRP Account Status This field should be updated throughout the CRP process to reflect the current status of the account In regularsation The account UBO is in regularsation in their tax residency and should not be included in the CRP process until the outcome of this is determined
Required The CRP account status must always be provided and updated accordingly should the status of the account change Enables view of account population by status
In escalation The account has been escalated to the local escalation body
In progress The account is in progress through the CRP process in a normal fashion
Complete The account has completed the CRP process - irrespective of the outcome
Completion Date Once the CRP account status is marked as Complete, the date it completed the process

must then be populated. ddmmyy Conditional Once the CRP Account Status is Complete, the date the account completed the process must be captured
Outcome Once the account has completed the process, the outcome for that specific account must be captured. Refer to the Template Detail tab for option definitions Account maintained The account has been maintained Conditional Once the CRP Account Status is 'Complete' the CRP Outcome is required Captures account outcome providing visibility of of business impact
Account frozen The CRP process has indicated the relationship should be exited but circumstances dictate this is not possible so the account has been frozen
Account in workout The CRP process has indicated the relationship should be exited but circumstances dictate activity is required before this can be achieved
Account closed The account has been closed
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