

High Risk Business	No
Is this a Foreign Bank? "Foreign Bank" as defined in the USA PATRIOT Act.	No
Banker:	Paul V Morris/JPMCHASE
LE/OU:	102/29338
Senior Manager:	Tad C Smith

Annual / Periodic Review Information

Annual/Periodic Review 36 months
Due in:

Periodic Review Comments :

This annual/periodic review is for DMID # 9710670708 - DMID Name: JEFFREY E EPSTEIN
The actual review is being conducted within the Ranch Lake III, Inc. DDR.

Temporary Waiver Information

Waiver Status:	DDR Complete
Reason for Waiver:	Security Services is still performing the client background check.
Waiver Requested By:	Doreen X Hewitt-Dunn
Date of Waiver Request:	07/12/2004
Approver for Waiver:	David M Tarrio
Waiver Approved On:	07/12/2004

Banker Approval Information

Based on my due diligence and the information provided , I approve the acceptance of EMMCAC, LLC ~ as a Client.
Mary Rieth 08/11/2004 09:14:52 AM

Senior Manager Approval Information

Based on the information provided and the approval granted by *Mary Rieth*, I approve the acceptance of EMMCAC, LLC ~ as a Client.

Tad C Smith 08/11/2004 09:20:10 AM

Admin Comments

Admin Comments:
By:
Date:

Admin Comments History :

Document History

Created: 07/12/2004	By: Doreen X Hewitt-Dunn
Last Modified: 08/11/2004 09:20:18 AM	By: Tad C Smith
Submitted: 07/12/2004	By: Doreen X Hewitt-Dunn

Audit History

Converted Tracking Document History:

1. Client Manager Approval by: Mary Rieth on 08/11/2004 09:14:49 AM.
2. Awaiting Security Services Research by: Doreen X Hewitt-Dunn on 07/12/2004 03:13:41 PM.
3. Senior Manager Approval by: Tad C Smith on 08/11/2004 09:20:13 AM.
4. Security Services Sign-Off by: Anthony AJ Johnson on 08/06/2004 12:19:38 PM.