

GcisCustomerNumber	CustomerName	Account #	Account Title - Long Name	AccountTitle
- Short Name	BookingCenter	AccountOpeningDate	AccType	RM Name Sub GMT DomicileOfAccount
DomicileOfUltimateBeneficialOwner	ChvEurAsOfDec2015	FullYear2015RevenueEur	SourceSystem	
Code	BankNumber	ProductCode	ProductDescription	NameAddress1 NameAddress2 Name
Address3	NameAddress4	NameAddress5	NameAddress6	AccountId (Anonymized for Central Te
Paul Morris	Epstein, Jeffrey		JEFFREY EPSTEIN DBTCA	9/12/2013 IND
1	US Onshore	US VIRGIN ISLANDS	US Virgin Islands	PBS
1	SNOW	NOW and SuperNOW Accounts	JEFFREY EPSTEIN 6100 RED HOOK QTR, B3	SAINT THOMAS
	UNITED STATES VIRGIN ISLANDS	12262		
Paul Morris	Epstein, Jeffrey		JEFFREY EPSTEIN DBTCA	3/8/2016 IND
1	US Onshore	US Virgin Islands	PBS	1
18580	JEFFREY EPSTEIN 6100 RED HOOK QTR, B3	SAINT THOMAS	00802	UNITED STATES VIRGIN ISLANDS
	JEJE, LLC		JEJE, LLC	DBTCA 10/18/2013 LE Paul
Morris	US Onshore	US VIRGIN ISLANDS	US Virgin Islands	PBS 1
DDA	Deposit Transactions	JEJE, LLC	JEFFREY EPSTEIN 6100 RED HOOK QUARTER, B3	ST.
THOMAS	00802	UNITED STATES VIRGIN ISLANDS	3264	
	The 2007 Jeffrey E. Epstein Insurance Trust #3			THE 2007 JEFFREY E.
EPSTEIN INSURANCE	DBTCA	11/1/2013	LE	Paul Morris
lands	223	1	PBS	1
INSURANCE	TRUST #3 DTD 11/01/2007	C/O HBRK ASSOCIATES, INC	575 LEXINGTON AVE 4TH FL	
NEW YORK NY 10022	18613			
	Mort, Inc		MORT, INC	DBTCA 2/6/2015 LE Paul
Morris	US Onshore	US VIRGIN ISLANDS	US Virgin Islands	2 32 PBS 1
DDA	Deposit Transactions	MORT, INC	6100 RED HOOK QUARTER, B3	ST. THOMAS 0080
2	UNITED STATES VIRGIN ISLANDS	18619		
	Gratitude America		GRATITUDE AMERICA, LTD	DBTCA 3/3/2015
LE	Paul Morris	US Onshore	US VIRGIN ISLANDS	US Virgin Islands 902 3
PBS	1	SNOW	NOW and SuperNOW Accounts	GRATITUDE AMERICA, LTD 6100 RED HOOK QUARTE
R, B3	ST THOMAS 00802	UNITED STATES VIRGIN ISLANDS	4132	
	Gratitude America		GRATITUDE AMERICA, LTD	DBTCA 3/3/2015
LE	Paul Morris	US Onshore	US VIRGIN ISLANDS	US Virgin Islands 8949086 1864
6	PBS	1	MMBA Money Market Business Account	GRATITUDE AMERICA, LTD 6100 RED HOO
K QUARTER, B3	ST THOMAS 00802	UNITED STATES VIRGIN ISLANDS	6064	
	Epstein, Jeffrey		JEFFREY EPSTEIN	JEFFREY EPSTEIN DBTCA 4/10/2014
IND	Paul Morris	US Onshore	United States	US Virgin Islands 91133 379 GPLU
S	1	CUSTD	Domestic	6100 RED HOOK QUARTER B3 ST. THOMAS VI 00802 1262
8				
	Jeepers, Inc		JEEPERS INC	DBTCA 9/23/2013 LE Paul
Morris	US Onshore	US Virgin Islands	1595157 ADP	1 BROKA Brokerage Advisory
JEEPERS INC	6100 RED HOOK QUARTER B3		ST THOMAS VI 00802	14217
	The Haze Trust		THE HAZE TRUST	DBTCA 9/23/2013 LE Paul
MORRIS	US Onshore	US Virgin Islands	40187786	4306 ADP 1 BROKA Brok
erage Advisory	THE HAZE TRUST	UAD 02/09/99	JEFFREY EPSTEIN &	DARREN K INDYKE TTEES 6100
RED HOOK QUARTER B3	ST THOMAS VI 00802	7538		
	Epstein, Jeffrey		JEFFREY EPSTEIN	DBTCA 9/23/2013 IND
Paul Morris	US Onshore	US Virgin Islands	3022	ADP 1 BROKA Brok
erage Advisory	JEFFREY EPSTEIN 6100 RED HOOK QTRS STE B-3		ST THOMAS VI 00802-1348	8738

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CRP Outreach Tracking Template

Please complete columns in gray, where information is available and refer to "Template Instructions" tab for more detail.

CRP Process Step	Checksum	Account #	Account Title	RM Name	Product	Account Id	Identifier	Client called	Client Called Date
(For Central Team Use Only)	Initial Client Indication	Self-Certification Form Sent Date	Self-Certification Form Sent Date	Account closure form sent	Account closure sent	Self-Certification Form Received Date	CA/IA Review and Declaration	CA/IA Declaration Date	Account
Status	Account Completed	Date	Outcome	0	0	0	0	0	0
[REDACTED]	JEFFREY EPSTEIN	Paul Morris	NOW and SuperNOW Accounts	12262	Y	4/18			
4/16	Y	4/22/16	Unknown	0	0	Client_Indication	Y/N	CA/IA Review and Declaration	
Regularisation_Options	CRP_STATUS	CRP_STATUS	Outcome_Options dates						
[REDACTED]	JEFFREY EPSTEIN	Paul Morris	NOW and SuperNOW Accounts	18580	Y	4/18			
4/16	Y	4/22/16	Plan to certify	0	0	Unknown	Y	Signed	In regularisation
Account maintained	9/1/2015								
[REDACTED]	JEGE, LLC	Paul Morris	Deposit Transactions	3264	Y	4/18/16	Y		
4/22/16	Plan not to certify	0	0	Plan to certify	Escalated			In escalation	Account
Account frozen	9/1/2017								
[REDACTED]	THE 2007 JEFFREY E. EPSTEIN INSURANCE	Paul Morris	Deposit Transactions	1861					
3	Y	4/18/16	Y	4/22/16	Y	0	0	Plan not to certify	Na
ing	In progress	Account in workout							
[REDACTED]	MORT, INC	Paul Morris	Deposit Transactions	18619	Y	4/18/16	Y		
4/22/16	0	Na	Complete	Account closed					
[REDACTED]	GRATITUDE AMERICA, LTD	Paul Morris	NOW and SuperNOW Accounts	4132	Y				
4/18/16	Y	4/22/16	Signed	0	0				
[REDACTED]	GRATITUDE AMERICA, LTD	Paul Morris	Money Market Business Account	6064	Y				
4/18/16	Y	4/22/16	Escalated	0	0				
680519	JEFFREY EPSTEIN	Paul Morris	Domestic	12628	Y	4/18/16	Y	4/22/16	Pending
ing	0								
[REDACTED]	JEEPERS INC	Paul Morris	Brokerage Advisory	14217	Y	4/18/16	Y		
4/22/16	In Regularisation	0	0						
[REDACTED]	THE HAZE TRUST	Paul Morris	Brokerage Advisory	7538	Y	4/18/16	Y		
4/22/16	In Escalation	0	0						
[REDACTED]	JEFFREY EPSTEIN	Paul Morris	Brokerage Advisory	8738	Y	4/18/16	Y		
4/22/16	In Progress	0	0						

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Template Instructions

Field	Instruction	Possible Values	Field Type	MI
Account ID	Prepopulated and aligned to original data collection		Locked	The field is prepopulated and must not be altered
Initial Client Indication	Populate with any initial indication obtained from the client as to their self certification intentions. This could be from the prescribed CRP process steps or any usual means of communication with the client.	Plan to certify	Optional	The client indicated that they plan to provide the self certification
		Plan not to certify		The client indicated that they do not plan to provide the self certification
		To be reviewed		The client indicated that they would review the request
<b>Process Steps</b>				
Client called	Mark as Y if the client has been contacted by telephone to make them aware of CRP. The client call is not a requirement but is a recommended component of the CRP process	Y / N	Y - the client has been called as part of the CRP process	
		N	N - the client WILL NOT be called as part of the CRP Process	
Blank	- the client has NOT YET been called as part of the CRP process	Optional		The field should be populated where any indication has been provided by the client, if no indication is provided it is not required
				Provided indicative view on initial client indications and helps identify high risk accounts
				Process Steps allows progress reporting and to identify portion of clients in different phases.
Date information allows for BC to schedule process and centrally to analyse ageing data and identify problem areas.				
Client Called Date	Populate the date that the client call occurred if 'Client called' is Y	ddmmyy	Conditional	If the client call field is marked as Y, the date the call was made is required
Self-Certification Form Sent	Mark as Y once the Self Certification form has been sent to the client. This is a mandatory step in the CRP process.	Y / N	Y - the self certification form has been sent	
		N/Blank	N/Blank - the self certification form has not yet been sent	Required The SC form being sent is a critical part of the CRP process and therefore must occur, when the form has been sent the field must be populated
Self-Certification Form Sent Date	Populate with the date the self certification form is sent to the client	ddmmyy	Required	Once the SC form sent field is marked as Y, the SC Date must be populated
Reminder Sent	Mark as Y if the Reminder has been sent to the client. If the client returns the SC form prior to the Reminder being sent, leave the field blank / no.	Y / N	Y - the reminder has been sent	
		N/Blank	N/Blank - the the reminder form has not yet been sent	Conditional If a reminder letter is required and sent the Reminder Sent field must be populated
Reminder Sent Date	Populate with the date the reminder was sent to the client (if applicable)	ddmmyy	Conditional	If the Reminder Sent field is marked as Y, the date the reminder was sent is required
Account closure form sent	Mark as Y if the client is sent account closure forms. If the client returns the SC form prior to the Reminder being sent, leave the field blank / no.	Y / N	Y - the account closure has been sent	
		N/Blank	N/Blank - the account closure form has not been sent	Conditional If the account closure form is required and sent the account closure field must be populated
Account closure sent	Populate with the date the account closure form was sent to the client (if applicable)	ddmmyy	Conditional	If the account closure field is marked as Y, the date the account closure was sent is required
Self-Certification Form Received	Mark as Y once the Self Certification form has been received from the client.	Y / N	Y - the Self certification form has been received	
		N/Blank	N/Blank - the self certification has not yet been received	Conditional For every account which a SC form is received the SC Received must be populated
Self-Certification Form Received Date	Populate with the date the self certification was received from the client	ddmmyy	Conditional	If the SC received field is marked as Y, the date the form was received must be captured
CA/IA Review and Declaration	Once the self certification form has been received it requires RM review and declaration. Populate this field with the state of the RM step in the process. Please refer to Template Detail tab for definitions.	Signed	Conditional	Once the SC received field is marked as Y, the RM Review and Declaration is required and must be populated with current status
		Escalated	Escalated	The CA/IA has escalated the account
		Pending	Pending	The self certification form has been received but the CA/IA has not yet signed the declaration (the account is not in escalation)
CA/IA Declaration Date	Once the RM Review and Declaration status is complete, the date the sign off was provided must be populated here.	ddmmyy	Conditional	If the RM Review and Declaration is 'Signed' the date the declaration was signed must be populated
CRP Account Status	This field should be updated throughout the CRP process to reflect the current status of the account	In regularisation	Required	The account UBO is in regularisation in their tax residency and should not be included in the CRP process until the outcome of this is determined
		In escalation	In escalation	The account has been escalated to the local escalation body
		In progress	In progress	The account is in progress through the CRP process in a normal fashion
		Complete	Complete	The account has completed the CRP process - irrespective of the outcome
Completion Date	Once the CRP account status is marked as Complete, the date it completed the process			

must then be populated. ddmmy Conditional Once the CRP Account Status is Complete, the date the account completed the process must be captured

Outcome Once the account has completed the process, the outcome for that specific account must be captured. Refer to the Template Detail tab for option definitions Account maintained The account has been maintained Conditional Once the CRP Account Status is 'Complete' the CRP Outcome is required Captures account outcome providing visibility of of business impact

Account frozen The CRP process has indicated the relationship should be exited but circumstances dictate this is not possible so the account has been frozen

Account in workout The CRP process has indicated the relationship should be exited but circumstances dictate activity is required before this can be achieved

Account closed The account has been closed

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