

Subject: Re: Fw: KCP IOI: Santander IPO [I]
From: Joshua Shoshan [REDACTED]
Date: Tue, 21 Jan 2014 16:00:42 -0500
To: Joshua Shoshan [REDACTED]
Cc: Caroline Kitidis [REDACTED]
Chip Packard [REDACTED]
Haig Ariyan [REDACTED]
Michael Iaquinta [REDACTED]
Tazia Smith <[REDACTED]>

Classification: For internal use only

Greetings. Tazia came by. It was earlier proposed that Mr. Epstein would get a 50bps allocation off the top of the wealth management allocation. Is that agreeable to you? Best regards, --Josh

Joshua Shoshan
Director

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Private Client Services
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From: Joshua Shoshan/db/dbcom

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Cc: Chip Packard/db/[REDACTED] Haig Ariyan/db/[REDACTED] Tazia Smith/db/dbcom, Michael Iaquinta/db/dbcom

Date: 01/21/2014 02:16 PM

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Tazia, please feel free to chime in on how many shares of an allocation Mr. Epstein would be reasonably satisfied to receive. Re his 150k indication, the entire division may get perhaps 500k shares (DB has junior economics). Best regards, --Josh

Joshua Shoshan
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From: Caroline Kitidis/db/dbcom
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Date: 01/21/2014 01:20 PM
Subject: Fw: KCP IOI: Santander IPO [I]

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Hi guys -
See KCP allocation request for Santander. We have a Capital markets KCP client request for allocation, Jeffrey Epstein, please advise if you are comfortable with this request in the pre-allocation for KCP bucket, before PC/PCS non KCP allocations.

Kind regards,
Caroline Kitidis

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Caroline V. Kitidis
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----- Forwarded by Caroline Kitidis/db/dbcom on 01/21/2014 01:19 PM -----

From: Tazia Smith/db/dbcom
To: Joshua Shoshan/db/[REDACTED]
Cc: Caroline Kitidis/db/[REDACTED]
Date: 01/21/2014 12:36 PM
Subject: KCP IOI: Santander IPO [I]

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Josh - KCP IOI for Santander thus far (Epstein) is 150k shares. Could you please follow up with Chip/Haig as discussed?

Thanks,
Tazia

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Tazia Smith
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