

Temporary Waiver Information

Waiver Status:	DDR Complete
Reason for Waiver:	Security Services is still performing the client background check.
Waiver Requested By:	Mary C Casey
Date of Waiver Request:	10/03/2008
Approver for Waiver:	Elizabeth X Hogan
Waiver Approved On:	10/03/2008

Banker Approval Information

Sponsorship affirmation -
 Based on my due diligence and the information provided , I approve and accept sponsorship of HBRK Associates Inc. ~
 as a Client from inception and throughout the client relationship.
 Mary C Casey 10/20/2008 08:41:29 AM

Senior Manager Approval Information

Based on the information provided and the approval granted by *Mary C Casey*, I approve the acceptance of HBRK Associates
 Inc. ~ as a Client.
 Marcus Sheridan 10/20/2008 03:36:15 PM

Admin Comments

Admin Comments:
By:
Date:

Admin Comments History :

Document History

Created: 10/03/2008 By: Mary C Casey
 Last Modified: 10/20/2008 By: Marcus Sheridan
 Submitted: 10/17/2008 08:28:49 PM By: Vanessa A Budhu

Audit History

8. 'Senior Manager Approval' performed by: Marcus Sheridan on: 10/20/2008 03:36:14 PM
7. 'Banker Approval' performed by: Mary C Casey on: 10/20/2008 08:41:30 AM
6. Submitted for Banker Approval SS Type = Media Searches Only performed by: Vanessa A Budhu on: 10/17/2008 08:28:56 PM
5. Button: 'Flag: Security Check has begun' performed by: Vanessa A Budhu on: 10/13/2008 12:23:36 AM
4. Button: 'Print' performed by: Desmia X Dale on: 10/03/2008 03:06:36 PM
3. Button: 'Approve Waiver' performed by: Elizabeth X Hogan on: 10/03/2008 11:22:16 AM
2. Button : 'Request Waiver' performed by: Mary C Casey on: 10/03/2008 11:14:09 AM
1. Submitted for Security Services Approval performed by: Mary C Casey on: 10/03/2008 11:13:19 AM

Converted Tracking Document History: