

Banker Attestation Details	
You are about to approve a high risk client. Have you included sufficient information to satisfy High Risk Enhanced Due Diligence regulatory requirements (Section 312 of the USA Patriot Act)?	Yes
As the client sponsor, I understand that I am primarily responsible for protecting the firm's reputation from inappropriate business relationships, as well as from fraud and money laundering. By approving this KYC, I am sponsoring this client as to their character and reputation and from a legal/regulatory standpoint.	Yes
Please state your rationale for conducting business with this client	This is an insurance trust account for Jeffrey Epstein, but we will be closing it shortly as he was asked to leave the Private Bank.
Please respond to any issue(s) identified in the GS&I grid that either needs further review, or red dot which is not already covered in a Business Case within this KYC	Jeffrey Epstein was asked to exit the firm in August of 2013. The accounts are in the process of moving to another bank and should be closed out by year-end.
Comments	

Primary Information			
Client Type	Non Individual	Front Office Restricted?	Normal
Client Status	Client	Active Indicator	
Confidential Names?	No		
Legal Business Name	THE 2007 JEFFREY E EPSTEIN INSURANCE TRU ST NO 3 DTD NOVEMBER 1 2007	Insider Code (Reg. O)	
Country of Primary Domicile	UNITED STATES	Primary Domicile State	Virgin Islands
Primary Country of Assets	UNITED STATES	WebSite	
Country of Organization	UNITED STATES	Organization State	Virgin Islands
Industry Code (NAIC)	Personal & Testamentary Trusts [525921]	Secondary Industry Code (NAIC)	NAIC NOT PROVIDED []
Client Legal Entity Type	Trust		
Date of Incorporation/Situs (Date of Trust)	11/01/2007	Estate Trust	Irrevocable Trust
Documentary Evidence	Trust - Trust Agreement/other governing document establishing the Trust		
What is the nature/purpose of this entity?	This is a trust for the estate planning of Jeffrey Epstein.		
High Risk Jurisdiction	Yes		

Address(es)								
Type	Notes	Address	City, State, Postal Code	Country	Tools	Entered by	Date of Update	Preferred
Legal		JEFFREY EPSTEIN, 457 MADISON AVE, 4TH FLOOR	NEW YORK, New York, 10022	UNITED STATES	Map it	BATCH	11/18/2008	Yes

Phone(s)/Fax(s)						
Type	Notes	Phone Number	Tools	Entered by	Date of Update	Preferred
<i>Phone address not available</i>						

Email(s)					
Type	Notes	Email	Entered by	Date of Update	Preferred
<i>Email address not available</i>					

Cost Center & Hierarchy			
Client Status	Client	Client Type	Non Individual
Client Sponsor	JUSTIN D NELSON	New or Existing Client	Existing
Cost Center	002753 - GREENWICH		
Client Service Team	CHBG (DE) 4	Entity Type	Entity
GIO	Paul S Barrett	Portfolio Manger	
Trust Advisor		Investor	
Decision Maker	JEFFREY E EPSTEIN	DM ECI	9710670708