

Date Issued	01/15/2010	Expiration Date	01/20/2015
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Introduction & Wealth	
Introduction Type	
Net Worth of client(\$)	

Source Of Wealth / Financial Information Details	
Source Of Wealth -Identify the Clients Source of Wealth from following list of options	

Introduction	
Non Individual Entity Type	Corporation - For Profit
For Profit?	Yes
Locations Served?	Domestic
Public or Private	Private
Are beneficial owners/principal shareholders associated with this business?	Yes
Who owns this entity?	Individual
Please Provide ownership details	<p>Jeffrey Epstein is the sole owner of the entity -</p> <p>Jeffrey Epstein DOB: 01/20/1953 SSN: [REDACTED] Citizen: USA</p> <p>Harry Beller is an authorized signer along with Jeff Epstein on the account -</p> <p>Harry Beller DOB: [REDACTED] SSN: [REDACTED] Citizen: [REDACTED]</p>
Ownership is not equal to 100%?	No
Are there any authorized signers or any other individuals with authority over the entity?	Yes
What independent background check, in addition to the Global Corporate Security ("GCS") background check, has been carried out? Check all that apply and provide the date in which the background check was conducted. (at least 1 must be selected)	JPMC Network
JPMC Network Date	04/12/2012
JPMC Network Comments	<p>This entity is 100% owned by Jeffrey Epstein.</p> <p>Jeffrey Epstein is an existing client of PB. In years past, Mr. Epstein was an active brokerage client, particularly in foreign exchange, and as of this year he is a custody-only client. Formerly, Jeffrey Epstein was a money manager to high net worth individuals, most notably Leslie Wexner. Wexner was a JPM client and then retained Epstein in the late 90s. Mr. Wexner terminated his relationship with Epstein in 02/2008.</p> <p>Epstein is well known to several JPM PB employees and to Jes Staley. Mr. Epstein was convicted of a felony charge in 2008 and is currently serving an 18-month prison sentence. Jes Staley conferred with Stephen Cutler and the decision was made to keep Mr. Epstein as a PB client. Staley and PB then decided that his PB relationship will be for banking and custody only. We no longer provide brokerage execution capabilities for Mr. Epstein's accounts. Bear Stearns will hold the brokerage relationship with Mr. Epstein.</p>

Politically Exposed Person	
Are any of the beneficial owners/authorized signers or other individuals with authority over this entity a current or former Senior United States or Non-USA Political Official / also known as a Politically Exposed Person (PEP) or related to one?	No

Source of Wealth/Financial Information	
Client Category(for SOW)	For Profit
Source of Wealth- identify the clients source of wealth from the following list of options	Other
Provide detailed and specific information.	Jeffrey Epstein is a private investor. Jeff Epstein was a money manager - made his wealth managing the money of wealthy individuals.