

| Introduction & Wealth | |
|-------------------------|---------------------------------------|
| Introduction Type | Existing JPMorgan Client - Inside LOB |
| Net Worth of client(\$) | 500,000,000.00 |

| Source Of Wealth / Financial Information Details | |
|--|-------|
| Source Of Wealth -Identify the Clients Source of Wealth from following list of options | Other |

| Introduction | |
|--|--|
| Non Individual Entity Type | Trust |
| Select Trust Type | N/A |
| Is this an existing JPM trust or external trust? | Existing |
| Is JPM Sole Trustee? | No |
| Comments | Darren K. Indyke is a trustee (SSN: [REDACTED] DOB: [REDACTED] US Citizen) Jeffrey E. Epstein is the Grantor of this Trust (SNN: [REDACTED] DOB: 01-20-1953 US Citizen) |
| Are there any authorized signers or any other individuals with authority over the entity? | Yes |
| What independent background check, in addition to the Global Corporate Security ("GCS") background check, has been carried out? Check all that apply and provide the date in which the background check was conducted. (at least 1 must be selected) | JPMC Network |
| JPMC Network Date | 22 Apr 2010 |
| JPMC Network Comments | Jeffrey Epstein is an existing client. Darren Indyke is the attorney for Jeffrey Epstein and an existing client. |

| Politically Exposed Person | |
|---|----|
| Are any of the beneficial owners/authorized signers or other individuals with authority over this entity a current or former Senior United States or Non-USA Political Official / also known as a Politically Exposed Person (PEP) or related to one? | No |

| Source of Wealth/Financial Information | |
|--|---|
| Client Category(for SOW) | Trust/Estate |
| Source of Wealth- identify the clients source of wealth from the following list of options | Other |
| Provide detailed and specific information. | The source of wealth derives from distributions, funding from other sources - personal investments. Jeffrey Epstein (SSN: [REDACTED] DOB: 01/20/1953 US Citizen) is an existing client of PB. He is an active brokerage client across asset classes currently covered by the Firm's GIO desk. Jeffrey Epstein has been an investment advisor for numerous high net worth clients and also a personal investor. Jeffrey is very accessible and well known to the team. We have advised him on investments as well as estate planning opportunities. Jeffrey has continues to be well connected across business channels. Epstein is well known to several JPM PB employees and senior management including Jes Staley. Also, Mr. Epstein was convicted of a felony charge in 2008. Jes Staley conferred with Stephen Cutler and the decision was made to keep Mr. Epstein as a PB client. Mr. Epstein completed his sentence and probation in 2010. The relationship has been reviewed with senior management and compliance/legal on a few occasions over the past year as news stories of Jeffrey's past are picked up. No new claims or stories have surfaced recently. |
| Which country(ies) is(are) the Client's primary Source of Wealth or Income derived? | UNITED STATES |
| Total Net Worth \$ | 10000000.00 |
| What was the total net worth based on? | Financial Statements |

| Business Case | |
|--|--|
| Please specify why you are comfortable with this client given the identified risk factor(s). Your answer is your "business case" and should detail all mitigating factors. | Client has been asked to exit the firm by senior mgmt (John Duffy). This is now in progress for all of his accounts. |
| Provide client history/detail, including when the relationship was established, how it came to be in PB/PWM, client's association with other JPM LOBS, etc. | Client has been asked to exit the firm - in progress. |