

Name Screening

Customer Name: Ghislaine Maxwell

Customer and Related Party Name Screening

Have the customer, Beneficial owners, controllers of the customer, Connected Fund/Non-Fund entities, and/or account controllers (as applicable) been screened for OFAC/sanctions, Peps, negative media, and/or derogatory information as well as internal lists?

Date sent for last screening

Date of last alert

09/02/2019

Is this customer or any related parties a Politically Exposed Person (PEP) per the Firm definition of PEP?

PEP	Role	Name	ECID
No	Customer	Ghislaine Maxwell	
No	Customer	116 EAST 65TH ST LLC	
No	Non-Customer / Related Party	ALAN J DLUGASH	
No	Non-Customer / Related Party	BELLA KLEIN	
No	Customer	CAROLYN ROY	
No	Customer		
No	Non-Customer / Related Party	DARREN K INDYKE	
No	Customer	ELLMAX	
No	Non-Customer / Related Party	HARRY I BELLER	
No	Customer	Jeffrey E Epstein	