

Name Screening

Customer Name: [REDACTED]

Customer and Related Party Name Screening

Have the customer, Beneficial owners, controllers of the customer, Connected Fund/Non-Fund entities, and/or account controllers (as applicable) been screened for OFAC/sanctions, Peps, negative media, and/or derogatory information as well as internal lists?

Date sent for last screening

Date of last alert

09/02/2019

Is this customer or any related parties a Politically Exposed Person (PEP) per the Firm definition of PEP?

PEP	Role	Name	ECID
No	Customer	[REDACTED]	[REDACTED] 
No	Customer	[REDACTED]	[REDACTED] 