

Subject: Re: Fw: KCP IOI: Santander IPO [I]
From: Joshua Shoshan [REDACTED]
Date: Tue, 21 Jan 2014 16:06:30 -0500
To: Haig Ariyan [REDACTED]
Cc: Caroline Kitidis [REDACTED]
Chip Packard [REDACTED]
Michael Iaquina [REDACTED]
Tazia Smith <[REDACTED]>
Donald Summer [REDACTED]

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Haig, thank you. Mike Iaquina, please note.

We will come back to you when Mr. Epstein next puts in an IOI. Best regards,
--Josh

Joshua Shoshan
Director

Deutsche Bank Securities Inc.
Private Wealth Management - Americas
Private Client Services
345 Park Ave, 26th Floor
New York, NY 10154

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To: Joshua Shoshan/db/[REDACTED]

Cc: Caroline Kitidis/db/[REDACTED] Chip Packard/db/[REDACTED] Joshua
Shoshan/db/[REDACTED] Michael Iaquina/db/[REDACTED] Tazia Smith/db/[REDACTED]

Date: 01/21/2014 04:03 PM

Subject: Re: Fw: KCP IOI: Santander IPO [I]

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We will do our best for this client on each deal without being bound to a
formula. However, the requested amount is reasonable in my view.

Haig Ariyan
Managing Director
Co-Head Wealth Management, Americas
Deutsche Asset & Wealth Management

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New York, NY 10154
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Cc: [REDACTED] Michael Caroline Kitidis/db/dbcom, Chip Packard/db/ [REDACTED], Haig Ariyan/db/ [REDACTED]
Iaquinta/db/dbcom, Tazia Smith/db/dbcom

Date: 01/21/2014 04:00 PM

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Greetings. Tazia came by. It was earlier proposed that Mr. Epstein would get a 50bps allocation off the top of the wealth management allocation. Is that agreeable to you? Best regards, --Josh

Joshua Shoshan
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Date: 01/21/2014 02:16 PM

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Tazia, please feel free to chime in on how many shares of an allocation Mr. Epstein would be reasonably satisfied to receive. Re his 150k indication, the entire division may get perhaps 500k shares (DB has junior economics). Best regards, --Josh

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From: Caroline Kitidis/db/dbcom

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Cc: Joshua Shoshan/db/[REDACTED]

Date: 01/21/2014 01:20 PM

Subject: Fw: KCP IOI: Santander IPO [I]

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Hi guys -
See KCP allocation request for Santander. We have a Capital markets KCP client request for allocation, Jeffrey Epstein, please advise if you are comfortable with this request in the pre-allocation for KCP bucket, before PC/PCS non KCP allocations.

Kind regards,

Caroline Kitidis

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Caroline V. Kitidis
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----- Forwarded by Caroline Kitidis/db/dbcom on 01/21/2014 01:19 PM -----

From: Tazia Smith/db/dbcom
To: Joshua Shoshan/db, [REDACTED]
Cc: Caroline Kitidis/db, [REDACTED]
Date: 01/21/2014 12:36 PM
Subject: KCP IOI: Santander IPO [I]

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Josh - KCP IOI for Santander thus far (Epstein) is 150k shares. Could you please follow up with Chip/Haig as discussed?

Thanks,
Tazia

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Tazia Smith
Director | Key Client Partners - US

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