

State of Domicile:  
Where is the Company  
Incorporated?

What document did you obtain to  
evidence state of domicile?  
Articles of Incorporation,  
Partnership Agreement, etc

**Introduction / Financial Information**

Introduction Type:

Specific Information: **Part of the Epstein relationship**  
(Please identify Client, Type of Account etc.)

Met with Principal?  Yes  No

Name Of Principal: **Jeffrey Epstein**

Legal Structure:

**Principal Beneficial Owner(s)**

Identify the principal beneficial owner(s). If the entity is owned by an intermediate legal structure (e.g. LLC or Partnership), please list the ultimate individual beneficial owner. A beneficial owner is any person on behalf of whom the account is maintained.

Last Name:	First Name:	% of Ownership:	Tax ID	DOB

What form of identification document did you obtain for the files?  
Other

If Other ,Please Describe: **information already on file**

Passport # **NA**

Please Describe: **investments**

Corporation's Net Worth: **\$ 1.00 (USD millions)**

Sales: **\$ (USD millions)**

Total Assets: **\$ 1.00 (USD millions)**

Total Debt: **\$ (USD millions)**

Specific Information **account documentation**

Are the controlling Shareholders the same as the beneficial owners ?  Yes  No

Controlling Shareholder(s):  
**Jeffrey Epstein**

Industry Code: **Investors (Misc.)**  
The Business Type is the industry in which the client derives the majority of its income. NAIC Code:

**Transaction Profile/Expected Account Activity**

What is the purpose/intended use of this account?

Please check the types of account and expected activity for this legal entity (Inflows and outflows of securities and cash) - check ALL that apply:

Account	Activity Level: Low (1-5 transactions/month) Medium (6-12 trs/month) High (over 12 trs/month)
DDA/MMIA:	<input type="radio"/> Low <input checked="" type="radio"/> Medium <input type="radio"/> High
SDI:	<input type="radio"/> Low <input type="radio"/> Medium <input type="radio"/> High