

Source Of Wealth / Financial Information Details	
Source Of Wealth -Identify the Clients Source of Wealth from following list of options	Other

Introduction	
Non Individual Entity Type	Corporation - For Profit
For Profit?	Yes
Locations Served?	Domestic
Public or Private	Private
Are beneficial owners/principal shareholders associated with this business?	No
Who owns this entity?	Individual
Please Provide ownership details	Jeff Epstein is 100% owner
Ownership is not equal to 100%?	No
Are there any authorized signers or any other individuals with authority over the entity?	No
What independent background check, in addition to the Global Corporate Security ("GCS") background check, has been carried out? Check all that apply and provide the date in which the background check was conducted. (at least 1 must be selected)	JPMC Network
JPMC Network Date	03/26/2013
JPMC Network Comments	<p>The entity is 100% owned by Jeffrey Epstein and a complete DDR is on file for Mr. Epstein.</p> <p>Jeffrey Epstein is an existing client of PB. In years past, Mr. Epstein was an active brokerage client, particularly in foreign exchange, and as of this year he is a custody-only client. Formerly, Jeffrey Epstein was a money manager to high net worth individuals, most notably Leslie Wexner. Wexner was a JPM client and then retained Epstein in the late 90s. Mr. Wexner terminated his relationship with Epstein in 02/2008.</p> <p>Epstein is well known to several JPM PB employees and to Jes Staley. Mr. Epstein was convicted of a felony charge in 2008 and is currently serving an 18-month prison sentence. Jes Staley conferred with Stephen Cutler and the decision was made to keep Mr. Epstein as a PB client. Staley and PB then decided that his PB relationship will be for banking and custody only. We no longer provide brokerage execution capabilities for Mr. Epstein's accounts. Bear Stearns will hold the brokerage relationship with Mr. Epstein</p>

Politically Exposed Person	
Are any of the beneficial owners/authorized signers or other individuals with authority over this entity a current or former Senior United States or Non-USA Political Official / also known as a Politically Exposed Person (PEP) or related to one?	No

Source of Wealth/Financial Information	
Client Category(for SOW)	For Profit
Source of Wealth- identify the clients source of wealth from the following list of options	Executive Management
What is the client's current executive management position? (Include any former positions, if significant to source of wealth)	<p>Epstein managed money for numerous high net worth families and has been an active investor himself.</p> <p>Epstein began his financial career in 1976 as an options trader at Bear Stearns. He specialized in mathematical models such as the Black-Scholes option-pricing model and later worked in the special products division, advising high net worth clients on tax strategies. In 1980, Epstein became a partner at Bear Stearns. In 1982, Epstein founded his own financial management firm, J. Epstein & Co., managing the assets of clients with more than a billion in net worth. In 1987, Leslie Wexner, founder and chairman of the Columbus, Ohio-based Limited chain of women's-clothing stores became a well-known client. In 1996, Epstein changed the name of his firm to The Financial Trust Company and based it in the US Virgin Islands.</p>
List the number of years in current position. (Include any former positions, if significant to source of wealth)	30
Approximate yearly income/salary/bonus/distribution and other compensation history (accumulated earned income)	10000000
Which country(ies) is(are) the Client's primary Source of Wealth or Income derived?	UNITED STATES
Is this an Operating Company?	No
Total Net Worth \$	1000000.00
What was the total net worth based on?	Financial Statements