

Is this an operating company?	<input type="radio"/> Yes <input checked="" type="radio"/> No
Entity/Client Net Worth:	\$ 3.00 (USD millions)
Documentation/ Information obtained to confirm stated net worth of the Entity/Client:	Conversation with client
Industry Code: The Business Type is the industry in which the client derives the majority of its income.	PRIVATE HOUSEHOLDS NAIC Code: 814100

Transaction Profile/Expected Account Activity

What is the purpose/intended use of this account?	Receipt and distribution of legal fees
What is the expected source of account funding ?	Wire
Approximate \$ amount to fund the account :	200,000

Please select each "Product Type" that applies. Within each product type, please check ALL transaction types that apply and provide a description of expected account activity. (document expected sources of inflows and destinations of transfers,countries, and types of payors/payees.Include where is the money coming from - example : A specific bank or firm and purpose of specific transactions, if known)

Product Type - Select all that apply	Transaction Types - Select all that apply and expected activity level (L = 1-5, M = 6-12, H =>12 transactions per month)	Anticipated \$ Amount Totals per month - Please provide at least an estimate
Deposit/Current Accounts (Checking, Savings, Money Market) <input checked="" type="radio"/> Yes <input type="radio"/> No	Cash (withdrawals/deposits) - <input checked="" type="radio"/> Low <input type="radio"/> Medium <input type="radio"/> High	Below \$10000
	Check deposits / Check paid - <input checked="" type="radio"/> Low <input type="radio"/> Medium <input type="radio"/> High	Below \$100000
	Wire Incoming & Outgoing - <input checked="" type="radio"/> Low <input type="radio"/> Medium <input type="radio"/> High	Below \$1MM
	Internal Transfers - <input checked="" type="radio"/> Low <input type="radio"/> Medium <input type="radio"/> High	Below \$1MM
	Other - <input type="radio"/> Low <input type="radio"/> Medium <input type="radio"/> High	
Please describe in detail expected account activity for this product :		Receipt and distribution of legal fees and payments for upkeep of office.
Investment Products (Custody,Brokerage,Agency,Margin) <input type="radio"/> Yes <input checked="" type="radio"/> No		
Fiduciary (Investment Mgmt. / Trust) <input type="radio"/> Yes <input checked="" type="radio"/> No		
Credit type facilities (Line of Credit, Mortgage, Letter of Credit) <input type="radio"/> Yes <input checked="" type="radio"/> No		

Required Security Services Checks

Security Search Type:	Media Searches Only
Note for Security Services: Please list any specific search	