

machine operator, parking garage, any other business in which cash is its principal activity)

Principal Shareholder(s):

Identify the principal shareholders. If the entity is owned by an intermediate legal structure (e.g. LLC or Partnership), please list the ultimate individual shareholder(s) on whose behalf the account is maintained.

Last Name:	First Name:	% of Ownership:	SSN	DOB	Form of Govt Issued ID Obtained
Maxwell	Ghislaine	100	[REDACTED]	[REDACTED]	Driver's License

Is this an operating company?

Yes No

Entity/Client Net Worth:

\$ 20.00 (USD millions)

Documentation/ Information obtained to confirm stated net worth of the Entity/Client:

Client's liquid assets at JPM plus value of her personal real estate.

Industry Code:

PRIVATE HOUSEHOLDS

The Business Type is the industry in which the client derives the majority of its income.

NAIC Code: [REDACTED]

Transaction Profile/Expected Account Activity

What is the purpose/intended use of this account?

To manage operational expenses related to personal aircraft.

What is the expected source of account funding ?

Other
If "Other" please describe
Transfer in from Ms. Maxwell's personal account

Approximate \$ amount to fund the account :

\$50,000

Please select each "Product Type" that applies. Within each product type, please check ALL transaction types that apply and provide a description of expected account activity. (document expected sources of inflows and destinations of transfers,countries, and types of payors/payees.Include where is the money coming from - example : A specific bank or firm and purpose of specific transactions, if known)

Product Type - Select all that apply	Transaction Types - Select all that apply and expected activity level (L = 1-5, M = 6-12, H =>12 transactions per month)	Anticipated \$ Amount Totals per month - Please provide at least an estimate
Deposit/Current Accounts (Checking, Savings, Money Market) <input checked="" type="radio"/> Yes <input type="radio"/> No	Cash (withdrawals/deposits) - <input type="radio"/> Low <input type="radio"/> Medium <input type="radio"/> High	
	Check deposits / Check paid - <input type="radio"/> Low <input type="radio"/> Medium <input type="radio"/> High	
	Wire Incoming & Outgoing - <input checked="" type="radio"/> Low <input type="radio"/> Medium <input type="radio"/> High	Below \$1MM
	Internal Transfers - <input type="radio"/> Low <input type="radio"/> Medium <input type="radio"/> High	
	Other - <input type="radio"/> Low <input type="radio"/> Medium <input type="radio"/> High	
Please describe in detail expected account activity for this product :		Operational account for maintenance of aircraft.
Investment Products (Custody,Brokerage,Agency,Margin) <input type="radio"/> Yes <input checked="" type="radio"/> No		
Fiduciary (Investment Mgmt. / Trust) <input type="radio"/> Yes <input checked="" type="radio"/> No		