

Subject: Re: Fw: KCP IOI: Santander IPO [I]
From: Joshua Shoshan <[REDACTED]>
Date: Tue, 21 Jan 2014 14:16:39 -0500
To: Caroline Kitidis <[REDACTED]>
Cc: Chip Packard <[REDACTED]>,
Haig Ariyan <[REDACTED]>,
Tazia Smith <[REDACTED]>,
Michael Iaquina <[REDACTED]>

Classification: For internal use only

Tazia, please feel free to chime in on how many shares of an allocation Mr. Epstein would be reasonably satisfied to receive. Re his 150k indication, the entire division may get perhaps 500k shares (DB has junior economics). Best regards, --Josh

Joshua Shoshan
Director

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From: Caroline Kitidis/db/dbcom

To: Haig Ariyan/db/dbcom@DBAmericas, Chip Packard/db/dbcom@DBAmericas,

Cc: Joshua Shoshan/db/dbcom@DBAmericas

Date: 01/21/2014 01:20 PM

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Hi guys -
See KCP allocation request for Santander. We have a Capital markets KCP client request for allocation, Jeffrey Epstein, please advise if you are comfortable with this request in the pre-allocation for KCP bucket, before PC/PCS non KCP

allocations.

Kind regards,

Caroline Kitidis

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Caroline V. Kitidis
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----- Forwarded by Caroline Kitidis/db/dbcom on 01/21/2014 01:19 PM -----

From: Tazia Smith/db/dbcom

To: Joshua Shoshan/db/dbcom@DBAmericas,

Cc: Caroline Kitidis/db/dbcom@DBAMERICAS

Date: 01/21/2014 12:36 PM

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Josh - KCP IOI for Santander thus far (Epstein) is 150k shares. Could you please follow up with Chip/Haig as discussed?

Thanks,
Tazia

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