

Investment Management:	<input type="radio"/> Low <input type="radio"/> Medium <input type="radio"/> High
Funds:	<input type="radio"/> Low <input type="radio"/> Medium <input type="radio"/> High
Other:	<input type="radio"/> Low <input type="radio"/> Medium <input type="radio"/> High

Transaction Profile/Expected Account Activity  
Checking account

**Required Security Services Checks**

Security Search Type:

Note for Security Services:  
Please list any specific search requests/instructions to Security Services here

**Security Services Information**

A Security Services Check is REQUIRED for New Clients and Prospects  
This section to be completed by the Security Services Group:

List Individuals and Corporate Entities Investigated:

Attachment:

Search Type	Is Further Review by Banker Required?	Security Service Details:	Banker Response:
Company Information	No	Not requested.	
Corporate Records	No	Not requested.	
Other Database Searches (RDC/CDC/MIS)			
Civil Court Action	No	Not requested.	
Federal & State Court Action	No	Not requested.	
Personal Particulars	No	Not requested.	
Publications	No	Nothing derogatory was found.	
Regulatory Sanctions	No	No records were found.	
OFAC/Control List Search	No	Reference page 2 of the attached document to view the results of OFAC search.  No matches were found.	

Has this been identified as a Red Dot DDR? :  Yes  No

Expense No.:

Total Costs: \$0.00

Signed by: Anthony AJ Johnson

Dated: 08/06/2004 12:19:30 PM

**Corporation Checks**

Background Check	Date (Required If Check Carried Out)	Comments (Required If Check Carried Out)
<input checked="" type="checkbox"/> Morgan Network	04/10/2003	EMMCAC, LLC is a new entity for the Jeffrey Epstein relationship