

Note for Security Services: DDR originally created in ICG. Last report signed off by Security Services on 1/18/08.
 Please list any specific search requests/instructions to Security Services here

Security Services Information

A Security Services Check is REQUIRED for New Clients and Prospects
 This section to be completed by the Security Services Group:

List Individuals and Corporate Entities Investigated:

Please Note: All research is subject to the availability of electronic database resources.

Attachment:



739106b.doc



257909b.doc

Search Type	Is Further Review by Banker Required?	Security Service Details:	Banker Response:
Company Information	No	No additional research necessary.	
Corporate Records	No	No additional research necessary.	
Other Database Searches (RDC/CDC/MIS)	No	No additional research necessary.	
Internal Database Search (CSSS/FPS)	No	Information confirmed; details included in the attachment.	
State Court Searches	No	No additional research necessary.	
Federal Court Searches	No	No additional research necessary.	
Personal Particulars	No	No additional research necessary.	
Publications	No	Publications revealed nothing derogatory.	
Regulatory Sanctions	No	No records were found.	
OFAC/Control List Search	No	No records were found.	

Has this been identified as a Red Dot DDR? : Yes No

Expense No.: 99/31167

Total Costs: \$0.00

Signed by: Vanessa X Fong

Dated: 02/03/2009 10:19:55 AM

Background Checks conducted in addition to Security Services

Background Check	Date (Required If Check Carried Out)	Comments (Required If Check Carried Out)
<input checked="" type="checkbox"/> Morgan Network	10/20/2006	<p>The entity is 100% owned by Jeffrey Epstein and a complete DDR is on file for Mr. Epstein.</p> <p>Jeffrey Epstein is an existing client of PB. In years past, Mr. Epstein was an active brokerage client, particularly in foreign exchange, and as of this year he is a custody-only client. Formerly, Jeffrey Epstein was a money manager to high net worth individuals, most notably Leslie Wexner. Wexner was a JPM client and then retained Epstein in the late 90s. Mr. Wexner terminated his relationship with Epstein in 02/2008.</p> <p>Epstein is well known to several JPM PB employees and to Jes Staley. Mr. Epstein was convicted of a felony charge in 2008 and is currently serving an 18-month prison sentence. Jes Staley conferred with Stephen Cutler and the decision was made to</p>