

Industry Code:  
The Business Type is the industry in which the client derives the majority of its income.

Investors (Misc.)  
NAIC Code: 6799

**Transaction Profile/Expected Account Activity**

What is the purpose/intended use of this account?

Please check the types of account and expected activity for this legal entity (inflows and outflows of securities and cash) - check ALL that apply:

Account	Activity Level: Low (1-5 transactions/month) Medium (6-12 trs/month) High (over 12 trs/month)
DDA/MMIA:	<input type="radio"/> Low <input checked="" type="radio"/> Medium <input type="radio"/> High
SDI:	<input type="radio"/> Low <input type="radio"/> Medium <input type="radio"/> High
Investment Management:	<input type="radio"/> Low <input type="radio"/> Medium <input type="radio"/> High
Funds:	<input type="radio"/> Low <input type="radio"/> Medium <input type="radio"/> High
Other:	<input type="radio"/> Low <input type="radio"/> Medium <input type="radio"/> High

Transaction Profile/Expected Account Activity  
Checking account

**Required Security Services Checks**

Security Search Type: Media Searches Only

Note for Security Services:  
Please list any specific search requests/instructions to Security Services here

**Security Services Information**

A Security Services Check is REQUIRED for New Clients and Prospects  
This section to be completed by the Security Services Group:

List Individuals and Corporate Entities Investigated:  
EMMCAC, LLC was researched for derogatory media and regulatory sanctions.

Please Note:

Reference the Due Diligence Report titled "Epstein, Jeffrey" and the attached document 210203b for a full report on Jeffrey E. Epstein completed on 05/21/2003 for Mary Rieth. No additional research was conducted on this subject under this request.

All research is subject to the availability of electronic database resources.  
Attachment:



Search Type	Is Further Review by Banker Required?	Security Service Details:	Banker Response:
Company Information	No	Not requested.	
Corporate Records	No	Not requested.	
Other Database Searches (RDC/CDC/MIS)			
Civil Court Action	No	Not requested.	