

J.P. Morgan Derivatives Account Application and Agreement: Swaps and Other Contracts

B. Personal Accounts Only (cont.)

Name of Employer, Occupation/Position, Address, Phone, City, State, Zip, Annual Income (\$), Liquid Net Worth (\$), Total Net Worth excluding primary residence (\$)

Spouse Information (If spouse is not the joint account owner, please complete this section.)

Name, Name of Employer, Number of Dependents, Occupation/Position, Annual Income (\$)

C. Entity and Trust/Estate Accounts Only

Title of Account: SOUTHERN FINANCIAL LLC, Corporation, Partnership, PIC/PhC, Sole Proprietorship, Limited Liability Company, Limited Liability Partnership, Not for Profit, Trust, Revocable Trust, Living Trust, Estate, Charitable Trust, Other

The Dodd-Frank Act defines a "special entity" as a federal, state or municipal agency, instrumentality, department or corporation of or established by the foregoing...

The client is a special entity within the meaning of the Dodd-Frank Act. If the client is a special entity, the client is a: Qualified Retirement Plan, Foundation, Endowment, Other

Entity Name: SOUTHERN FINANCIAL LLC, Address: 6100 RED HOOK QUARTER, B3, City: ST. THOMAS, State, Zip: 008021348, Annual Income (\$): 5,000,000.00, Liquid Net Worth (\$): 20,000,000.00, Total Net Worth (\$): 20,000,000.00

Legal Entity / CFTC Interim Compliant Identifier (entities and trusts only)

549300KB8YL2XC7B4K14

In order to facilitate the transaction reporting requirements of the Dodd-Frank Act, clients that are entities are required to obtain an identification number, currently called a CFTC Interim Compliant Identifier, or CICI, but which will be known as a Legal Entity Identifier (LEI) in the future.